

INFORMATION REQUIRED IN APPLICATION RELATING TO CROWN NOMINATION DEED (CASH CIS)

The Secretary to the Treasury
The Treasury
1 The Terrace
PO Box 3724
Wellington 6011

Please apply specifying information in response to all questions below that are applicable. The Treasury reserves the right to request any further information in relation to the application.

Name of Collective Investment Scheme (CIS):

Type of CIS (delete types of scheme which are not applicable):

- **An arrangement or scheme to which a Participatory Security relates, and if so state description of corporate structure (e.g. a group investment fund)**
- **Superannuation Scheme**
- **Unit Trust**

Is the CIS a PIE?

Date of Establishment:

Name of manager or investment manager of the CIS:

Names of Directors of Manager of the CIS:

Names of trustees or trustee or statutory supervisor of the CIS as applicable:

Brief profile of the business of the applicant for example if a Cash PIE, state that and the size of the Cash PIE and the number of investors, and whether the CIS has any investors, that together with associated persons, hold more than 20 % of the units in the scheme:

Brief description of the CIS's investment policy:

Full details of all the CIS's investments on the date of this application in accordance with the following table:

Security	Issuer	Is issuer a related party of the manager Yes / No	Value	Covered by Crown Guarantee Yes / No / Pending/Unknown

Copies of the following:

- Trust Deed/Deed of Participation (including any amendments)
- Current prospectus (including any amendments)
- Current investment statement
- Any investment policy of the CIS and any document governing amendments to the investment policy
- Any amendments to the Trust Deed, investment policy, current prospectus and current investment statement proposed at the date of this application

A signed letter from the Trustee of the CIS advising whether or not there are less than 20 investors and confirmation that there is no breach, or circumstances of which they are aware which are likely or could reasonably be expected, to give rise to a breach of the Trust Deed of the CIS.

Confirmation that the CIS's rules provide that any money paid to the CIS under a Crown Guarantee will only be distributed to retail members of the CIS and not to financial institution members. (See clauses 3.3 and 7.1(b) of the specimen deed).

A signed statement from one or more persons with authority to bind the CIS confirming that the details in this form are true and correct:

Full details of the relevant contact person for this application: