Regulatory Impact Statement: Reconnecting New Zealanders to the World: Implementing the Medium-risk Pathway

Coversheet

Purpose of Documen	t o
Decision sought:	This analysis has been produced to inform a Cabinet decision on the best approach for opening a medium-risk pathway for updating border settings as part of the Reconnecting New Zealanders (RNZ) policy workstream.
Advising agencies:	DPMC in consultation with MOH, Customs, MoT and MBIE
Proposing Ministers:	Minister for COVID-19 Response
Date finalised:	15 December 2021

Problem Definition

Border settings have played a key role in keeping COVID-19 out of New Zealand but have also had a range of impacts, including on citizens and others wishing to enter New Zealand and on wider economic and social wellbeing.

The domestic and international COVID-19 context has now evolved, and the relative public health risk posed by international arrivals is lower than was previously the case. A policy response is required to update our border settings to ensure they remain proportionate to the evolving public health risk and to address some of the negative impacts from our status quo settings, including on the economic, social and cultural wellbeing of New Zealanders and international visitors and workers, and our connections to the world. This paper seeks to address what changes to border settings are most appropriate.

Cabinet has endorsed a three-step medium-risk pathway and timeline for Reconnecting New Zealanders with the world that will reduce current entry restrictions for arriving travellers. This is one of the options analysed in this paper.

Executive Summary

In response to the COVID-19 pandemic, New Zealand changed its border settings to protect communities from the impacts of the virus. Since March 2020, borders have been closed to all travellers other than New Zealand citizens, permanent residents and temporary visa holders with border exceptions, and a Managed Isolation and Quarantine system has been in place for all arrivals except those from Quarantine Free Travel partner countries.

While many countries have experienced prolonged disruption to economic activity and curtailed personal freedoms, New Zealand's COVID-19 response has largely enabled the continuation of social interaction and economic activity within our borders.

Our border settings have played an important role in keeping COVID-19 out of New Zealand but have also had a range of other impacts. New Zealand's tourism and international education sectors for example have experienced significant adverse impacts; and the ability to connect beyond our national borders has been curtailed. The ability of citizens and other eligible travellers to return to New Zealand from overseas has also been significantly impacted.

The current border settings, referred to in this paper as the 'status quo', require all international arrivals (with a very small number of exceptions), to enter managed isolation for seven days followed by isolation at home until the result of a day 9 test has been received.

As the COVID-19 context domestically and internationally has continued to evolve, so too has the relative risk posed by international arrivals. Recent advice from the Ministry of Health indicates that there is now a lower relative level of public health risk from international travellers than was previously the case.

Given this evolving context, it is appropriate to update New Zealand's border settings to ensure they remain proportionate to the evolving public health risk. An updated approach to the border will also address some of the negative impacts from our status quo settings, including on the economic and social wellbeing of New Zealanders, restrictions to personal freedoms, international visitors and workers, and our connections to the world.

It is against this background that Cabinet endorsed a three-step medium-risk pathway for reducing current entry restrictions for travellers arriving in New Zealand. The pathway includes pre-departure testing requirements, proof of full vaccination, self-isolation, and testing requirements on arrival and on release from self-isolation:

- Step 1: Fully vaccinated New Zealand citizens and those residence-class visa holders and other travellers eligible under our current restrictive border settings from Australia from 11.59 pm on 16 January 2022 (provided they have been in Australia or New Zealand for the past 14 days).
- Step 2: Fully vaccinated New Zealand citizens and those residence-class visa holders and other travellers eligible under our current restrictive border settings, from all but very high-risk countries from 11.59pm Sunday, 13 February 2022.
- Step 3: Fully vaccinated foreign nationals from 30 April 2022 onwards, with the reopening staged over time.

This timeline was publicly announced by the Minister for COVID-19 response on 24 November.

This paper analyses the costs and benefits of the status quo (Option 1) by contrasting it with four other options:

- Option 2: The Cabinet endorsed three-step pathway.
- Option 3: A one-step approach whereby fully vaccinated eligible travellers, including foreign nationals, would be able to travel to New Zealand with the same entry requirements as Option 2 (including the requirement for travellers to be fully vaccinated and other measures noted above), from 16 January 2022 onwards.
- Option 4: An approach whereby Australian citizens and permanent residents are included as eligible travellers under Step 1 of the Cabinet endorsed approach.
- Option 5: The phased approach of opening up the border outlined in the Cabinet endorsed approach (including the requirement for travellers to be fully vaccinated and other measures noted above), but with the requirement to self-isolate removed.

The analysis supports the Cabinet endorsed three-step approach as the preferred option. The steps endorsed by Cabinet seek to phase risk in over time in a way that can be safely managed by our health system, enabled in part by increasing vaccination rates through summer and early 2022. A faster reopening approach could bring too much risk over the international border at a time when the domestic outbreak is still developing, and the COVID-19 Protection Framework is still bedding in. The phased approach will ensure border settings remain sustainable, proportionate, lawful, and reflective of the lower relative public health risk, and complementary to our domestic policy settings. Opening up the border with a selfisolation pathway can facilitate increased volumes of travellers while ensuring risks can be managed to minimise the health and non-health impacts of COVID-19.

Ensuring there is a carefully managed transition will enable the positive impacts of reopening to be realised, including benefits for individual and collective wellbeing, and support for economic livelihoods, social connections, and family reunification. While a faster approach to reopening would be operationally feasible, it would not provide an opportunity to review the risk settings and volumes after each step as the domestic and international COVID-19 context continues to evolve.

This option has significant benefits over the status quo while continuing to effectively manage public health risk. The phased approach will also ensure health impacts are minimised, including in relation to vulnerable groups.

Given the significant impact that border settings are having on a wide range of stakeholders, it is important that our approach continues to be kept under review, particularly public health risk factors, ahead of the implementation of each step. The Ministry of Health is closely monitoring developments around the Omicron variant to ensure the border settings remain proportionate to any risk presented by the variant.

Limitations and Constraints on Analysis

Consultation and engagement

An inter-agency working group is in place to develop advice for Reconnecting New Zealanders, including Ministry of Health, Ministry of Transport, Ministry of Business, Innovation and Employment (Immigration, MIQ), Ministry of Foreign Affairs and Trade, the Treasury and the New Zealand Customs Service. There has also been ongoing consultation across wider agencies, including Te Puni Kōkiri, Te Arawhiti, Ministries for Ethnic Communities, Pacific Peoples, Social Development and Justice.

Due to the dynamic nature of the public policy response to COVID-19, this Regulatory Impact Statement (RIS) has been prepared under a limited timeframe. This has constrained the potential to consult widely on the specifics of the medium risk pathway.

There has however been wide engagement on the broader Reconnecting New Zealanders (RNZ) policy work. This has included an August 2021 public forum that brought together experts to discuss the next steps in the reconnection strategy. This public forum included a presentation from Sir David Skegg, a panel discussion with experts and a speech from the Prime Minister.

Wider consultation on reconnecting has also been undertaken with the Strategic COVID-19 Public Health Advisory Group (SPHAG); the Unite Against COVID-19 Community Panel; the Business Leaders Forum, the aviation sector via the Future Borders sprint, and direct engagement between the Ministry of Transport and industry.

If there was more time available, it would have been possible to undertake a more thorough consultation process focussed on the medium-risk pathway. This could have included workshops with key stakeholders and direct engagement with the SPHAG, the Community Panel and the Business Leaders Forum and Iwi Leaders Forum.

Changes to border settings follow from changes to domestic settings under the COVID-19 Protection Framework. Changes to domestic settings includes ongoing engagement on the COVID-19 Protection Framework through Te Arawhiti with Officials and the National Iwi Chairs Forum. Te Arawhiti have also conducted wider engagement with multiple pan-Māori Organisations, including Whānau Ora Commissioning Agencies on behalf of Ministers and agencies. Many of the points raised during engagement on domestic settings will also be relevant to the RNZ programme. The approach to ongoing engagement with Māori regarding opening the border settings will build on current engagement on domestic settings with the Iwi Chairs Forum including with the National Iwi Chairs Pandemic Response Group.

Operational factors

The constrained timeframe available to prepare this RIS has also impacted the ability for a more detailed cost benefit analysis of the options to be undertaken.

This paper analyses the high-level feasibility of the five options considered, but does not consider operational implementation factors, which fall outside the scope of this paper. For example, this paper does not include an analysis of the specifics around how day 0/1 testing will be rolled out by implementing agencies, or how arrivals will log their test results.

A separate RIS was prepared by the New Zealand Customs Service on Compliance and Enforcement of the Traveller Health Declaration System (THDS) to accompany the Cabinet Paper that sought endorsement of the key policy and administrative settings necessary to implement the THDS [SWC-21-MIN-0178].1

The Ministry of Business, Immigration and Employment (MBIE) will effect the required changes to enable Step 3 of the medium-risk pathway through Immigration Instructions following separate advice to Cabinet. MBIE is also preparing separate advice on the future of the MIQ system. As such, both of these issues fall outside the scope of this RIS.

¹ This Cabinet Paper and RIS will be proactively released in January 2022.

Responsible Manager(s) (completed by relevant manager)

Alice Hume

Policy Manager

Strategy and Policy - COVID-19 Group

Department of the Prime Minister and Cabinet

15 December 2021

Quality Assurance (completed by QA panel)

The Treasury and the Department of the Prime Minister and Reviewing Agency:

Cabinet

Panel Assessment & Comment:

A panel consisting of representatives from the Treasury and the Department of the Prime Minister and Cabinet (DPMC) has reviewed the Regulatory Impact Statement (RIS) "Reconnecting New Zealanders to the World: Implementing the Medium-risk Pathway" produced by DPMC. The review panel considers that it partially meets the Quality Assurance criteria.

The RIS presents a convincing problem definition with a range of feasible options. The consultation undertaken was very limited partly due to time constraints - which constrains the analysis. The high-level qualitative analysis of options would have benefited from more detailed analysis of their impacts, including comprehensive distributional analysis. Ideally the RIS would have explored how realistic the implementation path was (including possible risks), and set out measures of success for monitoring outcomes.

Section 1: Diagnosing the policy problem

What is the context behind the policy problem and how is the status quo expected to develop?

Context

- 1. New Zealand's COVID-19 context has evolved significantly since the Reconnecting New Zealanders (RNZ) programme was initially developed in July 2021:
 - The emergence of the delta variant and ongoing presence of COVID-19 in the community required a significant shift in COVID-19 strategy – from an elimination to a minimisation and protection strategy.
 - There has been a step change in domestic vaccination rates. As of 10 December, 94% of eligible people in New Zealand have had their first dose and 89% are fully vaccinated.
 - New Zealand has transitioned from the Alert Level Framework to the COVID-19 Protection Framework; and the Auckland travel boundary restrictions have been lifted.
 - Non-New Zealand citizen arrivals are now required to be vaccinated; and the requirement for international arrivals to spend 14 days in a Managed Isolation and Quarantine (MIQ) facility has been reduced to 7 days, followed by 3 days of selfisolation.
- 2. These material changes to the COVID-19 context have impacted the comparative risk posed by international arrivals and represent significant developments to New Zealand's COVID-19 settings. It is now appropriate to update our approach to RNZ to take account of these developments to allow for greater freedoms while continuing to carefully manage public health risks.
- 3. The domestic and international COVID-19 context continues to evolve and remains uncertain. Factors impacting this include: the impact of recent changes to domestic settings and the overall effectiveness of the new Framework, vaccination rates and effectiveness, different international approaches to public health responses, and new and emerging variants of concern. The recent emergence of the new Omicron variant illustrates the continuing evolution of the global epidemiological situation.
- 4. This significant level of uncertainty calls for a cautious approach to considering options for updating border settings to ensure our public policy objectives for responding to COVID-19 continue to be met. This requires strong management of COVID-19 within New Zealand to ensure health impacts are minimised, vulnerable communities are protected, and economic and social stability are maintained.
- 5. It will be important that updated border settings continue to enable strong COVID-19 management within New Zealand while supporting economic, social, and cultural wellbeing benefits from reopening.

Background

6. As part of the Reconnecting New Zealanders (RNZ) programme, Cabinet agreed on 9 August [CAB-21-MIN-0305] that three principal issues should be taken into consideration for reconnecting decisions: public health factors, feasibility; and economic and social

factors. Cabinet also agreed to shift border settings to a risk-based approach, based on three entry pathways:

- Low risk: Quarantine-free entry for low-risk travellers, being fully vaccinated travellers who have only been in low-risk countries for the 14 days prior to entering New Zealand.
- Medium risk: Self-isolation and testing requirements for vaccinated travellers who have been in medium-risk countries for the 14 days prior to entering New Zealand.
- High risk: Full MIQ and testing requirements for all travellers who have been in highand very high-risk countries in the 14 days prior to entry, and all non-vaccinated travellers from countries not identified as low-risk.
- 7. The pathways take account of vaccination status, testing, and isolation requirements in proportion to risk. The model is designed to be scalable and does not rely on countryspecific agreements so can more easily accommodate changes to country and individual risk (e.g. approved vaccination type) over time.
- 8. On 24 November, the Cabinet Social Wellbeing Committee, having been authorised by Cabinet to have Power to Act [CAB-21-MIN-0498] endorsed a three-step medium-risk pathway and timeline for Reconnecting New Zealanders that will reduce current entry restrictions for travellers arriving in New Zealand:
 - Step 1: Fully vaccinated New Zealand citizens and those residence-class visa holders and other travellers eligible under our current restrictive border settings from Australia from 11.59 pm on 16 January 2022 (provided they have been in Australia or New Zealand for the past 14 days).
 - Step 2: Fully vaccinated New Zealand citizens and those residence-class visa holders and other travellers eligible under our current restrictive border settings, from all but very high-risk countries from 11.59pm Sunday, 13 February 2022.
 - Step 3: Fully vaccinated foreign nationals from 30 April 2022 onwards, with the reopening staged over time.
- 9. Ministers also agreed the broad entry requirements for travellers, including pre-departure testing requirements, proof of full vaccination, self-isolation, and testing requirements on arrival and on release from self-isolation [SWC-21-MIN-0200 refers].
- 10. The broad timeline for this approach was publicly announced by the Minister for COVID-19 Response on 24 November.
- 11. This RIS responds to the shift in COVID-19 strategy and considers the medium risk pathway approach endorsed by Cabinet against the status quo, and three additional options. It does not consider existing Quarantine Free Travel (QTF) arrangements with the Cook Islands and Niue or arrangements with other low risk Pacific nations, which are being led by the Ministry of Foreign Affairs and Trade.

The status quo

12. The 'status quo' requires all international arrivals (with a very small number of exceptions), to enter managed isolation for seven days followed by isolation at home

- until the result of a day 9 test has been received. Travellers are required to obtain a managed isolation and quarantine (MIQ) voucher confirming their place in managed isolation before being able to board a flight to New Zealand.
- 13. The demand for MIQ vouchers has significantly outstripped supply for an extended period. As of mid-November, prior to the 24 November announcement by the Minister for COVID-19 Response on the broad timeline noted above, the average chance of securing an MIQ spot was approximately 35% (e.g. travellers had around a one in three chance of securing an MIQ voucher to enable them to enter New Zealand).

Impact on NZ Inc

14. The flow-on effects of implementing the medium-risk pathway will impact and interplay with a broad range of existing workstreams, including Managed Isolation and Quarantine (MIQ), the COVID-19 Protection Framework, testing capacity, Immigration New Zealand visa processing, MBIE's Tourism Communities: Support, Recovery and Re-set Plan, Ministry of Transport's Maintaining International Air Connectivity (MIAC) scheme, Ministry of Foreign Affairs and Trade travel advisories and consular functions, NZTE's 'exporters allocation' for MIQ scheme; and the development of the traveller health declaration system (THDS – which will play a key role as we open up a self-isolation pathway for more travellers).

What is the policy problem or opportunity?

The policy problem

- 15. New Zealand's COVID-19 response to date has largely enabled the continuation of social interaction and economic activity within our borders. This experience contrasts with many other countries that have experienced prolonged disruption to economic activity and extended lockdowns that have significantly impacted GDP. For example, New Zealand's GDP decreased by 1.1 percent in 2020, compared with an average decrease of 4.4 percent across the OECD.
- 16. Our current border settings have impacted some sectors of the community more than others. The ability of New Zealanders to connect beyond our national borders and the ability of people offshore to travel to New Zealand has been significantly curtailed. This has particularly impacted sectors of the community reliant on international visitors, as well as industries with skills shortage reliant on skilled migrants and those who need to travel to maintain or grow their businesses. For example, the international visitor market has been suspended since March 2020 (with the exception of a brief and partial return when trans-Tasman Quarantine-Free Travel was in effect), resulting in an acutely negative impact on businesses which rely on that market.
- 17. While these adverse impacts have not impacted GDP in the same way that we have seen in many other countries, this calculus is changing as international borders reopen and the risk of lost economic and social opportunities increases. For example, international tourism has already started to recover, with the World Economic Forum reporting that international tourism arrivals increased 58 percent globally in the three months ended 30 September 2021, compared to the same period in 2020. During the same period, New Zealand's current border settings meant that we did not benefit from a share of this boost in international tourism.

18. s9(2)(h)			

19. It is now appropriate to update our approach to the border in line with the changed context outlined above (the move from elimination to minimisation and protection strategy; the requirement for non-New Zealand citizens to fully vaccinated prior to arrival; high domestic rate of vaccination; transition to the Framework and the removal of Auckland boundary restrictions; and the ongoing presence of COVID-19 in the community).

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What stakeholders think of the problem

- 21. Commensurate with the significant impact that current border settings are having on a wide range of New Zealand communities, there are high levels of public interest, including from the private sector, lobby groups and public healthcare experts in seeing a timely response from government to the changing circumstances.
- 22. Parts of the community will welcome fewer restrictions due to the positive impact on economic livelihoods, restoration of freedoms and leisure, social connections, and family reunification. Others may perceive there to be a disproportionate increase to the risk posed to the community by relaxed border settings - despite the reduced relative risk of international arrivals following the implementation of the Framework.
- 23. Communities from around New Zealand, including Māori and Pacific Peoples which have been disproportionately affected by the COVID-19 pandemic, may be impacted by the updated border settings, if they introduce greater risk to those communities. For example, the use of self-isolation may disproportionately affect Māori as they are more likely to live in multi-family, multi-generational households and are also overrepresented in lower socioeconomic populations. They are also less likely to have alternative living options or afford other forms of isolation such as hotels.
- 24. There are also active concerns by Māori of the ongoing risk of vaccinated people still being able to transmit the virus. The iwi chairs forum proposed, in relation to the Auckland boundary, requiring both vaccination and a test for travellers to provide enhanced protection to communities with lower Māori vaccination rates.
- 25. Parts of the population remain vaccine hesitant or may choose not to vaccinate for some time. This may be particularly true for young Māori. Given the high proportion of Māori in that age category, the impacts of COVID spread may remain a concern for some time yet.

Tourism and Aviation Sector

26. According to a recent survey commissioned by MBIE, over fifteen percent of businesses are being impacted by local and international supply chain issues, leading to cashflow

delays, and frustrated offshore customers. While there is not a direct link between all international supply chain issues and our current border settings, air freight in particular has seen a drastic cut to capacity and a significant increase in price, with MBIE reporting that almost half of airlines serving New Zealand pre-pandemic have pulled out (14 of 29 airlines). s9(2)(f)(iv)

- 27. The New Zealand Customs Service engaged extensively with a number of aviation industry stakeholders under the Future Borders project, focusing on developing a phased approach to re-opening to greater volumes of travellers based on the description of the medium-risk pathway. The project identified a potential staged approach for re-opening to greater volumes of travellers and incorporated several views from government and the aviation sector. This work has provided a platform to implement operational changes once ministerial decisions and policy settings are determined.
- 28. Recent research from Lincoln University showed that prior to COVID-19 international passenger flights carried 80% of high value exports in their belly hold, worth \$10.8 billion in 2019. The same research noted that while air freight accounted for less than 1% of New Zealand's total trade by volume, it accounted for approximately 20% in terms of value. The MBIE survey also found that nine percent of respondents cited the inability to travel overseas and access to international markets as a challenge for their business.
- 29. Following the announcement of the broad timeline for Reconnecting New Zealanders by the Minister for COVID-19 Response on 24 November, the Tourism Industry Aotearoa (TIA) welcomed the announcement of dates to work to but expressed disappointment at a lack of clarity around when New Zealand will allow international visitors without self-isolate requirements. The Board of Airline Representatives of New Zealand (BARNZ) and TIA also expressed concern at the risk that more international airlines would pull out of the New Zealand market.

Exporters

- 30. The Ministry of Foreign Affairs and Trade (MFAT) convened eight calls with non-agricultural and manufacturing sector stakeholders between August and November, along with NZTE, MBIE, MoT, and Treasury (NZEC), to understand the impacts on business of COVID-19 and COVID-response measures, and to share information on available government support. A consistent theme through this engagement and other conversations with individual businesses, was the severe impact on exporting businesses of not being able to travel offshore to do business, nor bring into New Zealand specialist expertise/technicians or business partners from overseas.
- 31. New Zealand Trade and Enterprise (NZTE) with its focus on growing New Zealand exporters - reports other issues being faced by exporters due to current border settings, including:
 - Inability to carry out work overseas that requires a physical presence: for example, technical specialists may be required to physically commission or service equipment offshore or they may be needed to demonstrate its use in its physical environment. In

- some cases, this can impact on the ability to perform contractual obligations and to secure contracts.
- Inability to bring people to New Zealand to perform technical work or undertake due diligence for potential investment: for example, some key machinery used in New Zealand can only be serviced by experts based outside New Zealand.
- Travel for key meetings, networking and tendering: in some industries, most leads for new work are generated by attending key industry trade shows in person. There is also a growing expectation for many tenders that key people from the exporting business present in person. While virtual presentations were the norm earlier in the pandemic, NZTE reports that this has largely reverted to in person as other countries have relaxed border settings.

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32. s9(2)(f)(iv)	
32. \$9(2)(f)(IV)	

33. MFAT has also engaged with Quarantine Free Travel partners and other Governments via our Posts, following announcements of the Reconnecting New Zealanders approach to ensure that they were kept informed.

The policy opportunity

- 34. As part of an ongoing requirement to consider the public health rationale for border settings against NZBORA consideration, and economic and social factors, the Ministry of Health provided updated public health advice to the Minister for COVID-19 Response on 22 November, on the changing risk profile of international travellers entering New Zealand. This advice was informed by expert peer review.
- 35. The advice indicated a lower comparative level of public health risk from international travellers than was previously the case due to increased vaccination rates domestically and internationally, and the increasing prevalence of COVID-19 within some communities in New Zealand. The advice also noted that the risk of international arrivals transmitting COVID-19 was no longer consistently higher than the domestic transmission risk and the need for any change to settings at the border to be managed carefully. The advice also highlighted concerns about the potential for a widespread 'seeding' effect² in communities where there is currently no or low levels of transmission if appropriate mitigations are not put in place. mitigations are not put in place.
- 36. This reduction in comparative risk provides a policy opportunity to update New Zealand's border settings to take account of the evolving public health risk and restore many of the freedoms associated with open international borders that have been necessarily constrained through the pandemic, increasing our economic and social wellbeing, our

^{2 &#}x27;Seeding' refers to the risk of international arrivals being responsible for introducing new COVID-19 outbreaks in the community (e.g. cases that cannot be epidemiologically linked to an existing outbreak which is largely the case at this point in time).

connections with the world, the flow of goods and services, air connectivity, trade, tourism, family reunification, and social connection. s9(2)(f)(iv)

What objectives are sought in relation to the policy problem?

- 37. In August, Cabinet agreed that decisions on Reconnecting New Zealanders would be informed by public health factors, feasibility, and economic and social factors.
- 38. The objectives sought in relation to this policy problem are to find an approach informed by this Cabinet direction - that ensures border settings remain effective in managing COVID-19 risk proportionate to that risk, predictable and lawful as the risk context continues to evolve. An ideal outcome would:
 - Support the safe reconnecting of New Zealand with the world by reducing restrictions on entry to New Zealand, while continuing to manage public health risks.
 - Positively impact the individual and collective wellbeing of New Zealanders by supporting economic livelihoods, social connections, and family reunification.
 - Ensure policy settings remain consistent with the New Zealand Bill of Rights Act and other legal obligations.
 - Ensure border settings are complimentary to the minimisation and protection strategy.
 - Ensure border settings can reasonably be executed by implementing agencies and meet the need for a stable and resilient system.
- 39. There will be trade-offs that need to be managed between some of these objectives. For example, the most stringent approach to managing risk at the border will be least in line with NZBORA obligations, heavily impacting the ability of citizens and other eligible travellers to enter New Zealand.



Section 2: Deciding upon an option to address the policy problem

What criteria will be used to compare options to the status quo and what scope will options be considered within?

- 40. The five options for updating New Zealand's border settings will be evaluated against the criteria outlined below. Given the differentiated impact of these criteria on the overall public policy response to the COVID-19 pandemic, a relative weighting has been assigned to each of the criteria: high (0% discount), medium (33% discount) and low (66% discount).
 - Protecting public health (relative weighting high) analyses the public health risk of each option, including in relation to vulnerable groups.
 - 2. Individual and collective wellbeing (relative weighting medium): taken from the Living Standards Framework, this criterion looks at a range of elements, including factors relating to the economic and social wellbeing of New Zealanders, including business. Of relevance to this policy work are impacts on cultural capability and belonging; family and friends; income, consumption and wealth; and safety (preventative admissions to hospital).
 - 3. NZBORA implications (relative weighting medium): considers the legality of the options being assessed through the lens of the New Zealand Bill of Rights Act (NZBORA).
 - 4. Operational feasibility (relative weighting medium) looks at whether the options identified can reasonably be executed by implementing agencies and meet the need for a stable and resilient system.
 - 5. Complementarity with broader COVID-19 settings (relative weighting low) analyses the degree to which the policy options are consistent with the minimisation and protection strategy, including the transition to the COVID-19 Protection Framework and the removal of Auckland travel restrictions.

What options are being considered?

Option One - The Status Quo

- 41. This option would see the existing approach retained whereby all arrivals into New Zealand will continue to need to undertake 7 days in an MIQ facility and 2 days of self-isolation. The border will remain closed except to returning New Zealand citizens and those residenceclass visa holders and other travellers eligible under current restrictive border settings or under QFT and low risk Pacific arrangements.
- 42. This option is regulated through the COVID-19 Public Health Response (Isolation and Quarantine) Order 2020 and the COVID-19 Public Health Response (Air Border) Order (No 2) 2020 by the Minister for COVID-19 Response under the COVID-19 Public Health Response Act 2020.

Option Two – The Cabinet Endorsed Three Step approach

43. Following initial endorsement on 15 November, Cabinet agreed updated timeframes on 24 November for implementing self-isolation for the medium-risk pathway:

Step 1: Fully vaccinated New Zealand citizens and those residence-class visa holders and other travellers eligible under our current restrictive border settings from Australia from 11.59 pm on 16 January 2022 (provided they have been in Australia or New Zealand for the past 14 days).

Step 2: Fully vaccinated New Zealand citizens and those residence-class visa holders and other travellers eligible under our current restrictive border settings, from all but very high-risk countries from 11.59pm Sunday, 13 February 2022.

Step 3: Fully vaccinated foreign nationals from 31 March 2022 or 30 April 2022 onwards, with the re-opening staged over time by visa category to enable the impacts of scaling up international travel (both inwards and outwards) to be managed. Ministers are considering three options for reopening under this Step:

- A 'faster' rollout from 30 April;
- An 'early' rollout from 31 March (in line with the Traveller Health Declaration System); or
- A 'slower' rollout, from 30 April.
- 44. Option Two would see the implementation of settings endorsed by Cabinet for the establishment of a medium-risk pathway as part of the plan for Reconnecting New Zealanders. It would remove the MIQ requirement for vaccinated travellers arriving from most countries and would also progressively expand entry to foreign nationals by expanding eligible visa categories over time. Eligible travellers would be required to undertake a predeparture test, provide proof of vaccine status and a passenger declaration about travel history (including via the THDS once stood up), undertake a PCR test on day 0/1 and complete a series of at least three (days 3, 5, and 7) self-administered Rapid Antigen Tests (RAT) before leaving self-isolation.

Option Three - One Step Approach to ending MIQ requirements

45. This option includes the travel requirements outlined in Option Two, but rather than a threestep phased approach, this option would see all of those eligible under steps 1 – 3 of Option Two permitted to enter New Zealand from 16 January 2022 onwards (including the staging over time as part of Step 3). Eligible travellers would be required to undertake a predeparture test, provide proof of vaccine status and a passenger declaration about travel history (including via the THDS once stood up), undertake a PCR test on day 0/1 and complete a series of at least three (days 3, 5, and 7) self-administered Rapid Antigen Tests (RAT) before leaving self-isolation.

Option Four – Opening up to Australian Citizens and Permanent Residents earlier

46. This option would see Australian citizens and permanent residents included as eligible travellers under Step 1 of Option Two. This option would look similar to the earlier Quarantine Free Trans-Tasman bubble, but with the addition of the self-isolation requirement and other elements outlined above (predeparture test, proof of vaccine status, passenger declaration (including via the THDS once stood up), PCR test on day 0/1 and a series of at least three (days 3, 5, and 7) self-administered RATs before leaving selfisolation.

Option Five - Removal of Self Isolation Requirement

47. This option would adopt the phased approach of opening up the border outlined in Option Two, including the requirement that eligible travellers undertake a predeparture test, provide proof of vaccine status and a passenger declaration about travel history (including via the THDS once stood up), undertake a PCR test on day 0/1 and complete a series of at least three (days 3, 5, and 7) self-administered RATs, but would not include a selfisolation requirement.

Option not considered

48. The option for bespoke pathways for entry from individual jurisdictions has not been considered, as it would not meet the criteria of operational feasibility.

How do the options compare to the status quo/counterfactual?

		Option One:	Option Two:	Option Three:	Option Four:	Option Five:
		The Status Quo	The Cabinet Endorsed Three Step approach	One Step Approach to ending MIQ requirements	Opening up to Australian Citizens / Permanent Residents earlier	Removal of Self Isolation Requirement
Protecting Public Health	Rating	0	-0.5	-1.5	-1	-2
high (0% discount)	Weighted rating	0	-0.5	-1.5	-1	-2
Individual and collective wellbeing	Rating	0	+1	+1.5	+1.5	+1.5
medium (33% discount)	Weighted rating	0	+0.66	+1	+1	+1
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Operational feasibility	Rating	0	0	-1	0	-1
medium (33% discount)	Weighted rating	0	0	-0.66	0	-0.66
Complementarity with broader COVID-19 settings	Rating	0	+2	0	+1	-1
low (66% discount).	Weighted rating	0	+0.66	0	+0.33	-0.33
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Comment

Option One - The Status Quo

49.	s9(2)(h)	
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50. It would not address the negative impacts from our status quo border settings, including on the economic, social and cultural wellbeing of New Zealanders and international visitors and workers, and our connections to the world.

51. s9(2)(b)(ii), s9(2)(f)(iv)	

- 52. Retaining the status quo would have short- and longer-term implications for businesses that are reliant on international connections and the ability to travel offshore.
- 53. This approach may also have mental health implications given it would result in ongoing constraints on the ability of people to connect with people outside of NZ (i.e. family reunions).
- 54. Continuation of the status quo is operationally feasible as the system is in place. However, Cabinet has agreed that Customs should return to full cost recovery of border processing services [DEV-21-MIN-0185], so if the status quo is retained, Customs and other border agencies would need to seek further direction from Cabinet on the funding of services. There could also be funding implications for MIQ if the status quo was retained.

Option Two – The Cabinet Endorsed Three Step approach

- 55. This approach would be consistent with the lower relative public health risk from international arrivals than was previously the case. The phasing of this approach would support a managed transition to updated settings.
- 56. This option would provide a lower level of protection than the status quo which is why it scored lower than the status quo on the 'protecting public health' criterion.
- 57. The settings are designed to mitigate a spectrum of risk (enabling stability and facilitating significant volumes of travellers to enter without MIQ) and ensure that risk is managed in a way that aligns with the objectives of the Framework and does not impact health system capacity, particularly at a time when other significant changes in policy settings are bedding in (e.g. of the COVID-19 Protection Framework).

- 58. This approach would support individual and collective wellbeing (including by having a positive impact on family reunification, social connections and trade and economic outcomes). Although not providing immediate relief to the sectors of the economy most affected by the status quo border settings, it does provide more certainty and a roadmap for the phased reopening of the border.
- 59. The timeframes for transition support the implementation of appropriate mitigations at the border, including testing and traveller health declarations, ensuring the approach is operationally feasible. The timing also takes account of the need for changes to domestic settings to have bedded in.

60.	s9((2)((h)

Option Three - One Step Approach to ending MIQ requirements

- 61. Health advice highlights the potential public health risks if changes to border settings are progressed without a carefully managed transition or consideration of other changes underway in the COVID-19 response – for example, the implementation of the Framework and the removal of the Auckland boundary.
- 62. While livelihoods, social connections, and family reunification would be enhanced faster by a swifter relaxion of border settings, providing marginally increased benefits to the economy and society more broadly (trade, economic development, tourism, and international education) this approach would be inconsistent with public health advice which recommended a staged reopening to support New Zealand's favourable comparative COVID-19 economic situation. It would also pose greater risk of health and non-health impacts from COVID-19, which may also mean that some of benefits cannot be realised.

63. s9(2)(h)

- 64. Given the need to implement changes to carefully manage the public health risk for border returnees, there will be operational risks if changes to border settings are progressed ahead of the timeframes that have been endorsed by Cabinet. This timeframe would also present risks to a carefully managed and safe transition to the new approach.
- 65. Customs will likely not be able to manually process the larger volume of travellers enabled by this option if a volume increase happens before 31 March when the THDS is scheduled to come online. Additional resources may be required for border processing if this option was adopted given the critical role THDS will play in managing the risk from international arrivals.
- 66. This approach would not provide sufficient time for changes to domestic settings to bed in nor would the timing align with the implementation of appropriate mitigations at the border, including testing and traveller health declarations.

Option Four – Opening up to Australian Citizens and Permanent Residents earlier

67. Given Australia is both our largest trading partner and home to the largest concentration of citizens outside of New Zealand, opening up to Australia citizens and permanent residents would have a significant impact on business-business connections and family reunifications.

68. s6(a)	
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69. s9(2)(h)	
70. s6(a)	

- 71. Given the need to implement changes to carefully manage the public health risk for border returnees, there will be operational risks if changes to border settings are progressed ahead of the Reconnecting New Zealanders timeframes that have been endorsed by Cabinet. This timeframe would also present risks to a carefully managed and safe transition to a new approach.
- 72. Customs will likely not be able to manually process the larger volume of travellers enabled by this option if a volume increase happens before 31 March when then THDS is scheduled to come online. Additional resources may be required for border processing if this option was adopted.
- 73. This approach would not provide sufficient time for changes to domestic settings to bed in nor would the timing align with the implementation of appropriate mitigations at the border, including testing and traveller health declarations.

Option Five - Removal of Self Isolation Requirement

- 74. While livelihoods, social connections, and family reunification would be enhanced faster by a swifter relaxion of border settings, providing increased benefits to the economy and society more broadly (trade, economic development, tourism, and international education); the approach would be inconsistent with public health advice which recommended a staged reopening to support NZ's favourable comparative COVID-19 economic situation.
- 75. Extensive policy work undertaken to date has not identified an option for safely relaxing our border settings for a significant volume of travellers that does not include a self-isolation requirement. Given that risk is cumulative, removing the self-isolation requirement would either require a reduction in the volume of travellers who can be managed through the pathway, or would require assurance that a higher level of risk from the border could be managed safely.

- 77. Customs will likely not be able to manually process the larger volume of travellers enabled by this option if a volume increase happens before 31 March when the THDS is scheduled to come online. Additional resources may be required for border processing if this option was adopted, particularly given the critical role the THDS is anticipated to play in managing risk from international arrivals.
- 78. This approach would not provide sufficient time for changes to domestic settings to bed in nor would the timing align with the implementation of appropriate mitigations at the border, including testing and traveller health declarations.

What are the marginal costs and benefits of the option?

Affected groups	Comment	Impact
	Additional benefits of the preferred option compared to taking no action	
Individuals/com munities	 Significant improvement for New Zealand citizens and other eligible travellers' ability to travel to New Zealand due to the removal of the MIQ requirements for most travellers and the expansion under Step 3, with positive implications for international connections, the New Zealand economy, and the economic and social wellbeing of New Zealanders. At present, an average of 2,200 people enter New Zealand through MIQ each week. Under this option, it is estimated that approximately 5,000 – 6,000 people would arrive each week under Step 1; 10,000 – 13,000 people under Step 2; and 24,000 – 46,000 people under Step 3³. Benefits of updated border settings for whānau Māori includes the ability to reconnect with whenua, whānau, te reo and cultural practices. \$9(2)(h) Decrease in cost and complexity for leisure travellers wishing to travel offshore and return to New Zealand, owing to the removal of the MIQ requirements. 	High
Business/econo my	 Staged reopening will continue to support New Zealand's favourable comparative COVID-19 economic situation by facilitating entry without MIQ for significant volumes of travellers which will address negative impacts of the status quo border settings, including demand side and supply side constraints (e.g. through the resumed pathway for international visitors and skilled migrants). It will also manage ongoing public health risks, reducing the risk there would be a need for lockdowns etc which significantly impact the economy. 	Medium

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³ It is difficult to estimate the anticipated number of travellers who would enter New Zealand on the pathway. Estimated traveller volumes are indicative only and may vary significantly depending on policy decisions taken (e.g. around the sequencing of Step 3) and other factors impacting demand.

	 s9(2)(g)(i) Cost and uncertainty of business-critical international travel (important face-to-face meetings, due diligence, trade fairs etc) will decrease due to the introduction of self-isolation. Although challenges will remain for businesses reliant on overseas expertise that need to travel to New Zealand to undertake their work. The aviation sector will see some limited relief with increased demand from travellers as a result of the introduction of self-isolation under steps 1 and 2. They will have greater certainty around the trajectory of New Zealand's border settings. Risk that more airlines may pull out of New Zealand moderately reduced. Air freight prices likely to continue to be higher in medium term than pre-COVID-19 levels but should become lower than the status quo over time. As incoming visits, including Guest of Government visits, resume under Steps 2 and 3 of the approach, there will be important economic flow-on value for New Zealand's international relations, through strengthened Government-to-Government engagement. 	
Government	 Phasing would also allow for a carefully calibrated managed transition by regulators to the updated settings Certainty about timelines will have a positive impact on the ability of regulators to plan. Increased traveller volumes will provide revenue to support the financial sustainability of border processes 	Customs would forego an estimated \$263 million in levy revenue over the three-year levy period if traveller volumes remain capped by requiring MIQ for seven days for all travellers
Total monetised benefits		Not quantified
Non- monetised benefits		High

Affected groups	Comment	Impact
	Additional costs of the preferred option compared to taking no action	
Individuals/communities	 If a large number of additional COVID-19 cases are seeded at the border, there is a risk there may be some additional health and non-health effects in the community, which may disproportionately impact some groups. The preferred option seeks to mitigate this risk through the self-isolation requirement and by taking a careful phased approach to changing border settings. High-level modelling suggests that the flow on effect from this Option for new cases in the community (following self-isolation) would be approximately 1 – 2 per week under Step 1; 16 – 21 under Step 2; and 40 - 67 new cases under Step 3.⁴ COVID-19 is having a disproportionate effect on Māori due to a range of factors, including underlying health conditions and vaccination rates that are lower than for the rest of the population. However, the preferred option accounts for the time required to bed in the Framework to help ensure impacts can be minimised, and will enable vaccination rates to increase further and for booster shots to be rolled out. The self-isolation setting may affect some groups disproportionately. This may include Māori, as Māori are more likely to live in multi-family, multi-generational households. 	Low
Business/economy	 Lowered barriers for travellers wishing to leave and return to NZ presents a risk of a reduction in spending on domestic travel, hospitality, and tourism until the return of international visitors under Step 3. 	Low

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⁴ Modelling is based on estimated volumes by jurisdiction of origin, estimated cases among would-be travellers, and assumptions about the effectiveness of a package of risk mitigations with vaccination requirements, testing and self-isolation. This was informed by overseas risk at the time of modelling (November) and will continue to change as the risk context evolves. For example, higher transmission within Australia will impact the expected number of arrivals with COVID-19 under Step 1. Effectiveness of measures will vary depending on detailed settings and real-world effectiveness.

	 Lowered barriers may also result in greater numbers of people leaving to take up employment offshore, potentially impacting skills shortage until the opening of additional visa categories under Step 3. While not providing immediate relief to sectors of the economy most impacted by the status quo border settings, it does provide more certainty and a roadmap for the phased reopening of the border. 	
Government	 There will be a range of one-off and ongoing costs for agencies associated with implementing the Steps, including for border systems and processing (including THDS), testing, self-isolation administration, and any enforcement actions taken. Direct costs associated with implementation may result in implementing agencies having to reprioritise resources. Should border closures be required after the medium-risk pathway is rolled out and New Zealanders become stranded overseas, a Ministry of Foreign Affairs and Trade led consular response could be required. 	Low
Total monetised costs	One-off and ongoing costs for agencies associated with implementing the pathway will be met within existing baselines or through previously approved funding for initiatives	Dedicated funding provided for the Reconnecting approach includes: \$54.2 Million for the THDS
Non-monetised costs	It is difficult to estimate the costs or quantify the likely impact of proceeding with the Cabinet endorsed Option 2.	Low

What option is likely to best address the problem, meet the policy objectives, and deliver the highest net benefits?

- 79. The Cabinet endorsed three-step approach to a medium-risk pathway (option two) is the preferred option, with the benefits of adopting the approach exceeding costs. Maintaining the status quo border settings would likely be disproportionate and overly restrictive in the evolving risk context, while a faster reopening approach could bring too much risk over the international border at a time when the domestic outbreak is still developing and will likely pose operational challenges - including that the necessary systems are not yet in place (e.g. THDS and ability to credibly verify traveller vaccination status), due to a surge in traveller volumes. The steps endorsed by Cabinet seek to phase risk in over time in a way that can be safely managed by our domestic settings and health system, enabled in part by increasing vaccination rates through summer and early 2022. The approach also supports consistency across border and domestic policy, ensuring that border settings remain sustainable, proportionate, lawful, and reflective of the lower relative public health risk.
- 80. The approach recognises the benefits to economic and social wellbeing of reopening (including the positive impact on family reunification and social connections, business and investment engagement, air connectivity, supply chains, skills shortages, and the tourism and international education sectors), and balances these considerations against the need to continue protecting New Zealanders from the health and non-health impacts of COVID-19 and other relevant factors (for example, the importance of prioritising reopening for New Zealand citizens in line with NZ BORA, ahead of wider reopening to other foreign nationals).

81. s9(2)(g)(i)

- 82. While the *relative* public health risk posed by the border is lower than it was previously, relaxing our border settings will increase the *cumulative*⁵ public health risk posed by COVID-19 as more international arrivals enter the community under each Step. Our minimisation and protection strategy acknowledges this (i.e. that there will be some level of cases in the community on an ongoing basis), and the Framework is designed to manage that in the context of a highly vaccinated population.
- 83. The settings for the option are designed to mitigate a spectrum of risk. This reflects that few countries remain COVID-19 free and that an approach is needed that is stable, resilient to changes in COVID-19 prevalence internationally, and can facilitate significant volumes of travellers to enter without MIQ. The expected level of risk mitigation will ensure that that risk is managed in a way that aligns with the objectives of the Framework and does not impact health system capacity, particularly at a time when other significant changes in policy settings are bedding in (e.g. of the COVID-19 Protection Framework). A lower level of risk mitigation or a faster timeline could bring too much risk over the international border, which would likely have disproportionate impacts on some groups, including Māori.

⁵ Cumulative risk refers to the combined risk of multiple factors. For example, the implementing of the CPF, the removal of Auckland travel boundary restrictions and updating of the border settings (also accounting for volumes) all present their own risk factors. Cumulative risk is the combination of all three of these risks.

- 84. Opening up in a steady, phased and predictable way will support strong risk management, and provide the basis for planning. Opening up the first step to New Zealanders and other eligible travellers from Australia will be particularly useful in enabling us to prepare and test our systems and processes with a country that we have built trusted systems with (e.g. information exchange on individuals' travel histories). Given Australia is both our largest trading partner and home to the largest concentration of citizens outside of New Zealand, opening up to Australia first is also expected to have an outsized impact on businessbusiness connections and family reunifications.
- 85. Given the significant impact that reconnecting New Zealanders border settings is having on a wide range of stakeholders, it is important that border settings continue to be kept under review, particularly public health risk factors, ahead of the implementation of each step. Risk tolerance at the border will also impact the speed and sequencing of Step 3. Through Steps 1 and 2 we will increase our understanding of how self-isolation can be made more effective, as we scale up to higher volumes of travellers under Step 3. Settings may need to be altered as the risk context evolves to ensure they remain effective and proportionate. Further policy work on the enduring settings for the low-risk pathway will be undertaken in early 2022 and considered by the Reconnecting New Zealanders Ministerial Group by the end of February 2022.

Section 3: Delivering an option

How will the new arrangements be implemented?

- 86. Government action will be required to operationalise the Cabinet endorsed approach (Option Two).
- 87. Steps 1 and 2 of the medium-risk pathway will be enabled through a replacement Air Border Order and an amendment to the COVID-19 Public Health Response (Isolation and Quarantine) Order 2020.
- 88. The Minister for COVID-19 Response will sign a replacement Air Border Order and the amendments to the COVID-19 Public Health Response (Isolation and Quarantine) Order 2020 on 21 December 2022 to give effect to the establishment of the medium-risk pathway and the timing of Step 1.
- 89. The amendments to enable Step 2 will be made in early February 2022. Ahead of this amendment being made, the Reconnecting New Zealanders Ministerial Group will consider advice on the readiness to proceed with the Step as planned.
- Step 3 involves immigration settings that will be updated through Immigration Instructions. This advice will be led by MBIE.
- 91. These changes will be communicated to the New Zealand public and other stakeholders on and offshore primarily through a global public information campaign for travellers, existing Unite Against COVID-19 communications channels and through the relevant agencies engaging directly with key stakeholders. This will be supported by the Ministry of Foreign Affairs and Trade's network of offshore posts and via SafeTravel.

How will the new arrangements be monitored, evaluated, and reviewed?

- 92. Once the rollout of the approach has commenced, there will be ongoing monitoring of our border settings and systems to ensure that they continue to be effective, appropriate and proportionate to risk.
- 93. The Ministry of Health and Te Pūnaha Matatini (TPM) have developed a Jurisdictional Risk Assessment Tool (JRAT) which can estimate case numbers from international arrivals and therefore the level of COVID-19 risk from travellers entering New Zealand. Officials will continue to use this tool to undertake surveillance and monitoring of jurisdictional risk on a weekly basis, which will help to inform recommendations related to jurisdiction categorisation and border settings.
- 94. The ongoing testing of arrivals will provide data to compare against the estimated number of cases and inform the ongoing assumptions about arrival risk.
- 95. The aim of this ongoing monitoring is to ensure that trends are identified early, in order to create a stable system where jurisdictions are not moved around categories too frequently. This will provide more certainty to the extent possible, so that travellers can be more confident to plan travel, and so operational agencies can implement decisions, whilst ensuring a risk-based approach.
- 96. The Ministry of Health is also closely monitoring all information related to Omicron. Further advice will be provided if there are implications for the planned Steps.
- 97. Monitoring of the effectiveness of border processes and systems will be undertaken by border agencies and the Ministry of Health. The Border Executive Board (BEB) in conjunction with the Ministry of Health will report back to the Reconnecting New Zealanders Ministerial Group on the operation of the medium risk pathway:
 - by 8 February 2022 following the implementation of Step 1, including any recommendations for changes to processes or resourcing levels prior to commencing
 - by 10 April 2022 following the implementation of Step 2 including any recommendations for changes to processes or resourcing levels prior to commencing Step 3.
- 98. This will provide assurance that systems are working as intended and can be scaled up as we move through the steps. In conjunction with traveller numbers and their compliance profile, aspects such as airport/airline constraints and agency capacity, will influence the effectiveness, efficiency and sustainability of operational processes for the medium risk pathway going forward. BEB will continue to work with agencies to collect and report data on the operation of the pathway, including the levels of non-compliance detected, to enable ongoing evaluation and refinement of systems and processes.
- 99. The COVID-19 Independent Continuous Review, Improvement and Advice Group (CICRIAG) chaired by Sir Brian Roche provides independent advice to the Minister for COVID-19 Response on specific areas of the response where improvements could be made with the benefit of alternative and independent perspectives. This includes oversight of the Reconnecting New Zealanders portfolio as well as providing their independent views on other key priorities such as the implementation of the COVID-19 Protection Framework.

The CICRIAG's advice forms a part of broader assurance processes reporting to the Minister for COVID-19 Response.

The Reconnecting New Zealanders to the World Portfolio Management Office (the Portfolio team) sits within the Department of the Prime Minister and Cabinet's COVID-19 Group and provides a coordinating function across the workstreams within the Reconnecting New Zealanders work programme. The Portfolio team coordinates agencies and departments and provides a clear expectation of proposed progress based on the direction from the Minister. It is able to identify when work is potentially not being carried out in accordance with the wider portfolio and seek to support and redirect workstreams to ensure that alignment is regained. This is then communicated back to the Minister for COVID-19 Response through fortnightly reporting on progress within the workstreams.

