

# *Vote Business, Science and Innovation*

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APPROPRIATION MINISTER(S): Minister of Commerce and Consumer Affairs (M13), Minister for Economic and Regional Development (M25), Minister of Energy and Resources (M28), Minister for Māori Development (M46), Minister for Small Business (M62), Minister for the Public Service (M66), Minister of Tourism (M69), Minister of Research, Science and Innovation (M84), Minister for the Digital Economy and Communications (M100), Minister for Regional Economic Development (M101)

DEPARTMENT ADMINISTERING THE VOTE: Ministry of Business, Innovation and Employment (A17)

RESPONSIBLE MINISTER FOR MINISTRY OF BUSINESS, INNOVATION AND EMPLOYMENT:  
Minister for Economic and Regional Development

# Details of Appropriations and Capital Injections

## Annual Appropriations and Forecast Permanent Appropriations

Titles and Scopes of Appropriations by Appropriation Type	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
<b>Departmental Output Expenses</b>			
<b>Commerce and Consumer Affairs: Administration of the COVID-19 Consumer Travel Reimbursement Scheme (M13) (A17)</b> This appropriation is limited to administration and ancillary services to the COVID-19 consumer travel reimbursement scheme.	-	415	415
<b>Commerce and Consumer Affairs: Consumer Advocacy Council for Small Electricity Consumers (M13) (A17)</b> This appropriation is limited to the establishment and operation of an independent Consumer Advocacy Council and supporting secretariat for small electricity consumers.	-	1,600	1,600
<b>Commerce and Consumer Affairs: Consumer Information (M13) (A17)</b> This appropriation is limited to the development and delivery of information, tools and programmes that increase the ability of consumers to transact with confidence.	2,275	100	2,375
<b>Commerce and Consumer Affairs: Official Assignee Functions (M13) (A17)</b> This appropriation is limited to the carrying out of statutory functions of the Official Assignee under the Insolvency Act 2006, the Companies Act 1993, the Proceeds of Crime Act 1991 and the Criminal Proceeds (Recovery) Act 2009.	19,749	4,373	24,122
<b>Commerce and Consumer Affairs: Registration and Granting of Intellectual Property Rights (M13) (A17)</b> This appropriation is limited to the granting, administration, enforcement, and promotion through education of intellectual property rights, including patents, trademarks, designs, plant varieties, and geographical indications.	28,606	1,700	30,306
<b>Commerce and Consumer Affairs: Registration and Provision of Statutory Information (M13) (A17)</b> This appropriation is limited to the administration of legislation providing for the registration and provision of documents and information services for businesses and other registry activities.	41,379	4,869	46,248
<b>Commerce and Consumer Affairs: Standards Development and Approval (M13) (A17)</b> This appropriation is limited to the development, approval, maintenance and provision of access to standards, and related matters.	6,258	1,995	8,253
<b>Commerce and Consumer Affairs: Trading Standards (M13) (A17)</b> This appropriation is limited to compliance, enforcement, and monitoring activities associated with measurement, product safety and fuel quality.	5,069	(146)	4,923
<b>Communications: Cyber Security Services (M100) (A17)</b> This appropriation is limited to carrying out the functions and services of a national CERT (a New Zealand computer emergency response organisation).	8,123	-	8,123
<b>Communications: Management and Enforcement of the Radiocommunications Act 1989 (M100) (A17)</b> This appropriation is limited to the management of the radio spectrum, representation of New Zealand's international radio spectrum interests, and management of spectrum sales.	9,708	-	9,708
<b>Economic and Regional Development: Tourism Investment (M25) (A17)</b> This appropriation is limited to the operating costs associated with administering tourism-related initiatives.	-	1,600	1,600

	2020/21		
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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Economic Development: Implementation of Improvements in Public Sector Procurement and Services to Business (M25) (A17)</b> This appropriation is limited to functional leadership of procurement across the public sector and reducing the costs for and improving the service experience of businesses interacting with government.	30,512	9,912	40,424
<b>Economic Development: Senior Diverse Leaders: Capability Building Pilot (M25) (A17)</b> This appropriation is limited to development and delivery of a specialised Pacific skills, leadership and talent pipeline capability-building pilot programme.	350	-	350
<b>Economic Development: Shared Services Support (M25) (A17)</b> This appropriation is limited to the provision of support services to other State Sector organisations.	12,200	32,135	44,335
<b>Energy and Resources: Information Services (M28) (A17)</b> This appropriation is limited to modelling and analysis to support energy sector policy advice and associated international commitments.	2,541	150	2,691
<b>Energy and Resources: Management of the Crown Mineral Estate (M28) (A17)</b> This appropriation is limited to the allocation, management and compliance of Crown-owned petroleum, coal and mineral resources under the Crown Minerals Act 1991.	19,031	845	19,876
<b>Research, Science and Innovation: Innovative Partnerships (M84) (A17)</b> This appropriation is limited to the development and delivery of information and programmes to attract Research and Development activities and facilities of international firms to New Zealand.	3,027	-	3,027
<b>Research, Science and Innovation: National Research Information System (M84) (A17)</b> This appropriation is limited to developing and managing a data infrastructure system relating to public investment in research, science and innovation.	1,729	-	1,729
<b>State Services: Property Management Services (M66) (A17)</b> This appropriation is limited to providing property management services, including property development and leasing services, within the State sector.	12,626	9,595	22,221
<b>State Services: Property Management within the State Sector (M66) (A17)</b> This appropriation is limited to providing leadership, guidance and support, monitoring and brokerage in respect of property management within the State Sector.	7,221	250	7,471
<b>Tourism: International Visitor Conservation and Tourism Levy Collection (M69) (A17)</b> This appropriation is limited to the costs of collecting the International Visitor Conservation and Tourism Levy.	2,284	(2,170)	114
<b>Tourism: Tourism Fund Management (M69) (A17)</b> This appropriation is limited to investment advice, contract management and other aspects of fund management for the tourism portfolio.	793	31	824
<b>Total Departmental Output Expenses</b>	213,481	67,254	280,735
<b>Departmental Capital Expenditure</b>			
<b>Ministry of Business, Innovation and Employment - Capital Expenditure PLA (M25) (A17)</b> This appropriation is limited to the purchase or development of assets by and for the use of the Ministry of Business, Innovation and Employment, as authorised by section 24(1) of the Public Finance Act 1989.	80,027	5,281	85,308
<b>Total Departmental Capital Expenditure</b>	80,027	5,281	85,308

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
<b>Non-Departmental Output Expenses</b>			
<b>Commerce and Consumer Affairs: Accounting and Assurance Standards Setting (M13) (A17)</b> This appropriation is limited to the development and issuing of accounting standards, auditing and assurance standards, and associated documents.	4,410	1,100	5,510
<b>Commerce and Consumer Affairs: Administration of the Takeovers Code (M13) (A17)</b> This appropriation is limited to the performance of statutory functions by the Takeovers Panel as specified in the Takeovers Act 1993.	1,494	-	1,494
<b>Commerce and Consumer Affairs: Competition Studies (M13) (A17)</b> This appropriation is limited to preparing for and carrying out competition studies by the Commerce Commission in accordance with the Commerce Act 1986.	2,433	700	3,133
<b>Commerce and Consumer Affairs: COVID-19 Consumer Travel Reimbursement Scheme (M13) (A17)</b> This appropriation is limited to providing grant assistance and incentive payments for travel businesses to secure consumer refunds and credits for travel services booked prior to 14 August 2020 that were not able to be taken up by consumers due to the impacts of COVID-19.	-	47,200	47,200
<b>Commerce and Consumer Affairs: Economic Regulation Inquiries (M13) (A17)</b> This appropriation is limited to economic regulation inquiries undertaken by the Commerce Commission in accordance with Part 4 of the Commerce Act 1986.	1,000	-	1,000
<b>Commerce and Consumer Affairs: Enforcement of Dairy Sector Regulation and Auditing of Milk Price Setting (M13) (A17)</b> This appropriation is limited to the reviews of Fonterra's milk price setting arrangements, dispute resolution, enforcement and reports under the Dairy Industry Restructuring Act 2001 and related regulations.	757	-	757
<b>Commerce and Consumer Affairs: Retirement Commissioner (M13) (A17)</b> This appropriation is limited to services from the Retirement Commissioner to improve the level of financial capability, raise public understanding of, and review the effectiveness of, retirement income policies, and carry out the statutory functions as required in the Retirement Villages Act 2003.	8,622	-	8,622
<b>Communications: Emergency Telecommunications Services (M100) (A17)</b> This appropriation is limited to improvements to telecommunications capabilities used by the public to communicate with emergency services.	1,910	1,000	2,910
<b>Communications: Enforcement of Telecommunications Sector Regulation (M100) (A17)</b> This appropriation is limited to the regulation and monitoring of telecommunication services in accordance with the Telecommunications Act 2001.	6,250	(691)	5,559
<b>COVID-19 tourism response (M69) (A17)</b> This appropriation is limited to domestic visitor marketing, and destination planning and management, and supporting actions in response to the tourism sector impacts of COVID-19.	-	23,500	23,500
<b>Economic Development: Auckland Pacific Skills Shift (M25) (A17)</b> This appropriation is limited to establishing and operating the Auckland Pacific Skills Shift programme.	-	1,035	1,035
<b>Economic Development: Industry Transformation Plans (M25) (A17)</b> This appropriation is limited to the development and implementation of Industry Transformation Plans.	-	7,241	7,241
<b>Economic Development: Pacific Business Trust Procurement Support Service (M25) (A17)</b> This appropriation is limited to establishing and operating the Pacific procurement capability programme at the Pacific Business Trust.	-	1,875	1,875

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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Energy and Resources: Assisting Households in Energy Hardship (M28) (A17)</b> This appropriation is limited to specialist advice and support delivered by training service providers to households in energy hardship and assisting with the costs of energy efficient household appliances and devices.	-	1,260	1,260
<b>Energy and Resources: Electricity Industry Governance and Market Operations (M28) (A17)</b> This appropriation is limited to formulating, monitoring and enforcing compliance with the regulations and code governing the electricity industry and other outputs in accordance with the statutory functions under the Electricity Industry Act; and delivery of core electricity system and market operation functions, carried out under service provider contracts.	76,936	1,479	78,415
<b>Energy and Resources: Energy Efficiency and Conservation (M28) (A17)</b> This appropriation is limited to operational and policy outputs in accordance with statutory functions under the Energy Efficiency and Conservation Act 2000 and the government's energy strategies.	33,774	500	34,274
<b>Energy and Resources: Energy Solutions for Public and Māori Housing (M28) (A17)</b> This appropriation is limited to the provision of energy solutions on public and Māori housing.	-	500	500
<b>Energy and Resources: Oil Field Decommissioning (M28) (A17)</b> This appropriation is limited to the costs associated with the demobilisation and decommissioning of New Zealand oil fields.	-	195,633	195,633
<b>Research, Science and Innovation: Crown Research Institutes - COVID-19 Response and Recovery (M84) (A17)</b> This appropriation is limited to supporting Crown Research Institutes to respond to the impacts of COVID-19 and to contribute to New Zealand's COVID-19 recovery efforts.	-	72,300	72,300
<b>Research, Science and Innovation: Endeavour Fund (M84) (A17)</b> This appropriation is limited to research, science or technology, and related activities that have the potential for excellence and long-term impact for New Zealand's economy, society or environment.	242,712	3,205	245,917
<b>Research, Science and Innovation: Health Research Fund (M84) (A17)</b> This appropriation is limited to research and research applications with the primary purpose of improving the health and well-being of New Zealanders.	117,489	13,100	130,589
<b>Research, Science and Innovation: Marsden Fund (M84) (A17)</b> This appropriation is limited to excellent investigator-led research.	78,545	-	78,545
<b>Research, Science and Innovation: National Measurement Standards (M84) (A17)</b> This appropriation is limited to providing specified standards to satisfy the needs for traceable physical measurement in New Zealand.	8,118	-	8,118
<b>Research, Science and Innovation: Partnered Research Fund (M84) (A17)</b> This appropriation is limited to the co-funding of research commissioned by users, and the application of research by users.	33,390	(4,367)	29,023
<b>Research, Science and Innovation: Repayable Grants for Start-Ups (M84) (A17)</b> This appropriation is limited to funding for early stage businesses and development of business incubators and accelerators.	20,746	(1,937)	18,809
<b>Tourism: Marketing New Zealand as a Visitor Destination (M69) (A17)</b> This appropriation is limited to the promotion of New Zealand as a visitor destination in key markets.	-	51,471	51,471
<b>Tourism: Marketing of New Zealand as a Visitor Destination (M69) (A17)</b> This appropriation is limited to the promotion of New Zealand as a visitor destination in key overseas markets.	111,950	(32,271)	79,679
<b>Tourism: Regional Events Fund (M69) (A17)</b> This appropriation is limited to supporting the delivery of events that will stimulate domestic tourism.	-	49,750	49,750

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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Tourism: Strategic Tourism Assets Protection Programme (M69) (A17)</b> This appropriation is limited to funding for strategic tourism assets and infrastructure to mitigate the economic impacts of COVID-19.	-	<b>98,500</b>	98,500
<b>Total Non-Departmental Output Expenses</b>	750,536	532,083	1,282,619
<b>Non-Departmental Other Expenses</b>			
<b>Commerce and Consumer Affairs: Financial Markets Authority Litigation Fund (M13) (A17)</b> This appropriation is limited to meeting the cost of major litigation activity arising from the enforcement of financial markets and securities markets law.	5,000	<b>3,878</b>	8,878
<b>Commerce and Consumer Affairs: Takeovers Panel Litigation Fund (M13) (A17)</b> This appropriation is limited to meeting the cost of major litigation activity undertaken by the Takeovers Panel arising from it carrying out functions under the Takeovers Act 1993 and the Takeovers Code.	200	-	200
<b>Economic Development: Attracting International Screen Productions (M25) (A17)</b> This appropriation is limited to activities that promote and market New Zealand as an international screen production destination and the provision of specialist screen business services and information to international clients, the New Zealand screen industry and screen-related agencies.	1,300	-	1,300
<b>Economic Development: Business Support Packages (M25) (A17)</b> This appropriation is limited to delivering support to businesses in Whakatane District and Westland District.	-	<b>2,370</b>	2,370
<b>Economic Development: Depreciation on Auckland's Queens Wharf (M25) (A17)</b> This appropriation is limited to depreciation expenses associated with Auckland's Queens Wharf.	1,000	-	1,000
<b>Economic Development: Future-proofing New Zealand's Manufacturing Sector by Driving Industry 4.0 Uptake and Skills Development (M25) (A17)</b> This appropriation is limited to a package of complementary elements that target different stages of Industry 4.0 uptake.	1,898	<b>477</b>	2,375
<b>Economic Development: International Subscriptions and Memberships (M25) (A17)</b> This appropriation is limited to the payment of annual subscriptions to international organisations that New Zealand has joined as a member state.	1,760	-	1,760
<b>Economic Development: New Zealand Events Support (M25) (A17)</b> This appropriation is limited to the delivery or support of events that are socially, culturally or economically significant for New Zealand that would otherwise not proceed due to COVID-19 impacts, or events that will help to maintain capability within the events sector.	-	<b>9,700</b>	9,700
<b>Energy and Resources: Electricity Litigation Fund (M28) (A17)</b> This appropriation is limited to meeting the cost of litigation activity undertaken by the Electricity Authority arising from it carrying out its functions under the Electricity Industry Act 2010.	444	<b>700</b>	1,144
<b>Energy and Resources: Fair Value Write Down on Loans and Investments (M28) (A17)</b> This appropriation is limited to the fair value write down on loans and investments provided within the Energy and Resources portfolio, including the Crown loans scheme.	-	<b>500</b>	500
<b>Energy and Resources: International Energy Agency Contribution (M28) (A17)</b> This appropriation is limited to contributions towards the cost of membership fees to International Energy Agency, and energy-related research and policy development undertaken by the International Energy Agency.	249	-	249
<b>Energy and Resources: National New-Energy Development Centre (M28) (A17)</b> This appropriation is limited to establishing and operating a National New-Energy Development Centre.	8,400	-	8,400
<b>Impairment of Debt and Debt Write Offs (M25) (A17)</b> This appropriation is limited to expenses arising from the recognition of impaired value of Crown debts, including write down and write offs and amounts relating to the impairment of these debts.	225	<b>675</b>	900

	2020/21		
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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Māori Development: Māori Innovation Fund (M46) (A17)</b> This appropriation is limited to investing in initiatives that contribute to the achievement of the economic goals and priorities in He kai kei aku ringa.	1,000	725	1,725
<b>Regional Economic Development: Fair Value Write Down - Tourism (M25) (A17)</b> This appropriation is limited to fair value write downs on loans made from the Tourism Recovery Fund.	-	10,000	10,000
<b>Regional Economic Development: Fair Value Write Down (M25) (A17)</b> This appropriation is limited to fair value write downs on concessional loans made from the Provincial Growth Fund.	-	15,000	15,000
<b>Research, Science and Innovation: Catalyst Fund (M84) (A17)</b> This appropriation is limited to grants to support international science partnerships.	34,751	500	35,251
<b>Research, Science and Innovation: Regional Research Institutes (M84) (A17)</b> This appropriation is limited to the establishment of and contribution to the operation of Regional Research Institutes.	11,353	(1,829)	9,524
<b>Tourism Infrastructure Fund (M69) (A17)</b> The appropriation is limited to supporting communities to respond to tourism-related pressures on existing infrastructure.	31,695	4,818	36,513
<b>Tourism: Tourism Strategic Infrastructure and System Capability (M69) (A17)</b> This appropriation is limited to investment in tourism-related infrastructure and systems, including capability, in accordance with an agreed International Visitor Conservation and Tourism Visitor Levy Investment Plan.	43,763	(11,306)	32,457
<b>Worker Redeployment Package (M25) (A17)</b> This appropriation is limited to the support package for worker redeployment and training.	-	55,790	55,790
<b>Total Non-Departmental Other Expenses</b>	143,038	91,998	235,036
<b>Non-Departmental Capital Expenditure</b>			
<b>Communications: Radio Spectrum Management Rights - Capital (M100) (A17)</b> This appropriation is limited to lending involved in capital transactions related to radio spectrum management rights allocations.	-	165,600	165,600
<b>Economic and Regional Development: Investment in Crown-owned Companies for Tourism (M25) (A17)</b> This appropriation is limited to investments in Crown-owned companies and their subsidiaries for tourism-related projects.	-	15,000	15,000
<b>Economic and Regional Development: Tourism Capital Investment (M25) (A17)</b> This appropriation is limited to capital expenditure for tourism-related initiatives.	-	17,500	17,500
<b>Economic and Regional Development: Transfer of investments - Crown-owned companies (M25) (A17)</b> This appropriation is limited to the transfer of Provincial Growth Fund investments, and other regional economic development investments to Crown-owned companies and their subsidiaries.	-	50,000	50,000
<b>Energy and Resources: Crown Energy Efficiency (M28) (A17)</b> This appropriation is limited to the Crown loans scheme to assist public sector agencies in implementing energy efficiency and carbon emission reducing projects.	2,000	-	2,000
<b>Energy and Resources: Crown Energy Efficiency - Capital Injection (M28) (A17)</b> This appropriation is limited to capital injections to Crown entities to assist public sector agencies in implementing energy efficiency and carbon emission reducing projects.	-	16,787	16,787
<b>Investment in the Financial Markets Authority (M13) (A17)</b> This appropriation is limited to meeting the cost of capital requirements for the regulation of investment and securities markets and the financial industry.	3,800	-	3,800



	2020/21		
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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Regional Economic Development Capital Investments (M25) (A17)</b> This appropriation is limited to Crown Investments in Provincial Growth Fund Limited (PGFL).	6,600	<b>81,400</b>	88,000
<b>Regional Economic Development Investments (M101) (A17)</b> This appropriation is limited to the Crown's investment in Regional Opportunities.	-	<b>6,473</b>	6,473
<b>Regional Economic Development: Tourism Investment Provincial Growth Fund Limited (M25) (A17)</b> This appropriation is limited to the Crown's investment in Provincial Growth Fund Limited for tourism-related projects.	-	<b>94,823</b>	94,823
<b>Regional Economic Development: Transfer of investments (M25) (A17)</b> This appropriation is limited to the transfer of Provincial Growth Fund investments, and other regional economic development investments to Provincial Growth Fund Limited.	-	<b>66,100</b>	66,100
<b>Research, Science and Innovation: Callaghan Innovation (M84) (A17)</b> This appropriation is limited to capital expenditure to support the development of Callaghan Innovation's strategic infrastructure.	29,458	<b>(25,500)</b>	3,958
<b>Total Non-Departmental Capital Expenditure</b>	41,858	488,183	530,041
<b>Multi-Category Expenses and Capital Expenditure</b>			
<b>Commerce and Consumer Affairs: Enforcement of General Market Regulation MCA (M13) (A17)</b> The single overarching purpose of this appropriation is to resource the Commerce Commission to carry out its activities in relation to consumer and competition regulation.	28,277	<b>1,200</b>	29,477
<b>Non-Departmental Output Expenses</b>			
<i>Enforcement of Competition Regulation</i> This category is limited to the activities of the Commerce Commission in relation to the general market provisions of the Commerce Act 1986 and its non-levy recoverable activities under the Dairy Industry Restructuring Act 2001.	9,993	500	10,493
<i>Enforcement of Consumer Regulation</i> This category is limited to the activities of the Commerce Commission in relation to the Fair Trading Act 1986 and the Credit Contracts and Consumer Finance Act 2003.	17,784	700	18,484
<i>Liquid Fuels Monitoring and Enforcement</i> This category is limited to the activities of the Commerce Commission in relation to legislation regulating the fuel industry.	500	-	500
<b>Commerce Commission Litigation Funds MCA (M13) (A17)</b> The single overarching purpose of this appropriation is to meet the costs of major litigation activity undertaken by the Commerce Commission arising from its general market or sector specific activities.	12,661	-	12,661
<b>Non-Departmental Other Expenses</b>			
<i>Commerce Commission Externally-Sourced Litigation</i> This category is limited to meeting the external direct costs of major litigation activity undertaken by the Commerce Commission arising from its general market or sector specific activities.	9,161	(1,161)	8,000
<i>Commerce Commission Internally-Sourced Litigation</i> This category is limited to meeting the internal costs of major litigation activity undertaken by the Commerce Commission arising from its general market or sector specific activities.	3,500	1,161	4,661



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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Communications: Management of Emergency Telecommunications Services MCA (M100) (A17)</b> The single overarching purpose of this appropriation is to manage telecommunications capabilities used by the public to communicate with emergency services and develop commercialisation of these capabilities.	12,523	(2,500)	10,023
<b>Departmental Output Expenses</b>			
<i>Management and Development of Commercialisation</i> This category is limited to the management and development of international commercialisation of telecommunications capabilities used by the public to communicate with emergency services.	-	10	10
<i>Management of Telecommunications Capabilities</i> This category is limited to the management of telecommunications capabilities used by the public to communicate with emergency services.	12,523	(2,510)	10,013
<b>Communications: Services for Deaf, Hearing Impaired and Speech Impaired People MCA (M100) (A17)</b> The single overarching purpose of this appropriation is to ensure that deaf, hearing impaired and speech impaired people are able to access telecommunications services.	4,684	4,722	9,406
<b>Non-Departmental Output Expenses</b>			
<i>Administrative Support for Telecommunications Relay Equipment and Services</i> This category is limited to the supply of telecommunications relay services and platforms, including depreciation, the rental of relay user equipment and the purchase of ancillary services to support the availability and uptake of relay services.	1,519	-	1,519
<b>Non-Departmental Other Expenses</b>			
<i>Telecommunications Development Levy Funded Procurement - Deaf Relay Service TSO</i> This category is limited to the purchase of deaf relay services under a specified telecommunications service obligation.	3,000	4,722	7,722
<b>Non-Departmental Capital Expenditure</b>			
<i>Acquisition of Relay Equipment</i> This category is limited to purchasing relay equipment for the deaf, hearing impaired, and speech impaired people.	165	-	165
<b>Energy and Resources: Investment in Infrastructure Projects MCA (M28) (A17)</b> The single overarching purpose of this appropriation is to invest in infrastructure projects which support the Energy sector.	-	86,239	86,239
<b>Non-Departmental Output Expenses</b>			
<i>Operational Support of Infrastructure Investments</i> This category is limited to the supporting infrastructure projects, including the purchase of ancillary services.	-	250	250
<b>Non-Departmental Other Expenses</b>			
<i>Capital Infrastructure Investments - fair value write down</i> This category is limited to the initial fair value write-down of loans for infrastructure projects.	-	22,000	22,000
<i>Capital Infrastructure Investments - Impairment of debt and equity investments</i> This category is limited to expenses arising from the recognition of the impaired value of infrastructure investment debts and equity investments, including write down and write offs.	-	1	1
<i>Grants to support Infrastructure Investments</i> This category is limited to grants for infrastructure projects.	-	26,487	26,487

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<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<i>Other Activities to support Infrastructure Investments</i> This category is limited to activities that support the development of infrastructure projects.	-	1	1
<b>Non-Departmental Capital Expenditure</b>			
<i>Loans and Equity Investments to support Infrastructure Investments</i> This category is limited to loans and equity investments for infrastructure projects.	-	37,500	37,500
<b>Energy and Resources: Renewable Energy in Public and Māori Housing MCA (M28) (A17)</b> The single overarching purpose of this appropriation is to improve energy affordability in public and Māori housing through provision of renewable energy solutions.	-	3,200	3,200
<b>Non-Departmental Output Expenses</b>			
<i>Operational Support</i> This category is limited to the operational support of initiatives that contribute towards renewable energy solutions for public and Māori housing.	-	147	147
<i>Provision of Funding for Purchase of Renewable Energy Solutions</i> This category is limited to the provision of renewable energy solutions to organisations or individuals, designed to improve energy affordability in public and Māori housing, or the award of grants to support renewable energy solutions.	-	1,850	1,850
<b>Non-Departmental Capital Expenditure</b>			
<i>Equity Investments</i> This category is limited to equity investments and capital expenditure that contribute towards renewable energy solutions for public and Māori housing.	-	1,203	1,203
<b>Policy Advice and Related Outputs MCA (M25) (A17)</b> The single overarching purpose of this appropriation is to provide policy advice and other support services to Ministers in discharging their policy decision-making and other portfolio responsibilities.	60,186	17,128	77,314
<b>Departmental Output Expenses</b>			
<i>Investigative Services - Trade Remedies</i> This category is limited to investigative services to support advice to the Minister of Commerce and Consumer Affairs to establish whether New Zealand industries require remedies to prevent injury caused by dumped or subsidised imports or sudden import surges.	575	-	575
<i>Policy Advice and Related Services to Ministers - Commerce and Consumer Affairs</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to commerce and consumer affairs.	12,292	270	12,562
<i>Policy Advice and Related Services to Ministers - Communications</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to communications.	5,015	-	5,015
<i>Policy Advice and Related Services to Ministers - Economic Development</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to economic development.	21,047	9,526	30,573
<i>Policy Advice and Related Services to Ministers - Energy and Resources</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to energy and resources.	5,832	3,221	9,053

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<i>Policy Advice and Related Services to Ministers - Research, Science and Innovation</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to small business.	9,375	500	9,875
<i>Policy Advice and Related Services to Ministers - Small Business</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to small business.	1,472	-	1,472
<i>Policy Advice and Related Services to Ministers - Tourism</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to tourism.	4,578	3,611	8,189
<b>Project Tāwhaki Expenses MCA (M84) (A17)</b> The single overarching purpose of this appropriation is to fund the Crown's portion of the Project Tāwhaki land acquisition and provide a grant to Kaitōrete Limited to enable the Rūnanga to cover their portion of the Project Tāwhaki land acquisition.	-	16,000	16,000
<b>Non-Departmental Other Expenses</b>			
<i>Project Tāwhaki - Grants</i> This category is limited to providing grants for Kaitōrete Limited's share of the acquisition of critical parcels of land at Kaitōrete Spit.	-	2,000	2,000
<b>Non-Departmental Capital Expenditure</b>			
<i>Project Tāwhaki Land Acquisition - Capital</i> This category is limited to capital expenditure to cover the Crown's share of the acquisition of critical parcels of land at Kaitōrete Spit.	-	14,000	14,000
<b>Regional Economic Development: Provincial Growth Fund MCA (M25) (A17)</b> The single overarching purpose of this appropriation is to achieve the outcome of a lift in the productivity potential in the regions.	185,053	633,732	818,785
<b>Departmental Output Expenses</b>			
<i>Operational Support of Regional and Sector Investments</i> This category is limited to supporting regional economic development through regional, sectoral and infrastructure initiatives.	37,961	2,354	40,315
<b>Non-Departmental Output Expenses</b>			
<i>Provincial Growth Fund Investment Management</i> This category is limited to the establishment, administration and management of Provincial Growth Fund investments by Provincial Growth Fund Limited.	1,000	335	1,335
<i>Provincial Growth Fund Investment Management - Crown Regional Holdings Limited</i> This category is limited to the establishment, administration and management of Provincial Growth Fund investments by Crown Regional Holdings Limited (CRHL) and Provincial Growth Fund Limited (PGFL) and their subsidiaries.	-	750	750
<i>Provincial Growth Fund Limited Operating</i> This category is limited to the Crown contribution to the operational costs of the Provincial Growth Fund Limited and all associated activities including subsidiaries.	-	750	750
<b>Non-Departmental Other Expenses</b>			
<i>Supporting Regional and Sector Initiatives</i> This category is limited to supporting regional economic development through regional, sectoral and infrastructure initiatives, including feasibility studies, and initiatives to build skills, capability and capacity in regions.	13,707	396,478	410,185

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b><i>Non-Departmental Capital Expenditure</i></b>			
<i>Crown Regional Holdings Limited Capital Investment</i> This category is limited to the Crown's investment in Crown Regional Holdings Limited (CRHL) and Provincial Growth Fund Limited (PGFL) and their subsidiaries.	-	61,000	61,000
<i>Provincial Growth Fund Limited Capital Investment</i> This category is limited to the Crown's investment in Provincial Growth Fund Limited.	-	304,450	304,450
<i>Supporting Capital Projects</i> This category is limited to capital projects that are expected to make a significant contribution to a region's future growth potential, including investments that enable regions to be well connected from an economic and social perspective.	132,385	(132,385)	-
<b>Research, Science and Innovation: Callaghan Innovation - Operations MCA (M84) (A17)</b> The single overarching purpose of this appropriation is to fund Callaghan Innovation to broker and provide innovation services to businesses and deliver programmes that enhance New Zealand's innovation system.	80,288	<b>264,147</b>	344,435
<b><i>Non-Departmental Output Expenses</i></b>			
<i>Building Business Innovation</i> This category is limited to activities that raise awareness about and increase business investment in Research and Development.	36,378	3,247	39,625
<i>Business Research and Development Contract Management</i> This category is limited to selection of businesses or individuals for either the provision of Research Science and Technology output, or the award of grants, and to negotiate, manage and monitor appropriate contracts with these businesses or individuals.	7,750	-	7,750
<i>R&amp;D short term loan scheme subsequent impairment of loans</i> This category is limited to the subsequent impairment of loans granted through the short-term research and development (R&D) loan scheme, including write-downs and write-offs.	-	20,000	20,000
<i>Research and Development Services and Facilities for Business and Industry</i> This category is limited to providing research and technical expertise and facilities to business and industry.	36,160	1,100	37,260
<b><i>Non-Departmental Other Expenses</i></b>			
<i>R&amp;D short term loan scheme initial fair value write-down</i> This category is limited to the initial fair value write-down of loans granted through the short-term research and development (R&D) loan scheme.	-	90,000	90,000
<b><i>Non-Departmental Capital Expenditure</i></b>			
<i>Short-term Research and Development Loan Scheme</i> This category is limited to loans to Callaghan Innovation to provide temporary financial assistance by way of short-term loans to businesses undertaking research and development.	-	149,800	149,800
<b>Research, Science and Innovation: Contract Management MCA (M84) (A17)</b> The single overarching purpose of this appropriation is to manage the allocation of funds for science, research and technology, to manage the related contracts and to evaluate the science and innovation outputs and impacts.	29,855	<b>466</b>	30,321
<b><i>Departmental Output Expenses</i></b>			
<i>Science and Innovation Contract Management</i> This category is limited to contract management, planning, fund allocation, monitoring, and evaluating science and innovation outputs and impacts.	19,182	226	19,408

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b><i>Non-Departmental Output Expenses</i></b>			
<b><i>Research Contract Management</i></b> This category is limited to the selection of organisations and/or individuals to either provide research, science and technology related outputs, or manage and award research, science and technology grants; and to negotiate, manage and monitor appropriate contracts related to those outputs or awards.	10,673	240	10,913
<b>Research, Science and Innovation: Strategic Science Investment Fund MCA (M84) (A17)</b> The single overarching purpose of this appropriation is to support long-term programmes of mission-led science and the platforms that enable those programmes.	323,681	24,623	348,304
<b><i>Non-Departmental Output Expenses</i></b>			
<b><i>Strategic Science Investment Fund - Infrastructure</i></b> This category is limited to funding that provides access to research technology, facilities, infrastructure, collections and databases, and associated support services.	62,859	2,000	64,859
<b><i>Strategic Science Investment Fund - Programmes</i></b> This category is limited to funding for research organisations to undertake longer-term programmes of mission-led research.	260,822	22,623	283,445
<b>Research, Science and Innovation: Talent and Science Promotion MCA (M84) (A17)</b> The single overarching purpose of this appropriation is to contribute to an excellent, high-performing science system through enhancing public engagement with science and the development of talented people, including through research.	28,989	8,100	37,089
<b><i>Non-Departmental Output Expenses</i></b>			
<b><i>Fellowships for Excellence</i></b> This category is limited to encouraging the career development of the country's talented early and mid-career researchers.	10,570	9,600	20,170
<b><i>Science in Society</i></b> This category is limited to approaches that enhance and enable better engagement of New Zealanders with science and technology.	11,849	(1,500)	10,349
<b><i>Vision Mātauranga Capability Fund</i></b> This category is limited to the development of skilled people and organisations undertaking research that supports the four themes of Vision Mātauranga; indigenous innovation, environmental sustainability, health and social well-being, and exploring indigenous knowledge.	6,070	-	6,070
<b><i>Non-Departmental Other Expenses</i></b>			
<b><i>Royal Society of New Zealand</i></b> This category is limited to an annual grant to the Royal Society of New Zealand to contribute towards the Society's aims and functions under the Royal Society of New Zealand Act 1997 to promote and advance science and technology.	500	-	500
<b>Sector Analysis and Facilitation MCA (M25) (A17)</b> The single overarching purpose of this appropriation is to provide services that support the effective functioning of individual economic sectors.	6,699	(30)	6,669
<b><i>Departmental Output Expenses</i></b>			
<b><i>Sectoral and regional data and analysis - Economic Development</i></b> This category is limited to the research, analysis and dissemination of data on economic performance of sectors and regions.	3,484	-	3,484
<b><i>Tourism Data and Analysis - Tourism</i></b> This category is limited to research, analysis and dissemination of data on tourism.	3,215	(30)	3,185

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<b>Seed Co-Investment Fund MCA (M25) (A17)</b>	750	-	750
The single overarching purpose of this appropriation is to provide capital and market development services to support the development of markets for early stage equity finance and venture capital.			
<b>Non-Departmental Output Expenses</b>			
<i>Investment Fund Management</i>	750	(10)	740
This category is limited to market development operational Costs of the Venture and Seed Co-Investment Funds.			
<b>Non-Departmental Capital Expenditure</b>			
<i>Seed Co-Investment Fund</i>	-	10	10
This category is limited to providing capital to support the development of the market for early stage equity finance.			
<b>Services and Advice to Support Well-functioning Financial Markets MCA (M13) (A17)</b>	48,500	-	48,500
The single overarching purpose of this appropriation is to support well-functioning financial markets through the activities of the Financial Markets Authority.			
<b>Non-Departmental Output Expenses</b>			
<i>Performance of Investigation and Enforcement Functions</i>	8,348	1,934	10,282
This category is limited to statutory functions relating to the investigation and enforcement of financial markets legislation, including the assessment of complaints, tips, and referrals.			
<i>Performance of Licensing and Compliance Monitoring Functions</i>	21,652	(2,592)	19,060
This category is limited to statutory functions relating to licensing of market participants and risk-based monitoring of compliance, including with disclosure requirements under financial markets legislation.			
<i>Performance of Market Analysis and Guidance, Investor Awareness, and Regulatory Engagement Functions</i>	18,500	658	19,158
This category is limited to statutory functions relating to market intelligence, guidance, investor education, and regulatory and government co-operation and advice.			
<b>Small Business: Digital Enablement of Small Business MCA (M62) (A17)</b>	-	13,500	13,500
The single overarching purpose of this appropriation is to achieve the outcome of accelerating the digital enablement of New Zealand's small business.			
<b>Departmental Output Expenses</b>			
<i>Small Business: Digital Enablement Programme Design, and Management</i>	-	900	900
This category is limited to the design, establishment, administration, management and monitoring of initiatives to accelerate the digital enablement of New Zealand small business.			
<b>Non-Departmental Output Expenses</b>			
<i>Small Business: Digital Enablement Small Business and Provider Funding and Services</i>	-	12,600	12,600
This category is limited to funding small businesses and providers for services and products that accelerate the digital enablement of New Zealand small businesses.			
<b>Support New Market Opportunities to Grow Firms and Sectors for the Benefit of New Zealand MCA (M25) (A17)</b>	31,231	31,800	63,031
The single overarching purpose of this appropriation is to promote growth by providing support to firms and sectors in securing new market opportunities.			
<b>Non-Departmental Other Expenses</b>			
<i>International Growth Fund</i>	30,027	31,800	61,827
This category is limited to helping firms to undertake the market development and business capability development activities required for international markets, that will deliver benefits for both the firm and the wider New Zealand economy.			

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
<b>Titles and Scopes of Appropriations by Appropriation Type</b>			
<i>Sector Strategies and Facilitation</i> This category is limited to assistance with the facilitation of specific sector initiatives, particularly in areas of major events, pre-feasibility and feasibility studies and guarantees for significant projects to access funding through other government programmes and cash grants.	1,204	-	1,204
<b>Support the Growth and Development of New Zealand Firms, Sectors and Regions MCA (M25) (A17)</b> The single overarching purpose of this appropriation is to provide support for the growth and development of New Zealand firms, sectors and regions to maximise international business opportunities.	177,246	<b>89,673</b>	266,919
<i>Non-Departmental Output Expenses</i>			
<i>Collaborative Activity and Special Events</i> This category is limited to activities where NZTE collaborates with other New Zealand Agency partners to leverage New Zealand's profile and diaspora in international markets, and secure and capitalise on special events or opportunities that support New Zealand's export growth.	4,420	2,049	6,469
<i>International Business Growth Services</i> This category is limited to helping individual or group of firms internationalise by improving their capability and readiness; identifying and exploiting market opportunities; overcoming internationalisation barriers; providing customised advice and support; enabling access to international business networks; and matching capital with opportunities that support New Zealand's economic growth.	157,645	24,098	181,743
<i>Services to Support the Growth and Development of New Zealand Businesses</i> This category is limited to building and enhancing business and management capability through providing access to effective assessment, advice, training, mentoring and information.	15,181	63,526	78,707
<b>Tourism Facilities MCA (M69) (A17)</b> The single overarching purpose of this appropriation is to enhance New Zealand's tourism facilities and infrastructure.	19,767	<b>9,834</b>	29,601
<i>Non-Departmental Other Expenses</i>			
<i>Ngā Haerenga, The New Zealand Cycle Trail Fund</i> This category is limited to the provision of funding for the maintenance, development and promotion of Ngā Haerenga, the New Zealand Cycle Trail including the provision of funding to New Zealand Cycle Trail Incorporated.	11,500	9,000	20,500
<i>Tourism Facilities Development Grants</i> This category is limited to the provision of financial assistance for the development of tourism facilities and services.	8,267	834	9,101
<b>Total Multi-Category Expenses and Capital Expenditure</b>	1,050,390	1,201,834	2,252,224
<b>Total Annual Appropriations and Forecast Permanent Appropriations</b>	2,279,330	2,386,633	4,665,963



## Multi-Year Appropriations

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Departmental Output Expenses</b>		
<b>Communications: Pacific Cyber Security Initiatives (M100) (A17)</b> This appropriation is limited to the provision of advice, information and technical expertise to increase the cybersecurity skills and capabilities of countries in the Pacific region.	Original Appropriation	604
	Adjustments to 2019/20	-
	Adjustments for 2020/21	429
	Adjusted Appropriation	1,033
Commences: 01 July 2020	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	250
	Estimate for 2021/22	783
	Estimated Appropriation Remaining	-
<b>Economic and Regional Development: Operational support for Grants and Crown investments (M25) (A17)</b> This appropriation is limited to the administration, management and reporting on grants and Crown investments.	Original Appropriation	8,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	8,000
Commences: 15 September 2020	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	2,800
	Estimate for 2021/22	3,700
	Estimated Appropriation Remaining	1,500
<b>Energy and Resources: Supporting Decommissioning of Oil Fields (M28) (A17)</b> This appropriation is limited to support costs and the purchase of ancillary services related to demobilisation and decommissioning of oil fields, including decommissioning of the Tui Oil field.	Original Appropriation	9,804
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	9,804
Commences: 15 March 2021	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	905
	Estimate for 2021/22	3,077
	Estimated Appropriation Remaining	5,822
<b>Non-Departmental Output Expenses</b>		
<b>Commerce and Consumer Affairs: Regulation of Airport Services 2019-2024 (M13) (A17)</b> This appropriation is limited to the regulation of specified airport services under Part 4 of the Commerce Act 1986 for the period 2019-2024.	Original Appropriation	2,763
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	2,763
Commences: 01 July 2019	Actual to 2019/20 Year End	318
Expires: 30 June 2024	Estimated Actual for 2020/21	957
	Estimate for 2021/22	475
	Estimated Appropriation Remaining	1,013

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Commerce and Consumer Affairs: Regulation of Electricity Lines Services 2019-2024 (M13) (A17)</b> This appropriation is limited to the regulation of electricity lines services under Part 4 of the Commerce Act 1986 for the period 2019-2024.  Commences: 01 July 2019  Expires: 30 June 2024	Original Appropriation	28,311
	Adjustments to 2019/20	-
	Adjustments for 2020/21	10,254
	Adjusted Appropriation	38,565
	Actual to 2019/20 Year End	6,883
	Estimated Actual for 2020/21	5,467
	Estimate for 2021/22	8,541
	Estimated Appropriation Remaining	17,674
<b>Commerce and Consumer Affairs: Regulation of Gas Pipelines Services 2019-2024 (M13) (A17)</b> This appropriation is limited to the regulation of gas pipeline services under Part 4 of the Commerce Act 1986 for the period 2019-2024.  Commences: 01 July 2019  Expires: 30 June 2024	Original Appropriation	9,684
	Adjustments to 2019/20	-
	Adjustments for 2020/21	3,337
	Adjusted Appropriation	13,021
	Actual to 2019/20 Year End	1,300
	Estimated Actual for 2020/21	1,900
	Estimate for 2021/22	3,517
	Estimated Appropriation Remaining	6,304
<b>Economic and Regional Development: Auckland Pacific Skills (M25) (A17)</b> This appropriation is limited to establishing and operating the Auckland Pacific Skills Shift programme.  Commences: 01 December 2020  Expires: 30 June 2025	Original Appropriation	20,965
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	20,965
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	3,488
	Estimate for 2021/22	6,477
	Estimated Appropriation Remaining	11,000
<b>Economic Development: Assistance with Hosting of the 36th America's Cup (M25) (A17)</b> This appropriation is limited to event delivery and associated infrastructure for the 36th America's Cup.  Commences: 01 April 2018  Expires: 30 June 2022	Original Appropriation	100,000
	Adjustments to 2019/20	36,500
	Adjustments for 2020/21	(5,000)
	Adjusted Appropriation	131,500
	Actual to 2019/20 Year End	106,374
	Estimated Actual for 2020/21	25,126
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-
<b>Energy and Resources: Advice on Viable Energy Storage Projects (M28) (A17)</b> This appropriation is limited to the delivery of advice on the technical, environmental, and commercial feasibility of viable energy storage projects, including an assessment against alternatives.  Commences: 20 July 2020  Expires: 30 June 2025	Original Appropriation	93,085
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	93,085
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	29,787
	Estimate for 2021/22	63,298
	Estimated Appropriation Remaining	-

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Implementation of the Grant Scheme for Warm, Dry Homes (M28) (A17)</b> This appropriation is limited to implementation of the grant scheme for warm, dry homes.  Commences: 01 July 2018  Expires: 30 June 2023	Original Appropriation	10,260
	Adjustments to 2019/20	-
	Adjustments for 2020/21	9,134
	Adjusted Appropriation	19,394
	Actual to 2019/20 Year End	3,780
	Estimated Actual for 2020/21	5,272
	Estimate for 2021/22	5,272
	Estimated Appropriation Remaining	5,070
<b>Energy and Resources: Management of IEA Oil Stocks 2020-2024 (M28) (A17)</b> This appropriation is limited to purchasing, managing and investigating oil tickets (forward purchase contracts for oil supply) and other related oil security products needed to meet International Energy Agency treaty obligations.  Commences: 01 April 2020  Expires: 30 June 2024	Original Appropriation	113,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	416
	Adjusted Appropriation	113,416
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	26,416
	Estimate for 2021/22	29,000
	Estimated Appropriation Remaining	58,000
<b>Energy and Resources: Managing the Security of New Zealand's Electricity Supply 2017-2022 (M28) (A17)</b> This appropriation is limited to the management by the System Operator (Transpower) of actual or emerging emergency events relating to the security of New Zealand's electricity supply.  Commences: 01 July 2017  Expires: 30 June 2022	Original Appropriation	6,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	6,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	4,800
	Estimate for 2021/22	1,200
	Estimated Appropriation Remaining	-
<b>Energy and Resources: Meeting Crown Obligations (M28) (A17)</b> This appropriation is limited to expenses incurred in meeting, or arising from, Crown obligations in the Energy and Resources portfolio.  Commences: 12 April 2021  Expires: 30 June 2025	Original Appropriation	84,344
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	84,344
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	84,344
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-
<b>Enforcement of Telecommunications Sector Fibre and Broadcasting Transmission Service Regulation (M100) (A17)</b> This appropriation is limited to the regulation of wholesale telecommunication fibre services and regulatory work resulting from changes to the definition of a telecommunication service in the Telecommunications Amendment Act 2018.  Commences: 01 April 2019  Expires: 30 June 2022	Original Appropriation	12,300
	Adjustments to 2019/20	-
	Adjustments for 2020/21	6,359
	Adjusted Appropriation	18,659
	Actual to 2019/20 Year End	8,148
	Estimated Actual for 2020/21	4,493
	Estimate for 2021/22	6,018
	Estimated Appropriation Remaining	-

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Research and Development Growth Grants (M84) (A17)</b> This appropriation is limited to co-funding for private businesses to invest in a multi-year programme of research and development.  Commences: 01 July 2017  Expires: 30 June 2022	Original Appropriation	802,860
	Adjustments to 2019/20	(24,751)
	Adjustments for 2020/21	(35,000)
	Adjusted Appropriation	743,109
	Actual to 2019/20 Year End	588,397
	Estimated Actual for 2020/21	154,712
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-
<b>Research, Science and Innovation: National Science Challenges (M84) (A17)</b> This appropriation is limited to strategic, collaborative mission-led research programmes to find innovative solutions to fundamental issues and opportunities facing New Zealand.  Commences: 01 July 2019  Expires: 30 June 2024	Original Appropriation	470,175
	Adjustments to 2019/20	(29,700)
	Adjustments for 2020/21	-
	Adjusted Appropriation	440,475
	Actual to 2019/20 Year End	90,290
	Estimated Actual for 2020/21	114,744
	Estimate for 2021/22	97,062
	Estimated Appropriation Remaining	138,379
<b>Targeted Business Research and Development Funding (M84) (A17)</b> This appropriation is limited to co-funding for private businesses to undertake research and development projects and for funding students to work in research and development active businesses.  Commences: 01 July 2017  Expires: 30 June 2022	Original Appropriation	187,500
	Adjustments to 2019/20	3,835
	Adjustments for 2020/21	35,000
	Adjusted Appropriation	226,335
	Actual to 2019/20 Year End	106,729
	Estimated Actual for 2020/21	82,106
	Estimate for 2021/22	37,500
	Estimated Appropriation Remaining	-
<b>Transitional provision of mail services by New Zealand Post (M100) (A17)</b> This appropriation is limited to transitional resourcing of New Zealand Post so that it provides a minimum level of mail service, consistent with its obligations under the Deed of Understanding for the basic postal delivery service.  Commences: 01 April 2020  Expires: 30 June 2024	Original Appropriation	130,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	130,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	57,113
	Estimate for 2021/22	69,939
	Estimated Appropriation Remaining	2,948

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Non-Departmental Other Expenses</b>		
<b>Economic and Regional Development: 2023 FIFA Women's World Cup 2020-2024 (M25) (A17)</b>	Original Appropriation	24,600
This appropriation is limited to supporting the delivery of the FIFA Women's World Cup 2023, and associated leverage, legacy and operational support.	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
Commences: 01 July 2020	Adjusted Appropriation	24,600
Expires: 30 June 2024	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	7,500
	Estimate for 2021/22	9,200
	Estimated Appropriation Remaining	7,900
<b>Economic and Regional Development: Supporting Infrastructure Projects (M25) (A17)</b>	Original Appropriation	608,339
This appropriation is limited to operating expenses on infrastructure projects that support economic growth.	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
Commences: 01 July 2020	Adjusted Appropriation	608,339
Expires: 30 June 2025	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	200,000
	Estimate for 2021/22	200,000
	Estimated Appropriation Remaining	208,339
<b>Economic Development: Major Events Development Fund 2017-2022 (M25) (A17)</b>	Original Appropriation	52,000
This appropriation is limited to support for major events that provide economic, social, cultural and international profiling benefits to New Zealand.	Adjustments to 2019/20	(6,675)
	Adjustments for 2020/21	5,000
Commences: 01 July 2017	Adjusted Appropriation	50,325
Expires: 30 June 2022	Actual to 2019/20 Year End	26,941
	Estimated Actual for 2020/21	13,384
	Estimate for 2021/22	10,000
	Estimated Appropriation Remaining	-
<b>Economic Development: New Zealand Screen Production Grant-International MYA 2017-2021 (M25) (A17)</b>	Original Appropriation	323,112
This appropriation is limited to providing grant assistance to screen productions that are internationally focused and produced in New Zealand.	Adjustments to 2019/20	281,470
	Adjustments for 2020/21	10,300
Commences: 01 July 2017	Adjusted Appropriation	614,882
Expires: 30 June 2021	Actual to 2019/20 Year End	404,894
	Estimated Actual for 2020/21	209,988
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Accelerating Energy Efficiency and Fuel Switching in Industry 2021-2025 (M28) (A17)</b> This appropriation is limited to energy efficiency and fuel switching projects that reduce carbon emissions from industrial processes, including electricity network connections.  Commences: 01 December 2020  Expires: 30 June 2025	Original Appropriation	69,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	69,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	14,600
	Estimate for 2021/22	19,600
	Estimated Appropriation Remaining	34,800
<b>Energy and Resources: Grant Scheme for Warm, Dry Homes (M28) (A17)</b> This appropriation is limited to grants for retrofits to improve the thermal performance of dwellings occupied by low income owners.  Commences: 01 July 2018  Expires: 30 June 2023	Original Appropriation	132,240
	Adjustments to 2019/20	6,103
	Adjustments for 2020/21	167,402
	Adjusted Appropriation	305,745
	Actual to 2019/20 Year End	62,955
	Estimated Actual for 2020/21	102,950
	Estimate for 2021/22	72,480
	Estimated Appropriation Remaining	67,360
<b>Infrastructure: Regional Digital Connectivity Improvements (M100) (A17)</b> This appropriation is limited to digital connectivity infrastructure improvements in the regions funded by the Provincial Growth Fund.  Commences: 01 October 2018  Expires: 30 June 2022	Original Appropriation	80,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	80,000
	Actual to 2019/20 Year End	9,878
	Estimated Actual for 2020/21	70,122
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-
<b>Infrastructure: Telecommunications Development Levy Funded Procurement - Telecommunications Infrastructure Investment (M100) (A17)</b> This appropriation is limited to expenditure that ensures mobile network coverage is available in identified remote areas with coverage 'black spots', particularly on main highways and in key tourist areas; and provision of telecommunications infrastructure that is primarily for serving non-urban end users.  Commences: 01 July 2019  Expires: 30 June 2022	Original Appropriation	101,500
	Adjustments to 2019/20	52,321
	Adjustments for 2020/21	-
	Adjusted Appropriation	153,821
	Actual to 2019/20 Year End	35,406
	Estimated Actual for 2020/21	118,415
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-
<b>Tourism: Recovery from COVID-19 2021-2023 (M69) (A17)</b> This appropriation is limited to support packages for those communities that are most reliant on international tourism.  Commences: 01 April 2021  Expires: 30 June 2023	Original Appropriation	86,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	86,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	8,000
	Estimate for 2021/22	59,000
	Estimated Appropriation Remaining	19,000

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Non-Departmental Capital Expenditure</b>		
<b>Economic and Regional Development: Infrastructure Capital Expenditure Investment (M25) (A17)</b> This appropriation is limited to capital expenditure on capital infrastructure projects that support economic growth.  Commences: 01 July 2020  Expires: 30 June 2025	Original Appropriation Adjustments to 2019/20 Adjustments for 2020/21 Adjusted Appropriation Actual to 2019/20 Year End Estimated Actual for 2020/21 Estimate for 2021/22 Estimated Appropriation Remaining	8,137 - - 8,137 - - 8,137 -
<b>Economic and Regional Development: Infrastructure Investment Provincial Growth Fund Limited (M25) (A17)</b> This appropriation is limited to the Crown's investment in Provincial Growth Fund Limited.  Commences: 01 July 2020  Expires: 30 June 2025	Original Appropriation Adjustments to 2019/20 Adjustments for 2020/21 Adjusted Appropriation Actual to 2019/20 Year End Estimated Actual for 2020/21 Estimate for 2021/22 Estimated Appropriation Remaining	111,057 - - 111,057 - 70,000 41,057 -
<b>Economic and Regional Development: Investment in Crown-owned Companies for Infrastructure (M25) (A17)</b> This appropriation is limited to investment in Crown-owned companies and their subsidiaries for infrastructure.  Commences: 15 March 2021  Expires: 30 June 2025	Original Appropriation Adjustments to 2019/20 Adjustments for 2020/21 Adjusted Appropriation Actual to 2019/20 Year End Estimated Actual for 2020/21 Estimate for 2021/22 Estimated Appropriation Remaining	5,000 - - 5,000 - 5,000 - -
<b>Economic and Regional Development: Investment in Crown-owned Companies for Waste and Resource Recovery Infrastructure (M25) (A17)</b> This appropriation is limited to investments in Crown-owned companies and their subsidiaries to provide infrastructure for waste and resource recovery activities.  Commences: 15 March 2021  Expires: 30 June 2025	Original Appropriation Adjustments to 2019/20 Adjustments for 2020/21 Adjusted Appropriation Actual to 2019/20 Year End Estimated Actual for 2020/21 Estimate for 2021/22 Estimated Appropriation Remaining	33,000 - - 33,000 - 33,000 - -



Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Infrastructure: Broadband Investment (M100) (A17)</b> This appropriation is limited to on going investment funding for Crown Infrastructure Partners to implement the government's broadband investment commitment.  Commences: 01 April 2018  Expires: 30 June 2022	Original Appropriation	582,500
	Adjustments to 2019/20	10,000
	Adjustments for 2020/21	-
	Adjusted Appropriation	592,500
	Actual to 2019/20 Year End	435,000
	Estimated Actual for 2020/21	157,500
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

## Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Total Annual Appropriations and Forecast Permanent Appropriations	2,279,330	2,386,633	4,665,963
Total Forecast MYA Departmental Output Expenses	604	3,351	3,955
Total Forecast MYA Non-Departmental Output Expenses	400,695	200,030	600,725
Total Forecast MYA Non-Departmental Other Expenses	283,560	461,399	744,959
Total Forecast MYA Non-Departmental Capital Expenditure	83,000	182,500	265,500
<b>Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations</b>	<b>3,047,189</b>	<b>3,233,913</b>	<b>6,281,102</b>

## Capital Injection Authorisations

	2020/21		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Ministry of Business, Innovation and Employment - Capital Injection (M25) (A17)	32,951	220,947	253,898

# Supporting Information

## Part 1 - Vote as a Whole

### 1.2 - Trends in the Vote

#### Summary of Financial Activity

	2020/21				
	Estimates \$000	Supplementary Estimates			Total \$000
		Departmental Transactions \$000	Non- Departmental Transactions \$000	Total Transactions \$000	
<b>Appropriations</b>					
Output Expenses	1,365,316	70,605	732,113	802,718	2,168,034
Benefits or Related Expenses	-	N/A	-	-	-
Borrowing Expenses	-	-	-	-	-
Other Expenses	426,598	-	553,397	553,397	979,995
Capital Expenditure	204,885	5,281	670,683	675,964	880,849
Intelligence and Security Department Expenses and Capital Expenditure	-	-	N/A	-	-
Multi-Category Expenses and Capital Expenditure (MCA)					
<i>Output Expenses</i>	836,974	18,078	164,855	182,933	1,019,907
<i>Other Expenses</i>	80,866	-	583,323	583,323	664,189
<i>Capital Expenditure</i>	132,550	N/A	435,578	435,578	568,128
<b>Total Appropriations</b>	3,047,189	93,964	3,139,949	3,233,913	6,281,102
<b>Crown Revenue and Capital Receipts</b>					
Tax Revenue	29,660	N/A	-	-	29,660
Non-Tax Revenue	477,262	N/A	(72,152)	(72,152)	405,110
Capital Receipts	11,162	N/A	-	-	11,162
<b>Total Crown Revenue and Capital Receipts</b>	518,084	N/A	(72,152)	(72,152)	445,932

## Part 2 - Details of Departmental Appropriations

### 2.1 - Departmental Output Expenses

#### Commerce and Consumer Affairs: Administration of the COVID-19 Consumer Travel Reimbursement Scheme (M13) (A17)

##### *Scope of Appropriation*

This appropriation is limited to administration and ancillary services to the COVID-19 consumer travel reimbursement scheme.

##### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	415	415
Revenue from the Crown	-	415	415
Revenue from Others	-	-	-

##### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the effective and efficient processing of claims and disbursement of funds to travel businesses on the refunds and credits secured on behalf of New Zealand consumers.

##### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of verified claims and disbursements of funds to travel businesses allocated accurately	-	New measure	100%
Percentage of verified claims and disbursements processed within 10 working days	-	New measure	100%

##### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

##### *Reasons for Change in Appropriation*

This appropriation increased by \$415,000 for 2020/21 due to funding to support businesses in the travel sector to recover New Zealand consumer funds.

## Commerce and Consumer Affairs: Consumer Advocacy Council for Small Electricity Consumers (M13) (A17)

### *Scope of Appropriation*

This appropriation is limited to the establishment and operation of an independent Consumer Advocacy Council and supporting secretariat for small electricity consumers.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,600	1,600
Revenue from the Crown	-	-	-
Revenue from Others	-	-	-

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the provision of evidence-based advocacy on policy and regulatory consultations, and in decision-making processes, on behalf of small business and residential electricity consumers.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Appoint Council and establish secretariat	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$1.600 million for 2020/21 due to additional funding from the COVID-19 Response and Recovery Fund (CRRF) to support the reduction of energy hardship and strengthening the consumer voice for electricity consumers.

## Commerce and Consumer Affairs: Consumer Information (M13) (A17)

### *Scope of Appropriation*

This appropriation is limited to the development and delivery of information, tools and programmes that increase the ability of consumers to transact with confidence.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	2,275	100	2,375
Revenue from the Crown	2,242	100	2,342
Revenue from Others	49	-	49

### *Reasons for Change in Appropriation*

This appropriation increased by \$100,000 to \$2.375 million for 2020/21 due to a fiscally-neutral adjustment from Vote Building and Construction to reflect a change in the Ministry of Business, Innovation and Employment's (MBIE) internal corporate overhead allocation process.

## Commerce and Consumer Affairs: Official Assignee Functions (M13) (A17)

### *Scope of Appropriation*

This appropriation is limited to the carrying out of statutory functions of the Official Assignee under the Insolvency Act 2006, the Companies Act 1993, the Proceeds of Crime Act 1991 and the Criminal Proceeds (Recovery) Act 2009.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	19,749	4,373	24,122
Revenue from the Crown	11,964	3,443	15,407
Revenue from Others	7,785	930	8,715

### *Reasons for Change in Appropriation*

This appropriation increased by \$4.373 million to \$24.122 million for 2020/21 due to:

- \$2.956 million from the CRRF to manage the growth in personal insolvency cases due to the COVID-19 pandemic
- a \$2.870 million drawdown from the Proceeds of Crime Fund to fund a level of the Criminal Proceeds Management Unit operations, and

- a \$573,000 expense transfer from 2019/20 due to delays in the Wellington Accommodation Consolidation project as a result of the COVID-19 pandemic.

These increases were partially offset by the reprioritisation of \$2.026 million of CRRF funding as this portion was no longer required because the volume of personal insolvency cases for 2020/21 did not materialise as expected.

## Commerce and Consumer Affairs: Registration and Granting of Intellectual Property Rights (M13) (A17)

### *Scope of Appropriation*

This appropriation is limited to the granting, administration, enforcement, and promotion through education of intellectual property rights, including patents, trademarks, designs, plant varieties, and geographical indications.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	28,606	1,700	30,306
Revenue from the Crown	326	-	326
Revenue from Others	30,585	(8,200)	22,385

### *Reasons for Change in Appropriation*

This appropriation increased by \$1.700 million to \$30.306 million for 2020/21 via a fiscally-neutral adjustment to reflect a change in MBIE's internal corporate overhead allocation process.

### *Memorandum Account*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Registration and Granting of Intellectual Property Rights</b>			
Opening Balance at 1 July	29,552	508	30,060
Revenue	30,585	(8,200)	22,385
Expenses	(28,280)	(1,700)	(29,980)
Transfers and Adjustments			
Closing Balance at 30 June	31,857	(9,392)	22,465

## Commerce and Consumer Affairs: Registration and Provision of Statutory Information (M13) (A17)

### *Scope of Appropriation*

This appropriation is limited to the administration of legislation providing for the registration and provision of documents and information services for businesses and other registry activities.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	41,379	4,869	46,248
Revenue from the Crown	4,588	2,769	7,357
Revenue from Others	33,399	(1,600)	31,799

### *Reasons for Change in Appropriation*

This appropriation increased by \$4.869 million to \$46.248 million for 2020/21 due to:

- \$2.200 million from the CRRF and expense transfers from 2019/20 to provide support for small businesses tailored to COVID-19 needs
- a \$2.100 million fiscally-neutral adjustment from the Registration and Provision of Statutory Information memorandum accounts to reflect a change in MBIE's internal corporate overhead allocation process
- a \$500,000 fiscally-neutral adjustment from the 'Weathertight Services' appropriation in Vote Building and Construction to mitigate impact as a result of a change in MBIE's internal corporate overhead allocation process
- a \$359,000 expense transfer from 2019/20 due to delays in the establishment of organisational part service as a result of the timing of the required legislation changes before commencement
- \$289,000 from the CRRF to assist with COVID-19 contact tracing by providing New Zealand Business Number (NZBN) organisation part number to use to download COVID-19 posters
- a \$200,000 fiscally-neutral adjustment from the Economic and Regional Development portfolio to include a Māori business identifier in the NZBN Register to identify Māori businesses, and
- \$185,000 from the CRRF to create a functionality in business registers administered by the Companies Office, to record businesses making use of the Business Debt Hibernation scheme.

These increases are partially offset by:

- a \$670,000 fiscally-neutral adjustment for an operating to capital swap for COVID-19 recovery related initiatives to provide tailored support to small businesses
- a \$150,000 fiscally-neutral adjustment for an operating to capital swap for the inclusion of a Māori business identifier via the NZBN Register, and
- \$144,000 reprioritised CRRF funding that has been returned as it is no longer required.



*Memorandum Account*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Registration and Provision of Statutory Information</b>			
Opening Balance at 1 July	13,045	(1,743)	11,302
Revenue	31,034	(636)	30,398
Expenses	(34,633)	(2,881)	(37,514)
Transfers and Adjustments	-	-	-
Closing Balance at 30 June	9,446	(5,260)	4,186

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Motor Vehicle Traders Register</b>			
Opening Balance at 1 July	(612)	121	(491)
Revenue	1,691	-	1,691
Expenses	(1,667)	-	(1,667)
Transfers and Adjustments	-	-	-
Closing Balance at 30 June	(588)	121	(467)

**Commerce and Consumer Affairs: Standards Development and Approval (M13) (A17)***Scope of Appropriation*

This appropriation is limited to the development, approval, maintenance and provision of access to standards, and related matters.

*Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	6,258	1,995	8,253
Revenue from the Crown	9	-	9
Revenue from Others	6,249	1,995	8,244

*Reasons for Change in Appropriation*

This appropriation increased by \$1.995 million to \$8.253 million for 2020/21 via a fiscally-neutral adjustment to reflect the change in MBIE's internal corporate allocation process.

*Memorandum Account*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Standards New Zealand</b>			
Opening Balance at 1 July	1,445	(80)	1,365
Revenue	6,249	1,995	8,244
Expenses	(6,249)	(1,995)	(8,244)
Transfers and Adjustments	-	-	-
Closing Balance at 30 June	1,445	(80)	1,365

**Commerce and Consumer Affairs: Trading Standards (M13) (A17)***Scope of Appropriation*

This appropriation is limited to compliance, enforcement, and monitoring activities associated with measurement, product safety and fuel quality.

*Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	5,069	(146)	4,923
Revenue from the Crown	4,909	(146)	4,763
Revenue from Others	160	-	160

*Reasons for Change in Appropriation*

This appropriation decreased by \$146,000 to \$4.923 million for 2020/21 via a fiscally-neutral adjustment to the 'Policy Advice Commerce and Consumer Affairs' category of the 'Policy Advice and Related Services' MCA to reflect that the policy function of Product Safety has now been centralised into the Commerce and Competition policy team within MBIE.

## Communications: Pacific Cyber Security Initiatives (M100) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Communications: Pacific Cyber Security Initiatives (M100) (A17)</b> This appropriation is limited to the provision of advice, information and technical expertise to increase the cybersecurity skills and capabilities of countries in the Pacific region.  Commences: 01 July 2020  Expires: 30 June 2025	Original Appropriation	604
	Adjustments to 2019/20	-
	Adjustments for 2020/21	429
	Adjusted Appropriation	1,033
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	250
	Estimate for 2021/22	783
	Estimated Appropriation Remaining	-

### *Revenue*

	Budget \$000
Revenue from the Crown to end of 2021/22	-
Revenue from Others to end of 2021/22	1,033
Total Revenue	1,033

### *Reasons for Change in Appropriation*

This appropriation increased by \$429,000 to \$1.033 million for 2020/21 due to a transfer of 2019/20 unspent funding from the previous Communications: Pacific Cyber Security annual appropriation.

## Economic and Regional Development: Operational support for Grants and Crown investments (M25) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Operational support for Grants and Crown investments (M25) (A17)</b> This appropriation is limited to the administration, management and reporting on grants and Crown investments.  Commences: 15 September 2020  Expires: 30 June 2025	Original Appropriation	8,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	8,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	2,800
	Estimate for 2021/22	3,700
	Estimated Appropriation Remaining	1,500

*Revenue*

	Budget \$000
Revenue from the Crown to end of 2021/22	6,500
Revenue from Others to end of 2021/22	-
Total Revenue	6,500

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the effective management, administration and reporting on infrastructure grants and Crown investments.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
Assessment of Performance	Estimates Standard	Supplementary Estimates Standard	Total Standard
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%
All approved payments are paid within 10 working days	-	New measure	100%
The number of monthly reports to Crown Infrastructure Partners on Provincial Development Unit-managed infrastructure projects	-	New measure	3

*End of Year Performance Reporting*

Performance for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

*Reasons for Change in Appropriation*

This appropriation increased by \$8 million for 2020/21 due to the establishment of this appropriation to deliver Infrastructure Reference Group shovel-ready projects.

**Economic and Regional Development: Tourism Investment (M25) (A17)***Scope of Appropriation*

This appropriation is limited to the operating costs associated with administering tourism-related initiatives.

*Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,600	1,600
Revenue from the Crown	-	1,600	1,600
Revenue from Others	-	-	-

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the effective management, administration and reporting on the Strategic Tourism Assets Protection Programme.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%
All approved payments are paid within 10 working days	-	New measure	100%

### *End of Year Performance Reporting*

Performance for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$1.600 million for 2020/21 due to:

- a \$6 million increase due to a fiscally-neutral adjustment from the Tourism Investment Provincial Growth Fund Limited appropriation as part of the Tourism Recovery Package Update, and
- a \$2 million draw down from the Tourism Sector Recovery Fund to support the tourism sector through COVID-19.

These increases were partially offset by:

- a \$6 million decrease as a result of an expense transfer to 2021/22 and outyears to enable the Provincial Development Unit to administer, monitor and report on the Strategic Tourism Assets Protection Programme (STAPP), and Inbound Tour Operator (ITO) loans, and
- a \$400,000 decrease as a result of an expense transfer to 2021/22 to ensure there is funding for operational support beyond 30 June 2021.

## Economic Development: Implementation of Improvements in Public Sector Procurement and Services to Business (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to functional leadership of procurement across the public sector and reducing the costs for and improving the service experience of businesses interacting with government.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	30,512	9,912	40,424
Revenue from the Crown	8,099	9,912	18,011
Revenue from Others	22,413	-	22,413

### *Reasons for Change in Appropriation*

This appropriation increased by \$9.912 million to \$40.424 million for 2020/21 due to:

- \$3.097 million fiscally-neutral adjustment from the Commerce and Consumer Affairs: Registration and Provision of Statutory Information appropriation for the implementation of E-Invoicing in New Zealand
- \$2.500 million from the CRRF to provide additional funding to advance the rollout of the Business Connect platform to support businesses affected by the COVID-19 pandemic
- \$2.420 million from the CRRF for Better for Business (B4B) to continue its focus on making it easier and more seamless for business to deal with Government
- a \$1.295 million expense transfer from 2019/20 due to delays in the pilot phase of the Business Connect work programme
- a \$350,000 expense transfer from 2019/20 for the alternative risk financing project as a result of additional technical details being requested by Ministers which led to delays in resourcing and contracted services being provided, and
- a \$250,000 expense transfer from 2019/20 due to delays in the New Zealand Government Procurement and Property (NZGPP) Customer Relations Management (CRM) enhancements, caused by vendor and resource availability.

*Memorandum Account*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Government Procurement Reform Agenda</b>			
Opening Balance at 1 July	16,585	117	16,702
Revenue	22,413	-	22,413
Expenses	(22,413)	-	(22,413)
Transfers and Adjustments	-	-	-
Closing Balance at 30 June	16,585	117	16,702

**Economic Development: Shared Services Support (M25) (A17)***Scope of Appropriation*

This appropriation is limited to the provision of support services to other State Sector organisations.

*Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	12,200	32,135	44,335
Revenue from the Crown	-	135	135
Revenue from Others	12,200	32,000	44,200

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
A formal agreement/memorandum of understanding is in place with WorkSafe New Zealand that includes the scope of services to be delivered, including the service levels that apply to each service and each party's responsibilities and obligations in respect of those services (see Note 1)	Achieved	Achieved	Achieved

Note 1 - Te Kāhui Whakamana Rua Tekau mā Iwa (Pike River Recovery Agency) and the Ministry of Housing and Urban Development are not included in MBIE's performance reporting as the provision of shared services between departments can be excluded from end-of-year performance reporting under section 15D(1) of the Public Finance Act 1989. However, a performance measure is needed to evaluate shared services provided to WorkSafe New Zealand as it is a Crown entity.



*Reasons for Change in Appropriation*

This appropriation increased by \$32.135 million to \$44.335 million for 2020/21 due to:

- \$32 million via a fiscally-neutral transfer from the Ministry of Health to reflect associated costs relating to the managed isolation and quarantine function, and
- \$135,000 transferred from Vote Health to assist with enhancing the Electronic Travel Authority System to improve border controls and COVID-19 contact tracing.

**Energy and Resources: Information Services (M28) (A17)***Scope of Appropriation*

This appropriation is limited to modelling and analysis to support energy sector policy advice and associated international commitments.

*Reasons for Change in Appropriation*

This appropriation increased by \$150,000 to \$2.691 million for 2020/21 from the CRRF to support the reduction of energy hardship and strengthening the consumer voice for electricity consumers.

**Energy and Resources: Management of the Crown Mineral Estate (M28) (A17)***Scope of Appropriation*

This appropriation is limited to the allocation, management and compliance of Crown-owned petroleum, coal and mineral resources under the Crown Minerals Act 1991.

*Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	19,031	845	19,876
Revenue from the Crown	12,876	(505)	12,371
Revenue from Others	6,162	1,350	7,512

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
80% of mineral applications are granted or declined within 120 working days (see Note 1)	Achieved	Measure amended	-
Percentage of mineral applications are granted or declined within 120 working days (see Note 1)	Updated measure	80%	80%
80% of petroleum applications are granted or declined within 120 working days (see Note 1)	Achieved	Measure amended	-
Percentage of petroleum applications are granted or declined within 120 working days (see Note 1)	Updated measure	80%	80%

### Reasons for Change in Appropriation

This appropriation increased by \$845,000 to \$19.876 million for 2020/21 due to:

- \$1.350 million to cover an increase in forecasting operating costs to maintain systems and controls across the key functions within this appropriation, and
- a \$400,000 expense transfer from 2019/20 due to delays in the decommissioning of the Tui Oil field as a result of timing in the Environmental Protection Authority environmental consents, and planning of the overarching decommissioning project.

These increases are partially offset by a \$905,000 fiscally-neutral adjustment to support the establishment of the new 'Energy and Resources: Supporting Decommissioning of Oil Fields' multi-year appropriation.

### Memorandum Account

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Management of Crown Mineral Estate</b>			
Opening Balance at 1 July	(1,450)	(123)	(1,573)
Revenue	6,162	1,350	7,512
Expenses	(6,155)	(1,350)	(7,505)
Transfers and Adjustments	-	-	-
Closing Balance at 30 June	(1,443)	(123)	(1,566)

### Energy and Resources: Supporting Decommissioning of Oil Fields (M28) (A17)

#### Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Supporting Decommissioning of Oil Fields (M28) (A17)</b> This appropriation is limited to support costs and the purchase of ancillary services related to demobilisation and decommissioning of oil fields, including decommissioning of the Tui Oil field.	Original Appropriation	9,804
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	9,804
Commences: 15 March 2021	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	905
	Estimate for 2021/22	3,077
	Estimated Appropriation Remaining	5,822

### Revenue

	Budget \$000
Revenue from the Crown to end of 2021/22	3,982
Revenue from Others to end of 2021/22	-
Total Revenue	3,982

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the efficient management of planning, compliance, demobilisation and decommissioning activities.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Number of Tui project Steering Group meetings over the financial year	-	New measure	At least 2
Establish project structure separate from MBIE's regulatory function	-	New measure	Achieved

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

*Reasons for Change in Appropriation*

This appropriation increased by \$9.804 million for 2020/21 due to the establishment of this appropriation for the decommissioning of the Tui Oil field.

**Research, Science and Innovation: Innovative Partnerships (M84) (A17)***Scope of Appropriation*

This appropriation is limited to the development and delivery of information and programmes to attract Research and Development activities and facilities of international firms to New Zealand.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Cumulative number of companies attracted; goal of 10 companies by 2020	10	Measure removed	-
Percentage of annual pipeline opportunities assessed within six months	-	New measure	100%

## State Services: Property Management Services (M66) (A17)

### *Scope of Appropriation*

This appropriation is limited to providing property management services, including property development and leasing services, within the State sector.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	12,626	9,595	22,221
Revenue from the Crown	4,164	(205)	3,959
Revenue from Others	8,462	9,800	18,262

### *Reasons for Change in Appropriation*

This appropriation increased by \$9.595 million to \$22.221 million for 2020/21 due to:

- a \$5 million fiscally-neutral adjustment to reflect a memorandum of understanding with the Ministry of Education to manage the construction of an early childhood education centre at the Mangere Refugee Resettlement Centre
- a \$3 million fiscally-neutral adjustment to reflect the estimated costs incurred for occupancy by the Ministry for Primary Industries at Pastoral House in Wellington, and
- a \$1.800 million fiscally-neutral adjustment to reflect the transfer of the Auckland Policy Office function from the Te Kawa Mataaho Public Services Commission.

These increases are partially offset by a \$205,000 adjustment to reflect the reduction of the capital charge rate from 6% to 5%.

## State Services: Property Management within the State Sector (M66) (A17)

### *Scope of Appropriation*

This appropriation is limited to providing leadership, guidance and support, monitoring and brokerage in respect of property management within the State Sector.

### *Expenses and Revenue*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	7,221	250	7,471
Revenue from the Crown	5,921	-	5,921
Revenue from Others	1,300	250	1,550

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Property Business Cases and Leases are approved in line with the four strategic property expectations	30	Measure amended	-
The percentage of Property Business Cases and new Leases (where appropriate) approved in line with the four strategic property expectations	Updated measure	80%	80%

*Reasons for Change in Appropriation*

This appropriation increased by \$250,000 to \$7.471 million for 2020/21 via a fiscally-neutral adjustment for the re-platforming and upgrading of the Government Property Portal.

**Tourism: International Visitor Conservation and Tourism Levy Collection (M69) (A17)***Scope of Appropriation*

This appropriation is limited to the costs of collecting the International Visitor Conservation and Tourism Levy.

*Reasons for Change in Appropriation*

This appropriation decreased by \$2.170 million to \$114,000 for 2020/21 to reflect a review of the International Visitor Conservation and Tourism Levy (IVL) as a result of the COVID-19 pandemic.

**Tourism: Tourism Fund Management (M69) (A17)***Scope of Appropriation*

This appropriation is limited to investment advice, contract management and other aspects of fund management for the tourism portfolio.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of contracts assessed against their objectives and critical steps	100%	Measure removed	-
Percentage of contracts that have been monitored and performance assessed within agreed timeframes	-	New measure	100%

*Reasons for Change in Appropriation*

This appropriation increased by \$31,000 to \$824,000 for 2020/21 due to a \$486,000 expense transfer from 2019/20 as a result of delays in processes to review, assess and approve suitable projects, as a result of the COVID-19 pandemic.

This increase is partially offset by a \$455,000 decrease to reflect a review of the IVL as a result of the COVID-19 pandemic.

## 2.3 - Departmental Capital Expenditure and Capital Injections

### Ministry of Business, Innovation and Employment - Capital Expenditure PLA (M25) (A17)

#### *Scope of Appropriation*

This appropriation is limited to the purchase or development of assets by and for the use of the Ministry of Business, Innovation and Employment, as authorised by section 24(1) of the Public Finance Act 1989.

#### *Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Forests/Agricultural	-	-	-
Land	-	-	-
Property, Plant and Equipment	39,283	(10,661)	28,622
Intangibles	40,744	15,942	56,686
Other	-	-	-
<b>Total Appropriation</b>	<b>80,027</b>	<b>5,281</b>	<b>85,308</b>

#### *Reasons for Change in Appropriation*

This appropriation increased by \$5.281 million to \$85.308 million for 2020/21 due to capital expenditure arising from forecasting changes and other adjustments.

#### *Capital Injections and Movements in Departmental Net Assets*

#### Ministry of Business, Innovation and Employment

Details of Net Asset Schedule	2020/21 Main Estimates Projections \$000	2020/21 Supplementary Estimates Projections \$000	Explanation of Projected Movements in 2020/21
Opening Balance	424,836	427,620	Supplementary Estimates opening balance reflects the audited results as at 30 June 2020.

Details of Net Asset Schedule	2020/21 Main Estimates Projections \$000	2020/21 Supplementary Estimates Projections \$000	Explanation of Projected Movements in 2020/21
Capital Injections	32,951	253,898	Capital injections increased by \$220.947 million to \$253.898 million in 2020/21 due to: \$129 million to reflect the impacts of COVID-19 on Border and Transport Agencies (Immigration portfolio), \$87 million to address the Impact of Border Restrictions on MBIE's Liquidity (Immigration portfolio), \$5.423 million for Managed Isolation and Quarantine (MIQ) facilities (COVID-19 Response portfolio), \$1.802 million to address temporary migrant worker exploitation (Immigration portfolio), \$1.200 million for the renewal of the Finance Management Information System (Economic and Regional Development portfolio), \$670,000 due to an operating to capital swap for Business.Govt.NZ (Commerce and Consumer Affairs portfolio), \$500,000 transfer from Vote Education to assist with the Christchurch Integrated Government Accommodation (CIGA) programme (Economic and Regional Development portfolio), \$442,000 transfer from Vote Health to enhance the Electronic Travel Authority (ETA) system (Immigration portfolio), \$158,000 as additional resource for the Skills & Employment Policy team to meet increased demand (Social Development and Employment portfolio), \$150,000 due to an operating to capital swap for the New Zealand Business Number (NZBN) (Commerce and Consumer Affairs portfolio), \$140,000 to assist with reducing energy hardship and strengthening the consumer voice for electricity consumers (Commerce and Consumer Affairs portfolio), \$111,000 for investment in Labour Market response to COVID-19 (Workplace Relations and Safety portfolio), \$91,000 to complete the decommissioning of the Tui Oil field to protect the Taranaki Marine Environment (Energy and Resources portfolio), \$40,000 to deliver the 'Shovel Ready' Infrastructure projects (Energy and Resources portfolio), and \$7,000 to accelerating Energy Efficiency and Fuel Switching in industry to reduce emissions (Energy and Resources portfolio). This was partially offset by a decrease of \$2.800 million due to a capital to operating swap for the deaf relay service (Digital Economy and Communications portfolio), \$2 million transfer to 2021/22 for the Pay Equity Comparator repository (Workplace Relations and Safety portfolio), \$600,000 due to a capital to operating swap for the Industry 4.0 Demonstration Network (Economic and Regional Development portfolio), and \$387,000 reprioritisation of funding from the Business Debt Hibernation (BDH) Scheme (Commerce and Consumer Affairs portfolio).
Capital Withdrawals	-	-	
Surplus to be Retained (Deficit Incurred)	(227,968)	(172,982)	The \$172.982 million reflects the forecast net deficit relating to MBIE's operating balance as well as the provision for the repayment of surplus for appropriations funded from the Crown and not spent during the 2020/21 financial year.
Other Movements	-	-	
<b>Closing Balance</b>	<b>229,819</b>	<b>508,536</b>	



## Part 3 - Details of Non-Departmental Appropriations

### 3.1 - Non-Departmental Output Expenses

#### Commerce and Consumer Affairs: Accounting and Assurance Standards Setting (M13) (A17)

##### *Scope of Appropriation*

This appropriation is limited to the development and issuing of accounting standards, auditing and assurance standards, and associated documents.

##### *Reasons for Change in Appropriation*

This appropriation increased by \$1.100 million to \$5.510 million for 2020/21 to reflect costs of establishing and implementing an annual mandatory climate-related financial disclosures process by businesses on a comply-or-explain basis, as approved by Cabinet.

#### Commerce and Consumer Affairs: Competition Studies (M13) (A17)

##### *Scope of Appropriation*

This appropriation is limited to preparing for and carrying out competition studies by the Commerce Commission in accordance with the Commerce Act 1986.

##### *Reasons for Change in Appropriation*

This appropriation increased by \$700,000 to \$3.133 million for 2020/21 due to:

- a \$400,000 expense transfer from 2019/20 due to timing in a second competition study as a result of delays in Ministerial approval, and
- a \$300,000 fiscally-neutral adjustment from the 'Commerce and Consumer Affairs: Enforcement of General Market Regulation' MCA to assist with cost pressures.

#### Commerce and Consumer Affairs: COVID-19 Consumer Travel Reimbursement Scheme (M13) (A17)

##### *Scope of Appropriation*

This appropriation is limited to providing grant assistance and incentive payments for travel businesses to secure consumer refunds and credits for travel services booked prior to 14 August 2020 that were not able to be taken up by consumers due to the impacts of COVID-19.

##### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	47,200	47,200

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the recovery of refunds and credits owed to New Zealand consumers by overseas travel suppliers, through the medium of New Zealand-based travel agents and wholesalers.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
The percentage of refunds and credits requested by travel agencies for international travel bookings held by New Zealanders impacted by COVID-19 that have been issued	-	New measure	100%

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Commerce and Consumer Affairs in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

This appropriation increased by \$47.200 million for 2020/21 to support travel businesses to recover New Zealand Consumer funds.

## Commerce and Consumer Affairs: Regulation of Electricity Lines Services 2019-2024 (M13) (A17)

*Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Commerce and Consumer Affairs: Regulation of Electricity Lines Services 2019-2024 (M13) (A17)</b> This appropriation is limited to the regulation of electricity lines services under Part 4 of the Commerce Act 1986 for the period 2019-2024.  Commences: 01 July 2019  Expires: 30 June 2024	Original Appropriation	28,311
	Adjustments to 2019/20	-
	Adjustments for 2020/21	10,254
	Adjusted Appropriation	38,565
	Actual to 2019/20 Year End	6,883
	Estimated Actual for 2020/21	5,467
	Estimate for 2021/22	8,541
	Estimated Appropriation Remaining	17,674

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the delivery of regulated electricity lines services at prices and quality that would have been available if the market were competitive, for the long-term benefit of consumers.

### *Reasons for Change in Appropriation*

This appropriation increased by \$10.254 million to \$38.565 million due to an increase in levy funding to efficiently and effectively regulate the provision of electricity lines services, after consultation with affected regulated suppliers.

## **Commerce and Consumer Affairs: Regulation of Gas Pipelines Services 2019-2024 (M13) (A17)**

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Commerce and Consumer Affairs: Regulation of Gas Pipelines Services 2019-2024 (M13) (A17)</b> This appropriation is limited to the regulation of gas pipeline services under Part 4 of the Commerce Act 1986 for the period 2019-2024.  Commences: 01 July 2019  Expires: 30 June 2024	Original Appropriation	9,684
	Adjustments to 2019/20	-
	Adjustments for 2020/21	3,337
	Adjusted Appropriation	13,021
	Actual to 2019/20 Year End	1,300
	Estimated Actual for 2020/21	1,900
	Estimate for 2021/22	3,517
	Estimated Appropriation Remaining	6,304

### *Reasons for Change in Appropriation*

This appropriation increased by \$3.337 million to \$13.021 million due to an increase in levy funding to efficiently and effectively regulate the provision of gas pipeline services, after consultation with affected regulated suppliers.

## **Commerce and Consumer Affairs: Retirement Commissioner (M13) (A17)**

### *Scope of Appropriation*

This appropriation is limited to services from the Retirement Commissioner to improve the level of financial capability, raise public understanding of, and review the effectiveness of, retirement income policies, and carry out the statutory functions as required in the Retirement Villages Act 2003.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
CFFC's actions lead to increasing the financial capability of New Zealanders (see Note 1)	-	New measure	Achieved
Increased levels of financial capability and sustainable behaviour change	Achieved	Measure removed	-

Note 1 - this will be measured by the Commission for Financial Capability (CFFC) in the following ways:

- Over 60% of users feel more confident about money decisions after seeking to improve their financial capability through Sorted

- Over 85% of users trust the financial capability information provided by the CFFC through Sorted, and
- The National Strategy for Financial Capability has active partners working collaboratively and reports successful progress annually, (from its four-year Statement of Intent). The National Strategy serves to unite the financial capability sector to work together. The partners depend on collaborative projects and can include financial mentors, NGOs, financial services providers and public sector agencies. The report will include stakeholders and progress on key projects and outcomes for target cohorts.

## **Communications: Emergency Telecommunications Services (M100) (A17)**

### *Scope of Appropriation*

This appropriation is limited to improvements to telecommunications capabilities used by the public to communicate with emergency services.

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for the Digital Economy and Communications in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$1 million to \$2.910 million for 2020/21 due to a fiscally-neutral adjustment from the Management of Emergency Telecommunications Services MCA to support the upgrade of technology for the Emergency Caller Location Information (ECLI) system.

## **Communications: Enforcement of Telecommunications Sector Regulation (M100) (A17)**

### *Scope of Appropriation*

This appropriation is limited to the regulation and monitoring of telecommunication services in accordance with the Telecommunications Act 2001.

### *Reasons for Change in Appropriation*

This appropriation decreased by \$691,000 to \$5.559 million for 2020/21 due to a \$1.141 million fiscally-neutral adjustment to the Enforcement of Telecommunications Sector Fibre and Broadcasting Transmission Service Regulation MYA, to support the Commerce Commission's costs for the new telecommunications regulatory regime for fibre.

This decrease is partially offset by an expense transfer of \$450,000 increase due to a delay in the Telecommunications (New Regulatory Framework) Amendment Bill as a result of a shortage in key resources.

## COVID-19 tourism response (M69) (A17)

### *Scope of Appropriation*

This appropriation is limited to domestic visitor marketing, and destination planning and management, and supporting actions in response to the tourism sector impacts of COVID-19.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	23,500	23,500

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the delivery of a domestic tourism campaign in the most affected regions.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All qualifying loans have been converted to grants by 31 December 2021	-	New measure	On track

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Tourism in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

The increase in this appropriation of \$23.500 million is due to additional funding received to support the tourism sector and communities to recover and reset from COVID-19.

## Economic and Regional Development: Auckland Pacific Skills (M25) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Auckland Pacific Skills (M25) (A17)</b> This appropriation is limited to establishing and operating the Auckland Pacific Skills Shift programme.  Commences: 01 December 2020  Expires: 30 June 2025	Original Appropriation	20,965
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	20,965
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	3,488
	Estimate for 2021/22	6,477
	Estimated Appropriation Remaining	11,000

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve supporting Auckland Pacific peoples in low-skilled work to transition into quality employment by providing wrap-around support to impacted Pacific households and programmes to build capability of Pacific communities.

### *How Performance will be Assessed and End of Year Reporting Requirements*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Progress development of Memorandums of Understanding with the three Auckland Pacific Skills Shift partners to enable agreement in 2020/21	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation was established to replace the annual 'Economic Development: Auckland Pacific Skills Shift' appropriation to support the cash-flow requirements for the partners delivering the programme, as a result of delays in procurement and contract negotiations.

## Economic Development: Assistance with Hosting of the 36th America's Cup (M25) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic Development: Assistance with Hosting of the 36th America's Cup (M25) (A17)</b> This appropriation is limited to event delivery and associated infrastructure for the 36th America's Cup.  Commences: 01 April 2018  Expires: 30 June 2022	Original Appropriation	100,000
	Adjustments to 2019/20	36,500
	Adjustments for 2020/21	(5,000)
	Adjusted Appropriation	131,500
	Actual to 2019/20 Year End	106,374
	Estimated Actual for 2020/21	25,126
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation decreased by \$5 million to \$131.500 million due to a fiscally-neutral adjustment to the 'Economic Development: Major Events Development Fund 2017-2022' MYA, to invest in New Zealand's America's Cup sailing team.

## Economic Development: Auckland Pacific Skills Shift (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to establishing and operating the Auckland Pacific Skills Shift programme.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,035	1,035

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the trial and evaluation of new approaches for enhancing Pacific resilience to labour market disruption through the Auckland Pacific Skills Shift Programme.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Progress development of Memorandums of Understanding with the three Auckland Pacific Skills Shift partners to enable agreement in 2020/21	-	New measure	Achieved

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

This appropriation increased by \$1.035 million for 2020/21 due to an increase of \$5.500 million from the CRRF to support Auckland Pacific peoples in low-skilled work to transition into quality employment.

This increase is partially offset by a \$4.465 million decrease due to a fiscally-neutral adjustment to support the establishment of the 'Economic Development: Auckland Pacific Skills' MYA.

**Economic Development: Industry Transformation Plans (M25) (A17)***Scope of Appropriation*

This appropriation is limited to the development and implementation of Industry Transformation Plans.

*Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	7,241	7,241

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the facilitation of Māori and industry partners to participate in the development of industry plans, and to fund the implementation of initiatives from agreed industry plans.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Progress development of a decision-making framework for third-parties to implement Construction Sector Accord initiatives	-	New measure	Achieved
Progress the development of Māori and industry partner engagement plans	-	New measure	Achieved



	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Progress the implementation of workstreams and initiatives from agreed industry plans	-	New measure	Achieved
Progress third-party implementation of Construction Sector Accord initiatives, including through industry and agency partners	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$7.241 million in 2020/21 due to:

- \$15.508 million as part of the Industry Policy COVID-19 Recovery and Transformation Package initiative by supporting three sectors to contribute to the post COVID-19 recovery, and
- a \$433,000 expense transfer from 2019/20 due to delays in the establishment of the 'Enabling Māori Framework' phase as a result of the complexity of implementation design and issues arising from new ways of working.

This increase is partially offset by the following decreases:

- a \$6.200 million fiscally-neutral adjustments to Vote Building and Construction to support the implementation of the Construction Sector Accord Transformation Plan, and
- \$2.500 million expense transfer to 2021/22 due to delays in appointing resources for the implementation of work streams and initiatives, and the impact of COVID-19 disruptions to project implementation.

## **Economic Development: Pacific Business Trust Procurement Support Service (M25) (A17)**

### *Scope of Appropriation*

This appropriation is limited to establishing and operating the Pacific procurement capability programme at the Pacific Business Trust.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,875	1,875

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve building the capability of Pacific businesses to effectively bid for contracts in procurement processes to enable them to survive immediate impacts of COVID-19 and support their businesses to grow over the long term.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
A monitoring and evaluation framework will be developed in collaboration with Pacific Business Trust and completed by 30 June 2021	-	New measure	Achieved
Contract with Pacific Business Trust is executed by 30 June 2021	-	New measure	Achieved

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

This appropriation increased by \$1.875 million for 2020/21 as a result of funding from the CRRF to build the capability of Pacific firms to effectively bid for contracts in procurement processes.

**Energy and Resources: Advice on Viable Energy Storage Projects (M28) (A17)***Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Advice on Viable Energy Storage Projects (M28) (A17)</b> This appropriation is limited to the delivery of advice on the technical, environmental, and commercial feasibility of viable energy storage projects, including an assessment against alternatives.	Original Appropriation	93,085
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	93,085
Commences: 20 July 2020	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	29,787
	Estimate for 2021/22	63,298
	Estimated Appropriation Remaining	-

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the funding of business case assessments of options to address New Zealand's dry year energy storage problem in sufficient detail for a Cabinet decision on the selection of a project to be developed into a final engineering design for approval.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Quarterly reports to Ministers are delivered on time	-	New measure	100%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Energy and Resources in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased to \$93.085 million due to funding of \$93.298 million to deliver 'Shovel-Ready' infrastructure projects.

This increase is partially offset by a \$213,000 fiscally-neutral adjustment to the Department of Conservation to provide research and advice for Viable Energy Storage Projects including the assessment of alternatives.

## **Energy and Resources: Assisting Households in Energy Hardship (M28) (A17)**

### *Scope of Appropriation*

This appropriation is limited to specialist advice and support delivered by training service providers to households in energy hardship and assisting with the costs of energy efficient household appliances and devices.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,260	1,260

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve warmer homes and/or lower energy bills for households in energy hardship.

### *How Performance will be Assessed and End of Year Reporting Requirements*

An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of this annual appropriation for a non-departmental output expense is less than \$5 million.

### *Reasons for Change in Appropriation*

This appropriation increased by \$1.260 million for 2020/21 as a result of funding from the CRRF to support the reduction of energy hardship and strengthening the consumer voice for electricity consumers.

## Energy and Resources: Electricity Industry Governance and Market Operations (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to formulating, monitoring and enforcing compliance with the regulations and code governing the electricity industry and other outputs in accordance with the statutory functions under the Electricity Industry Act; and delivery of core electricity system and market operation functions, carried out under service provider contracts.

### *Reasons for Change in Appropriation*

This appropriation increased by \$1.479 million to \$78.415 million for 2020/21 due to:

- a \$1.339 million expense transfer from 2019/20 as a result of delays in existing work programme projects which have been reprioritised due to Government's response to the Electricity Pricing Review recommendations, and the focus on urgent COVID-19 related initiatives, and
- a \$140,000 adjustment to reflect the true 2019/20 underspend to ensure sufficient appropriation is available and reconciled to the Electricity Authority's reported year end position.

## Energy and Resources: Energy Efficiency and Conservation (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to operational and policy outputs in accordance with statutory functions under the Energy Efficiency and Conservation Act 2000 and the government's energy strategies.

### *Reasons for Change in Appropriation*

This appropriation increased by \$500,000 to \$34.274 million for 2020/21 due to:

- a \$300,000 technical adjustment as a result of consultation undertaken by the Energy Efficiency Conservation Authority (EECA) to increase the appropriation to reflect the updated levy amount collected, and
- a \$200,000 fiscally neutral adjustment being transferred from an old MCA to the current operations appropriation, for the COVID-19 Response and Recovery Fund initiative via Budget 2020.

## Energy and Resources: Energy Solutions for Public and Māori Housing (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to the provision of energy solutions on public and Māori housing.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	500	500

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve lower household energy costs and decarbonise public housing infrastructure through the trial and evaluation of renewable energy solutions.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of projects funded that are assessed against all relevant criteria	-	New measure	100%
Percentage of funded projects that provide the data and insights required under funding contract	-	New measure	100%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Energy and Resources in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$500,000 for 2020/21 due to \$3.700 million from the CRRF being funded to provide for the installation of fit-for-purpose energy solutions on public and Māori housing, targeting households in energy hardship.

This increase was partially offset by a fiscally-neutral adjustment of \$3.200 million to establish the Energy and Resources: Renewable Energy in Public and Māori Housing MCA, which includes a capital component.

## Energy and Resources: Implementation of the Grant Scheme for Warm, Dry Homes (M28) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Implementation of the Grant Scheme for Warm, Dry Homes (M28) (A17)</b> This appropriation is limited to implementation of the grant scheme for warm, dry homes.  Commences: 01 July 2018  Expires: 30 June 2023	Original Appropriation	10,260
	Adjustments to 2019/20	-
	Adjustments for 2020/21	9,134
	Adjusted Appropriation	19,394
	Actual to 2019/20 Year End	3,780
	Estimated Actual for 2020/21	5,272
	Estimate for 2021/22	5,272
	Estimated Appropriation Remaining	5,070

### *Reasons for Change in Appropriation*

This appropriation increased by \$9.134 million to \$19.394 million in 2020/21 due to:

- \$5.070 million in funding to extend the Warmer Kiwi Homes Programme, and
- a fiscally-neutral adjustment of \$4.064 million from the Energy and Resources: Grant Scheme for Warm, Dry Homes MYA, to reflect that a portion of funding that was received through Wave 1 of the COVID-19 Response and Recovery Fund should have been appropriated to this appropriation, as supporting operating expenditure.

## Energy and Resources: Management of IEA Oil Stocks 2020-2024 (M28) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Management of IEA Oil Stocks 2020-2024 (M28) (A17)</b> This appropriation is limited to purchasing, managing and investigating oil tickets (forward purchase contracts for oil supply) and other related oil security products needed to meet International Energy Agency treaty obligations.  Commences: 01 April 2020  Expires: 30 June 2024	Original Appropriation	113,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	416
	Adjusted Appropriation	113,416
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	26,416
	Estimate for 2021/22	29,000
	Estimated Appropriation Remaining	58,000

### *Reasons for Change in Appropriation*

This appropriation increased by \$416,000 to \$113.416 million in 2020/21 due to an adjustment that transferred 2019/20 unspent funds from the old annual Energy and Resources: Management of IEA Oil Stocks appropriation.

## Energy and Resources: Meeting Crown Obligations (M28) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Meeting Crown Obligations (M28) (A17)</b> This appropriation is limited to expenses incurred in meeting, or arising from, Crown obligations in the Energy and Resources portfolio.  Commences: 12 April 2021  Expires: 30 June 2025	Original Appropriation	84,344
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	84,344
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	84,344
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve timely and accurate delivery of the Crown obligations.

### *How Performance will be Assessed and End of Year Reporting Requirements*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
All deliverables are met	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Energy and Resources in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation was established to set aside funding for potential future expenses that may be required to be incurred by the Crown for obligations in the Energy and Resources portfolio.

## Energy and Resources: Oil Field Decommissioning (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to the costs associated with the demobilisation and decommissioning of New Zealand oil fields.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	195,633	195,633

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the demobilisation and decommissioning of New Zealand oil fields.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Crown complies with regulatory requirements as operator of an offshore installation	-	New measure	Achieved
MBIE enters into a contract with a supplier to demobilise the Floating production storage and offloading (FPSO) Umuroa	-	New measure	Achieved
The supplier commences the disconnection of the Floating production storage and offloading (FPSO) from the Tui oil field	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Energy and Resources in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$195.633 million for 2020/21 due to additional funding to complete the decommissioning of the Tui Oil Field (Tui) by permanently plugging and abandoning the eight Tui oil wells off the Taranaki coast and removing all associated infrastructure from the seabed.



## Enforcement of Telecommunications Sector Fibre and Broadcasting Transmission Service Regulation (M100) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Enforcement of Telecommunications Sector Fibre and Broadcasting Transmission Service Regulation (M100) (A17)</b> This appropriation is limited to the regulation of wholesale telecommunication fibre services and regulatory work resulting from changes to the definition of a telecommunication service in the Telecommunications Amendment Act 2018.	Original Appropriation	12,300
	Adjustments to 2019/20	-
	Adjustments for 2020/21	6,359
	Adjusted Appropriation	18,659
Commences: 01 April 2019	Actual to 2019/20 Year End	8,148
Expires: 30 June 2022	Estimated Actual for 2020/21	4,493
	Estimate for 2021/22	6,018
	Estimated Appropriation Remaining	-

### *Reasons for Change in Appropriation*

This appropriation increased by \$6.359 million to \$18.659 million in 2020/21 due to a fiscally-neutral adjustment from the Communications: Enforcement of Telecommunications Sector Regulation appropriation, to support the Commerce Commission's costs for the new telecommunications regulatory regime for fibre.

## Research and Development Growth Grants (M84) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Research and Development Growth Grants (M84) (A17)</b> This appropriation is limited to co-funding for private businesses to invest in a multi-year programme of research and development.	Original Appropriation	802,860
	Adjustments to 2019/20	(24,751)
	Adjustments for 2020/21	(35,000)
	Adjusted Appropriation	743,109
Commences: 01 July 2017	Actual to 2019/20 Year End	588,397
Expires: 30 June 2022	Estimated Actual for 2020/21	154,712
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

### *Reasons for Change in Appropriation*

This appropriation decreased by \$35 million to \$743.109 million in 2020/21 due to a fiscally-neutral adjustment to the 'Targeted Business Research and Development Funding' MYA, to cover a shortfall as a result of increased demand for project and student grants.

## Research, Science and Innovation: Crown Research Institutes - COVID-19 Response and Recovery (M84) (A17)

### *Scope of Appropriation*

This appropriation is limited to supporting Crown Research Institutes to respond to the impacts of COVID-19 and to contribute to New Zealand's COVID-19 recovery efforts.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	72,300	72,300

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the outcome that Crown Research Institutes can adequately respond to the financial impacts of COVID-19 and help them to maintain science capability required to participate in New Zealand's COVID-19 recovery efforts through continued research, science and innovation work.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Critical capability is maintained and key capital programmes continue	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Research, Science and Innovation in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$72.300 million for 2020/21 as a result of funding from the CRRF to provide Crown Research Institutes (CRIs) with funding to cover shortfalls in commercial revenue due to COVID-19 and support the COVID-19 recovery.

## Research, Science and Innovation: Endeavour Fund (M84) (A17)

### *Scope of Appropriation*

This appropriation is limited to research, science or technology, and related activities that have the potential for excellence and long-term impact for New Zealand's economy, society or environment.

### *Reasons for Change in Appropriation*

This appropriation has increased by \$3.205 million to \$245.917 million for 2020/21 due to \$22.795 million of funding being brought forward from 2021/22 and 2022/23 to support the science sector's response to COVID-19.

The increase is partially offset by:

- a \$9.840 million fiscally-neutral adjustment to the 'Talent and Science and Promotion' MCA and the 'Research, Science and Innovation: Contract Management' MCA, to establish a new Fellowship initiative to fund early career researchers whose progression has been adversely affected by the COVID-19 pandemic
- a \$8.100 million fiscally-neutral adjustment to the Health Research Fund to accelerate the deployment of innovative products to alleviate the direct impacts of COVID-19, and
- a \$1.650 million expense transfer to outyears due to the COVID-19 lockdown interrupting time-sensitive science experiments such as summer experimental crops being unable to be harvested.

## Research, Science and Innovation: Health Research Fund (M84) (A17)

### *Scope of Appropriation*

This appropriation is limited to research and research applications with the primary purpose of improving the health and well-being of New Zealanders.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Number of contracts funded in the previous financial year that meet the Health Research Council's definition of 'transformative' research	8-10	Measure amended	-
Number of contracts funded in the previous financial year by the Health Research Council that meet the Health Research Council's definition of 'transformative' research	Updated measure	8-10	8-10
The percentage of funding contracts that have been administered and monitored by MBIE and performance assessed within agreed timeframes	-	New measure	100%

*Reasons for Change in Appropriation*

This appropriation increased by \$13.100 million to \$130.589 million for 2020/21 due to:

- a \$8.100 million fiscally-neutral adjustment from the Endeavour Fund to accelerate the deployment of innovative products to alleviate the direct impacts of COVID-19, and
- \$5 million of funding brought forward from the Endeavour Fund to support the science sector's response to COVID-19.

**Research, Science and Innovation: Marsden Fund (M84) (A17)***Scope of Appropriation*

This appropriation is limited to excellent investigator-led research.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
The proportion of New Zealand's publications that are in the top 1% of global publications, ranked by citations	1%	Measure amended	-
The proportion of the publications attributed to the Marsden Fund that are in the top 10% of global publications, ranked by citations	Updated measure	10%	10%

**Research, Science and Innovation: National Measurement Standards (M84) (A17)***Scope of Appropriation*

This appropriation is limited to providing specified standards to satisfy the needs for traceable physical measurement in New Zealand.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All technical procedures related to the maintenance of national measurement standards (in accordance with the resolutions and recommendations of the Metre Convention) independently reviewed and validated, with all external review actions completed by 30 June 2021	Achieved	Measure amended	-
All technical procedures related to the maintenance of national measurement standards (in accordance with the resolutions and recommendations of the Metre Convention) independently reviewed and validated, with all external review actions completed the end of the financial year	Updated measure	Achieved	Achieved

## Research, Science and Innovation: Partnered Research Fund (M84) (A17)

### *Scope of Appropriation*

This appropriation is limited to the co-funding of research commissioned by users, and the application of research by users.

### *Reasons for Change in Appropriation*

This appropriation decreased by \$4.367 million to \$29.023 million for 2020/21 due to:

- a \$4.266 million expense transfer to outyears due to a re-phasing of the Food Safety Science and Research Centre initiative to align with contract payments
- a \$701,000 expense transfer to 2021/22 and outyears for the Partnership Scheme as a result of the COVID-19 pandemic, and
- a \$400,000 expense transfer to 2021/22 for several industry partnerships as a result of COVID-19 pandemic.

These decreases are partially offset by an expense transfer of \$1 million from 2019/20 due to delays to Environlink projects as a result of the COVID-19 pandemic.

## Research, Science and Innovation: Repayable Grants for Start-Ups (M84) (A17)

### *Scope of Appropriation*

This appropriation is limited to funding for early stage businesses and development of business incubators and accelerators.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of surveyed start-ups who agree that they have gained business or commercialisation skills as a result of working with the incubator/accelerator	60%	Measure amended	-
Net Promoter Score from incubator or accelerator customers surveyed	Updated measure	Baseline to be established	Baseline to be established
Number of customers that received a service from either an incubator or accelerator	180	Measure amended	-
Number of customers that received a service from either an incubator or accelerator	Updated measure	170	170

### *Reasons for Change in Appropriation*

This appropriation decrease by \$1.937 million to \$18.809 million for 2020/21 due to a \$6.150 million transfer to the Research, Science and Innovation: Callaghan Innovation - Operations MCA, to provide transitional support to R&D performing businesses proposals.

This decrease is partially offset by an expense transfer of \$4.213 million from 2019/20 due to delays in finalising contracts with the new incubator providers, as a result of the COVID-19 pandemic.

## Targeted Business Research and Development Funding (M84) (A17)

### Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Targeted Business Research and Development Funding (M84) (A17)</b> This appropriation is limited to co-funding for private businesses to undertake research and development projects and for funding students to work in research and development active businesses.  Commences: 01 July 2017  Expires: 30 June 2022	Original Appropriation	187,500
	Adjustments to 2019/20	3,835
	Adjustments for 2020/21	35,000
	Adjusted Appropriation	226,335
	Actual to 2019/20 Year End	106,729
	Estimated Actual for 2020/21	82,106
	Estimate for 2021/22	37,500
	Estimated Appropriation Remaining	-

### How Performance will be Assessed and End of Year Reporting Requirements

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Net Promoter Score from Project Grants recipients	Baseline to be established	+70	+70
Net Promoter Score from Experience Grant recipients	+70	Measure amended	-
Net Promoter Score from Experience Grants recipients	Updated measure	+70	+70

### Reasons for Change in Appropriation

This appropriation increased by \$35 million to \$226.335 million in 2020/21 due to fiscally-neutral adjustments from the 'Research and Development Growth Grants' MYA, to cover a shortfall as a result of increased demand for project and student grants.

## Tourism: Marketing New Zealand as a Visitor Destination (M69) (A17)

### Scope of Appropriation

This appropriation is limited to the promotion of New Zealand as a visitor destination in key markets.

### Expenses

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	51,471	51,471

### What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve a contribution towards the increase in value of visitors in New Zealand.

## *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Grow domestic visitor spend in New Zealand	-	New measure	Year on year increase
Grow international arrivals in the off-peak season	-	New measure	Year on year increase
Grow international visitor spend in the regions	-	New measure	Year on year increase
Grow the value contribution of international tourism to GDP	-	New measure	Year on year increase

## *End of Year Performance Reporting*

Performance information for this appropriation will be reported by Tourism New Zealand in its annual report.

## *Reasons for Change in Appropriation*

This new appropriation increased by \$51.471 million for 2020/21 due to:

- a fiscally-neutral adjustment of \$49.046 million from the Tourism: Marketing of New Zealand as a Visitor Destination appropriation. The scope for this appropriation reflects Tourism New Zealand's pivot to include domestic marketing, and
- an expense transfer of \$2.425 million relating to the Tourism: Marketing of New Zealand as a Visitor Destination appropriation was transferred to this appropriation to help fund the recovery phase in the tourism sector through a domestic marketing campaign.

## **Tourism: Marketing of New Zealand as a Visitor Destination (M69) (A17)**

### *Scope of Appropriation*

This appropriation is limited to the promotion of New Zealand as a visitor destination in key overseas markets.

### *Reasons for Change in Appropriation*

This appropriation decreased by \$32.271 million to \$79.679 million for 2020/21 due to:

- a \$49.046 million fiscally-neutral adjustment to support the establishment of the new Tourism: Marketing New Zealand as a Visitor Destination appropriation, and
- a \$500,000 fiscally-neutral adjustment to New Zealand Trade and Enterprise (NZTE) as a contribution for the 'New Zealand Story' initiative.

These decreases are partially offset by:

- a \$10 million increase as a result of a drawdown of contingency funding for the Tourism Sector Recovery Fund, and
- a \$7.275 million expense transfer due to delays in extending Tourism New Zealand's marketing activities in alternative markets, as a result of the COVID-19 pandemic.

**Tourism: Regional Events Fund (M69) (A17)***Scope of Appropriation*

This appropriation is limited to supporting the delivery of events that will stimulate domestic tourism.

*Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	49,750	49,750

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve an increase in the levels of domestic tourism in New Zealand.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of contracts that have been monitored and performance assessed within agreed timeframes	-	New measure	100%

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Tourism in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

This appropriation increased by \$49.750 million for 2020/21 due to funding received from the CRRF to support the tourism sector through COVID-19.

**Tourism: Strategic Tourism Assets Protection Programme (M69) (A17)***Scope of Appropriation*

This appropriation is limited to funding for strategic tourism assets and infrastructure to mitigate the economic impacts of COVID-19.

*Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	98,500	98,500



### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to provide funding for strategic tourism assets and infrastructure to mitigate the economic impacts of COVID-19.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Applications have been assessed against Ministry of Business, Innovation and Employment criteria as meeting the conditions of agreement before grant payments are made	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Tourism in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation increased by \$98.500 million for 2020/21 as a result of a drawdown of \$100 million in contingency funding for the Tourism Sector Recovery Fund.

This is partially offset by a \$1.500 million of reprioritised funding due to this portion of the CRRF was not committed through a contestable process run by the events sector and therefore no longer required.

## 3.4 - Non-Departmental Other Expenses

### Commerce and Consumer Affairs: Financial Markets Authority Litigation Fund (M13) (A17)

#### *Scope of Appropriation*

This appropriation is limited to meeting the cost of major litigation activity arising from the enforcement of financial markets and securities markets law.

#### *Reasons for Change in Appropriation*

This appropriation increased by \$3.878 million to \$8.878 million for 2020/21 due to an expense transfer from 2019/20 to recognise the uncertain timing of litigation costs.

### Economic and Regional Development: 2023 FIFA Women's World Cup 2020-2024 (M25) (A17)

#### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: 2023 FIFA Women's World Cup 2020-2024 (M25) (A17)</b> This appropriation is limited to supporting the delivery of the FIFA Women's World Cup 2023, and associated leverage, legacy and operational support.  Commences: 01 July 2020  Expires: 30 June 2024	Original Appropriation	24,600
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	24,600
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	7,500
	Estimate for 2021/22	9,200
	Estimated Appropriation Remaining	7,900

#### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the successful hosting of, and legacy value from, the FIFA Women's World Cup 2023.

#### *How Performance will be Assessed and End of Year Reporting Requirements*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
A leverage and legacy programme is successfully delivered to achieve sporting, economic and cultural benefits to New Zealand	-	New measure	Achieved
An Investment Agreement between the Ministry of Business, Innovation and Employment and New Zealand Football is agreed by 30 June 2021	-	New measure	Achieved
Crown agencies successfully support New Zealand's delivery of the FIFA WWC in 2023	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$24.600 million in 2020/21 as a result of a drawdown from the FIFA Women's World Cup 2023 - Tagged Operating Contingency, to provide for this event.

## **Economic and Regional Development: Supporting Infrastructure Projects (M25) (A17)**

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Supporting Infrastructure Projects (M25) (A17)</b> This appropriation is limited to operating expenses on infrastructure projects that support economic growth.  Commences: 01 July 2020  Expires: 30 June 2025	Original Appropriation	608,339
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	608,339
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	200,000
	Estimate for 2021/22	200,000
	Estimated Appropriation Remaining	208,339

### *What is Intended to be Achieved with this Appropriation*

This category is intended to achieve the support of regional economic development by providing operational funding for infrastructure initiatives that lift regional productivity potential.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%
All approved payments are paid within 10 working days	-	New measure	100%
The number of monthly reports to Crown Infrastructure Partners on Provincial Development Unit contracted infrastructure projects	-	New measure	3

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation was established to manage shovel-ready community, social and flood protection projects which are outside the scope of the Provincial Growth Fund.

## Economic Development: Business Support Packages (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to delivering support to businesses in Whakatane District and Westland District.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	2,370	2,370

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve business support in Whakatāne District and Westland District.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All support packages are delivered	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$2.370 million for 2020/21 as a result of an expense transfer from 2019/20 resulting from delays in the decision-making and negotiations relating to the support package for Whakatane and Westland District.

## Economic Development: Future-proofing New Zealand's Manufacturing Sector by Driving Industry 4.0 Uptake and Skills Development (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to a package of complementary elements that target different stages of Industry 4.0 uptake.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Number of customers who received a service during the financial year	Baseline to be established	Measure removed	Exempt
Net Promoter Score from customers who received a service during the financial year	Baseline to be established	Measure removed	Exempt

### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of this annual appropriation for a non-departmental other expense is less than \$5 million.

### *Reasons for Change in Appropriation*

This appropriation increased by \$477,000 to \$2.375 million for 2020/21 due to:

- a \$1.234 million expense transfer from 2019/20 due to delays in the ability to operationalise a new Industry 4.0 Demonstration Network as a result to supplier related delays and the impact of COVID-19, and
- a \$600,000 fiscally-neutral adjustment for a capital to operating swap due to a change in approach with a key asset for the initiative now to be purchased and owned by a third party.

These increases are partially offset by a \$1.357 million expense transfer to 2021/22 and outyears to reflect delays in procurement and contract negotiations, as well as adjustments to scope and costings in the course of working through the contracts with suppliers.

## Economic Development: Major Events Development Fund 2017-2022 (M25) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic Development: Major Events Development Fund 2017-2022 (M25) (A17)</b> This appropriation is limited to support for major events that provide economic, social, cultural and international profiling benefits to New Zealand.  Commences: 01 July 2017  Expires: 30 June 2022	Original Appropriation	52,000
	Adjustments to 2019/20	(6,675)
	Adjustments for 2020/21	5,000
	Adjusted Appropriation	50,325
	Actual to 2019/20 Year End	26,941
	Estimated Actual for 2020/21	13,384
	Estimate for 2021/22	10,000
	Estimated Appropriation Remaining	-

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$5 million to \$50.325 million for 2020/21 due to a fiscally-neutral adjustment from the Economic Development: Assistance with Hosting of the 36th America's Cup MYA, to invest in the New Zealand's America's Cup sailing team.

## Economic Development: New Zealand Events Support (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to the delivery or support of events that are socially, culturally or economically significant for New Zealand that would otherwise not proceed due to COVID-19 impacts, or events that will help to maintain capability within the events sector.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	9,700	9,700

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve support for the events sector and flow-on benefits to regional economies by injecting immediate cash-flow to the sector and broader supply chain as part of the COVID-19 response.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
The \$10m Domestic Events Fund is fully allocated to event organisers by 1 September 2020.	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$9.700 million for 2020/21 as a result of \$10 million funding from the CRRF to support events that will be significant for New Zealand, as well as events that will assist the market to retain sector-critical event organisers and suppliers for the long-term viability of the industry.

This is partially offset by a \$300,000 of reprioritised funding due to this portion of the CRRF was not committed through a contestable process run by the events sector and therefore no longer required.

## **Economic Development: New Zealand Screen Production Grant- International MYA 2017-2021 (M25) (A17)**

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic Development: New Zealand Screen Production Grant- International MYA 2017-2021 (M25) (A17)</b> This appropriation is limited to providing grant assistance to screen productions that are internationally focused and produced in New Zealand.  Commences: 01 July 2017  Expires: 30 June 2021	Original Appropriation	323,112
	Adjustments to 2019/20	281,470
	Adjustments for 2020/21	10,300
	Adjusted Appropriation	614,882
	Actual to 2019/20 Year End	404,894
	Estimated Actual for 2020/21	209,988
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$10.300 million to \$614.882 million in 2020/21 due to additional funding of \$35.300 million to meet additional forecast demand. This is offset by a decrease of \$25 million for 2020/21 due to a fiscally-neutral adjustment to Vote Arts, Culture and Heritage to support the 'Premium New Zealand Films for International Audiences' initiative.

## Energy and Resources: Accelerating Energy Efficiency and Fuel Switching in Industry 2021-2025 (M28) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Accelerating Energy Efficiency and Fuel Switching in Industry 2021-2025 (M28) (A17)</b> This appropriation is limited to energy efficiency and fuel switching projects that reduce carbon emissions from industrial processes, including electricity network connections.	Original Appropriation	69,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	69,000
Commences: 01 December 2020	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	14,600
	Estimate for 2021/22	19,600
	Estimated Appropriation Remaining	34,800

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve an increase in the deployment of energy efficiency and renewable energy technologies in industry to reduce emissions and support economic activity and employment.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Emissions reductions (weighted average) delivered through the Government Investment in Decarbonising Industry (GIDI) fund are at a cost below the market price of carbon	-	New measure	90%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by Energy Efficiency and Conservation Authority in its annual report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$69 million due to a fiscally neutral adjustment from the old annual Energy and Resources: Accelerating Energy Efficiency and Fuel Switching in Industry appropriation to ensure there was funding for 2020/21.



## Energy and Resources: Electricity Litigation Fund (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to meeting the cost of litigation activity undertaken by the Electricity Authority arising from it carrying out its functions under the Electricity Industry Act 2010.

### *Reasons for Change in Appropriation*

This appropriation increased by \$700,000 to \$1.144 million for 2020/21 as a result of an expense transfer from 2019/20 due to delays during the lifecycle of the Transmission Pricing Methodology project, which now means the Electricity Authority can appropriately address any possible litigation risks.

## Energy and Resources: Fair Value Write Down on Loans and Investments (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to the fair value write down on loans and investments provided within the Energy and Resources portfolio, including the Crown loans scheme.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	500	500

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the recognition of fair value for loans and investments.

### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as additional performance information is unlikely to be informative because any expenses associated with fair value write-down are non-cash and for accounting purposes only.

### *Reasons for Change in Appropriation*

This new appropriation increased by \$500,000 for 2020/21 as a requirement for a non-cash accounting expense, for the initial recognition of the fair value write-down of loans and investments in the Energy portfolio.

## Energy and Resources: Grant Scheme for Warm, Dry Homes (M28) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Energy and Resources: Grant Scheme for Warm, Dry Homes (M28) (A17)</b> This appropriation is limited to grants for retrofits to improve the thermal performance of dwellings occupied by low income owners.  Commences: 01 July 2018  Expires: 30 June 2023	Original Appropriation	132,240
	Adjustments to 2019/20	6,103
	Adjustments for 2020/21	167,402
	Adjusted Appropriation	305,745
	Actual to 2019/20 Year End	62,955
	Estimated Actual for 2020/21	102,950
	Estimate for 2021/22	72,480
	Estimated Appropriation Remaining	67,360

### *Reasons for Change in Appropriation*

This appropriation increased by \$167.402 million to \$305.745 million in 2020/21 due to \$115.022 million in funding to extend the Warmer Kiwi Homes Programme and \$56.444 million in funding from the CRRF for the 'Warmer Kiwi Homes Expansion' initiative.

This was partially offset by a \$4.064 million fiscally-neutral transfer to the Energy and Resources: Implementation of the Grant Scheme for Warm, Dry Homes appropriation.

## Energy and Resources: International Energy Agency Contribution (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to contributions towards the cost of membership fees to International Energy Agency, and energy-related research and policy development undertaken by the International Energy Agency.

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the support of energy related research and policy development undertaken by the International Energy Agency and meet membership fees.

## Energy and Resources: National New-Energy Development Centre (M28) (A17)

### *Scope of Appropriation*

This appropriation is limited to establishing and operating a National New-Energy Development Centre.

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the acceleration of the transition to a clean energy system, and low-emissions economy by reducing the time, cost and risk associated with the development and commercialisation of energy innovation.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Number of performance and monitoring meetings over the financial year	-	New measure	At least 8
Percentage of agreed key performance indicators that have been met on time	-	New measure	90%
Progress on agreed work programme milestones and financial performance and is reported within agreed timeframes	-	New measure	Achieved

**Impairment of Debt and Debt Write Offs (M25) (A17)***Scope of Appropriation*

This appropriation is limited to expenses arising from the recognition of impaired value of Crown debts, including write down and write offs and amounts relating to the impairment of these debts.

*Reasons for Change in Appropriation*

This appropriation increased by \$675,000 to \$900,000 for 2020/21 due an increase in the expected amount of debt that is going to be written off and forgiven for Crown related debts as a result of the impact of COVID-19.

**Māori Development: Māori Innovation Fund (M46) (A17)***Scope of Appropriation*

This appropriation is limited to investing in initiatives that contribute to the achievement of the economic goals and priorities in He kai kei aku ringa.

*Reasons for Change in Appropriation*

This appropriation increased by \$725,000 to \$1.725 million for 2020/21 as a result of an expense transfer from 2019/20 to reflect that timing of milestones can vary between grants and as they often span over financial years most of the grants have milestones beyond the current financial year.

## Regional Economic Development: Fair Value Write Down - Tourism (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to fair value write downs on loans made from the Tourism Recovery Fund.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	10,000	10,000

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve accurate recognition of the value of the loans made from the Tourism Recovery Fund.

### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as additional performance information is unlikely to be informative because any expenses associated with fair value write-down are non-cash and for accounting purposes only.

### *Reasons for Change in Appropriation*

This new appropriation increased by \$10 million for 2020/21 due to an adjustment of \$20 million to establish this appropriation for MBIE to recognise any concessional write downs and any potential impairment losses in the value on these loans.

This increase is partially offset by an expense transfer of \$10 million to 2021/22 to reflect that ITO loans will not be fully drawn down by June 2021.

## Regional Economic Development: Fair Value Write Down (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to fair value write downs on concessional loans made from the Provincial Growth Fund.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	15,000	15,000

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve accurate recognition of the value of the concessional loans made from the Provincial Growth Fund.

*End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as additional performance information is unlikely to be informative because any expenses associated with fair-value write-down are non-cash and for accounting purposes only.

*Reasons for Change in Appropriation*

This appropriation increased by \$15 million for 2020/21 due to an expense transfer from 2019/20 to reflect that contract negotiations and signing of loans have been taking longer than originally expected.

**Research, Science and Innovation: Catalyst Fund (M84) (A17)***Scope of Appropriation*

This appropriation is limited to grants to support international science partnerships.

*Reasons for Change in Appropriation*

This appropriation increased by \$500,000 to \$35.251 million for 2020/21 due to an expense transfer of \$13.500 million from 2019/20 into 2020/21 to manage the increasing likelihood that contracts and/or payments would not be made before the end of the financial year for five Catalyst investment processes, due to delays by our international partners.

This increase is partially offset by an expense transfer of \$13 million to 2023/24 as a result of the impact of COVID-19 on MBIE's ability to negotiate with international partners and to redesign processes due to researchers' inability to travel.

**Research, Science and Innovation: Regional Research Institutes (M84) (A17)***Scope of Appropriation*

This appropriation is limited to the establishment of and contribution to the operation of Regional Research Institutes.

*Reasons for Change in Appropriation*

This appropriation has decreased by \$1.829 million to \$9.524 million for 2020/21 due to an expense transfer to 2021/22 and outyears as a result of the COVID-19 pandemic and the need to re-phase to align with contract payments.

## Tourism Infrastructure Fund (M69) (A17)

### *Scope of Appropriation*

The appropriation is limited to supporting communities to respond to tourism-related pressures on existing infrastructure.

### *Reasons for Change in Appropriation*

This appropriation increased by \$4.818 million to \$36.513 million for 2020/21 due to an expense transfer from 2019/20 to reflect delays within various Tourism Infrastructure Fund projects due to the ability of councils to engage contractors, which is needed before MBIE can make payments to councils.

## Tourism: Recovery from COVID-19 2021-2023 (M69) (A17)

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Tourism: Recovery from COVID-19 2021-2023 (M69) (A17)</b> This appropriation is limited to support packages for those communities that are most reliant on international tourism.  Commences: 01 April 2021  Expires: 30 June 2023	Original Appropriation	86,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	86,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	8,000
	Estimate for 2021/22	59,000
	Estimated Appropriation Remaining	19,000

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the provision of support packages for those communities that are most reliant on international tourism.

### *How Performance will be Assessed and End of Year Reporting Requirements*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
All support packages are delivered	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Tourism in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation was established to support tourism-reliant communities to help navigate the impacts of COVID-19.

## Tourism: Tourism Strategic Infrastructure and System Capability (M69) (A17)

### *Scope of Appropriation*

This appropriation is limited to investment in tourism-related infrastructure and systems, including capability, in accordance with an agreed International Visitor Conservation and Tourism Visitor Levy Investment Plan.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Projects build tourism sector capability or improve visitor related infrastructure	Achieved	Measure amended	-
Projects build tourism sector capability	Updated measure	Achieved	Achieved

### *Reasons for Change in Appropriation*

This appropriation decreased by \$11.306 million to \$32.457 million for 2020/21 due to an adjustment of \$19.306 million to reflect a review of the International Visitor Conservation and Tourism Levy (IVL) as a result of the COVID-19 pandemic.

This decrease is partially offset by an expense transfer of \$8 million from 2019/20 due to investments being delayed as a result of the Strategic Investment Plan taking longer to approve than expected.

## Worker Redeployment Package (M25) (A17)

### *Scope of Appropriation*

This appropriation is limited to the support package for worker redeployment and training.

### *Expenses*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	55,790	55,790

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve employment and training opportunities for people displaced by the COVID-19 economic crisis.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All approved payments are paid within 10 working days	-	New measure	100%
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$55.790 million for 2020/21 due to:

- an expense transfer of \$50 million from 2019/20 to reflect delays in finalising project applications and ensuring that they are being considered by the appropriate boards, as a result of the COVID-19 pandemic, and
- an expense transfer of \$11.300 million from 2019/20 to reflect Ministerial agreement to transfer any under spend to recognise the uncertain timing of project initiation, approval and subsequent expenditure.

This increase is partially offset by:

- \$5 million expense transfer to 2021/22 reflecting forecasts of recipients of the package needing to fulfil certain terms and conditions, meet the milestones, prior to raising a funding request, and
- a \$510,000 fiscally-neutral adjustment to the 'Regional Economic Development: Provincial Growth Fund' MCA to provide the Provincial Development Unit within MBIE departmental resourcing, project administration, reporting and accountability requirements.



## 3.5 - Non-Departmental Capital Expenditure

### Communications: Radio Spectrum Management Rights - Capital (M100) (A17)

#### *Scope of Appropriation*

This appropriation is limited to lending involved in capital transactions related to radio spectrum management rights allocations.

#### *Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	165,600	165,600

#### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the payment for spectrum rights granted by the Crown to be made by instalment payments.

#### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989, as additional performance information is unlikely to provide any further information that will already be available through publishing the total actual payments received under this appropriation in MBIE's Annual Report.

#### *Reasons for Change in Appropriation*

This appropriation increased by \$165.600 million for 2020/21 as a result of instalment payment options being amended to allow for the deferral of payment for Radio Spectrum rights renewals.

### Economic and Regional Development: Infrastructure Capital Expenditure Investment (M25) (A17)

#### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Infrastructure Capital Expenditure Investment (M25) (A17)</b> This appropriation is limited to capital expenditure on capital infrastructure projects that support economic growth.  Commences: 01 July 2020  Expires: 30 June 2025	Original Appropriation	8,137
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	8,137
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	-
	Estimate for 2021/22	8,137
	Estimated Appropriation Remaining	-

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the holding of the Crown's infrastructure-related investments. The purpose of the investments is to reduce the economic impact of the COVID-19 on the construction industry.

*End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of the annual appropriation for a non-departmental capital expense is less than \$15 million.

*Reasons for Change in Appropriation*

This new appropriation was established for 2020/21 to allow MBIE to hold onto the Crown's investments in "shovel ready" infrastructure projects. The appropriation increased by \$8.137 million due to \$45 million of funding from Cabinet to deliver on "shovel ready" infrastructure projects.

This was offset by:

- a \$31.863 million fiscally-neutral transfer to invest in infrastructure projects through Provincial Growth Fund Limited (PGFL), and
- a \$5 million fiscally-neutral transfer to invest in additional infrastructure projects through Crown Regional Holdings Limited (CRHL).

## **Economic and Regional Development: Infrastructure Investment Provincial Growth Fund Limited (M25) (A17)**

*Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Infrastructure Investment Provincial Growth Fund Limited (M25) (A17)</b> This appropriation is limited to the Crown's investment in Provincial Growth Fund Limited. Commences: 01 July 2020 Expires: 30 June 2025	Original Appropriation	111,057
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	111,057
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	70,000
	Estimate for 2021/22	41,057
	Estimated Appropriation Remaining	-

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the holding of the Crown's infrastructure-related investments by Provincial Growth Fund Limited. The purpose of the investments is to reduce the economic impact of the COVID-19 on the construction industry.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All approved payments are paid within 10 working days	-	New measure	100%
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%
The satisfaction of the Provincial Growth Fund Limited Directors with infrastructure-related investments reporting and technical advice, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation was established in 2020/21 to hold the Crown's infrastructure-related investments in Provincial Growth Fund Limited.

## **Economic and Regional Development: Investment in Crown-owned Companies for Infrastructure (M25) (A17)**

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Investment in Crown-owned Companies for Infrastructure (M25) (A17)</b> This appropriation is limited to investment in Crown-owned companies and their subsidiaries for infrastructure.  Commences: 15 March 2021  Expires: 30 June 2025	Original Appropriation	5,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	5,000
	Actual to 2019/20 Year End	-
	Estimated Actual for 2020/21	5,000
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the Crown's infrastructure-related investment in Provincial Growth Fund Limited and Crown Regional Holdings Limited.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
The number of investment status reports to Crown Regional Holdings Limited and Provincial Growth Fund Limited Board covering Crown infrastructure investments held by the company	-	New measure	5
The satisfaction of the Crown Regional Holdings Limited and Provincial Growth Fund Limited Directors with Infrastructure-related investments reporting and technical advice, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

This appropriation increased by \$5 million as a result of a fiscally-neutral adjustment from the 'Regional Economic Development: Infrastructure Capital Expenditure Investment' MYA, due to the renaming of Provincial Growth Fund Limited to Crown Regional Holdings Limited (CRHL) where new appropriations have been established to enable MBIE to pay CRHL.

**Economic and Regional Development: Investment in Crown-owned Companies for Tourism (M25) (A17)***Scope of Appropriation*

This appropriation is limited to investments in Crown-owned companies and their subsidiaries for tourism-related projects.

*Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	15,000	15,000

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the holding of the Crown's tourism-related investments by the Crown Regional Holdings Limited and Provincial Growth Fund Limited. The purpose of the investments is to cushion the impact of COVID-19 on the tourism sector, and to position the sector for recovery.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All approved payments are paid within 10 working days	-	New measure	100%
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%
The number of investment status reports to Crown Regional Holdings Limited and Provincial Growth Fund Limited Board covering Crown tourism investments held by the company	-	New measure	3
The satisfaction of the Crown Regional Holdings Limited and Provincial Growth Fund Limited Directors with Crown tourism investments reporting and technical advice, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This new appropriation increased by \$15 million for 2020/21 due to a fiscally-neutral adjustment of \$30 million from the 'Regional Economic Development: Tourism Investment Provincial Growth Fund Limited' appropriation due to the renaming of Provincial Growth Fund Limited to CRHL where new appropriations have been established to enable MBIE to pay CRHL.

This is partially offset by reprioritised funding of \$15 million as this portion of the CRRF relates to loan funding offered to the Tourism sector but declined and therefore no longer required.

## **Economic and Regional Development: Investment in Crown-owned Companies for Waste and Resource Recovery Infrastructure (M25) (A17)**

### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Economic and Regional Development: Investment in Crown-owned Companies for Waste and Resource Recovery Infrastructure (M25) (A17)</b> This appropriation is limited to investments in Crown-owned companies and their subsidiaries to provide infrastructure for waste and resource recovery activities.	Original Appropriation	33,000
	Adjustments to 2019/20	-
	Adjustments for 2020/21	-
	Adjusted Appropriation	33,000
Commences: 15 March 2021	Actual to 2019/20 Year End	-
Expires: 30 June 2025	Estimated Actual for 2020/21	33,000
	Estimate for 2021/22	-
	Estimated Appropriation Remaining	-

*What is Intended to be Achieved with this Appropriation*

The appropriation is intended to achieve the effective management of loans on behalf of the Ministry for the Environment in relation to water and resource recovery infrastructure-related investments by Crown Regional Holdings Limited and the Provincial Growth Fund Limited.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
The satisfaction of the Crown Regional Holdings Limited and Provincial Growth Fund Limited Directors with water and resource infrastructure-related investments reporting and technical advice, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

This new appropriation increased by \$33 million in 2020/21 due to a fiscally-neutral adjustment from the Ministry for the Environment to MBIE to enable the loan scheme to be run and provide operational support.

**Economic and Regional Development: Tourism Capital Investment (M25) (A17)***Scope of Appropriation*

This appropriation is limited to capital expenditure for tourism-related initiatives.
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*Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	17,500	17,500

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the holding of loans for Inbound Tourism Operators. The purpose of the investments is to cushion the impact of COVID-19 on the tourism sector, and to position the sector for recovery.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All approved payments are paid within 10 working days	-	New measure	100%
Percentage of applications that are assessed against all relevant criteria	-	New measure	100%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$17.500 million for 2020/21 due to:

- a fiscally-neutral adjustment of \$20 million from the 'Regional Economic Development: Tourism Investment Provincial Growth Fund Limited' appropriation as part of the Strategic Tourism Asset Protection Programme, and
- \$7.500 million to support the Tourism Sector and communities to recover and reset from COVID-19.

This increase is offset by a \$10 million capital transfer to 2021/22, as not all ITO loans will be fully drawn down as a result of the COVID-19 pandemic.

### **Economic and Regional Development: Transfer of investments - Crown-owned companies (M25) (A17)**

#### *Scope of Appropriation*

This appropriation is limited to the transfer of Provincial Growth Fund investments, and other regional economic development investments to Crown-owned companies and their subsidiaries.

### *Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	50,000	50,000

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the transfer of Provincial Growth Fund investments, and other regional economic development investments to Crown-owned companies and their subsidiaries.

### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as the information is unlikely to be informative because this appropriation is solely for the transfer of capital investments from Provincial Growth Fund Limited to Crown Holdings Limited.

### *Reasons for Change in Appropriation*

This appropriation increased by \$50 million for 2020/21 as a result of a fiscally-neutral adjustment transfer from the 'Regional Economic Development: Transfer of Investments' appropriation due to the renaming of Provincial Growth Fund Limited to CRHL where new appropriations have been established to enable MBIE to pay CRHL.

## **Energy and Resources: Crown Energy Efficiency - Capital Injection (M28) (A17)**

### *Scope of Appropriation*

This appropriation is limited to capital injections to Crown entities to assist public sector agencies in implementing energy efficiency and carbon emission reducing projects.

### *Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	16,787	16,787

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve greater energy efficiency and emission reductions by providing funding assistance to Crown entity projects approved under the State Sector Decarbonisation Fund.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Amount of funding to assist Crown entities provided in accordance with the investment principles of the State Sector Decarbonisation Fund	-	New measure	100%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Energy and Resources in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.



### *Reasons for Change in Appropriation*

This appropriation increased to \$16.787 million for 2020/21 due to the following drawdowns on the State Sector Decarbonisation tagged capital contingency:

- \$7.530 million for tranche 2 projects at the University of Canterbury and Auckland University of Technology
- \$4.826 million for tranche 3 projects at Lincoln University and the University of Auckland
- \$3.209 million for tranche 4 projects at Massey University, Victoria University of Wellington, Southern Institute of Technology, and the Accident Compensation Corporation, and
- \$1.222 million for tranche 5 projects at Kāinga Ora and Scion.

### **Regional Economic Development Capital Investments (M25) (A17)**

#### *Scope of Appropriation*

This appropriation is limited to Crown Investments in Provincial Growth Fund Limited (PGFL).

### *Reasons for Change in Appropriation*

This appropriation increased by \$81.400 million to \$88 million for 2020/21 as a result of a capital transfer from 2019/20 due to delays in the Opotiki Harbour Development project as a result of the exceptional circumstances and the nature of this funding.

### **Regional Economic Development Investments (M101) (A17)**

#### *Scope of Appropriation*

This appropriation is limited to the Crown's investment in Regional Opportunities.

### *Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	6,473	6,473

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve payment to suppliers before the contract can be novated to the Provincial Growth Fund Limited or Crown Regional Holdings Limited.

### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of this annual appropriation for a non-departmental capital expenditure is less than \$15 million.

*Reasons for Change in Appropriation*

This appropriation increased by \$6.473 million for 2020/21 due to a capital transfer of 2019/20 unspent funds to recognise the uncertain timing of project initiation, approval and subsequent expenditure as authorised by Ministers.

**Regional Economic Development: Tourism Investment Provincial Growth Fund Limited (M25) (A17)***Scope of Appropriation*

This appropriation is limited to the Crown's investment in Provincial Growth Fund Limited for tourism-related projects.

*Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	94,823	94,823

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the holding of the Crown's tourism-related investments by the Provincial Growth Fund Limited. The purpose of the investments is to cushion the impact of COVID-19 on the tourism sector, and to position the sector for recovery.

*How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
All approved payments are paid within 10 working days	-	New measure	100%
Percentage of contracts monitored against their milestones and reporting requirements	-	New measure	100%
The satisfaction of the Provincial Growth Fund Limited Directors with agreed service levels and timeframes, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister for Economic and Regional Development in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$94.823 million for 2020/21 due to:

- \$152.823 million being allocated from the Strategic Tourism Assets Protection Programme for loans to be administered, and
- \$18 million for supporting the Tourism sector and communities to recover and reset from the COVID-19 pandemic.

This increase is partially offset by:

- a \$40 million capital transfer to 2021/22 as not all Inbound Tourism Operator loans will not be fully drawn down as a result of the COVID-19 pandemic
- a \$30 million fiscally-neutral adjustment to the 'Economic and Regional Development: Investment in Crown-owned Companies for Tourism' appropriation due to the renaming of Provincial Growth Fund Limited to CRHL where new appropriations have been established to enable MBIE to pay CRHL, and
- a \$6 million fiscally-neutral adjustment to 'Economic and Regional Development: Tourism Investment' appropriation to assist with the Tourism Recovery Package.

### **Regional Economic Development: Transfer of investments (M25) (A17)**

#### *Scope of Appropriation*

This appropriation is limited to the transfer of Provincial Growth Fund investments, and other regional economic development investments to Provincial Growth Fund Limited.

#### *Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	66,100	66,100

#### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the transfer of Provincial Growth Fund investments, and other regional economic development investments to Provincial Growth Fund Limited.

#### *End of Year Performance Reporting*

An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989, as additional performance information is unlikely to be informative because this appropriation is solely for the transfer of capital investments from Provincial Growth Fund Limited to Crown Regional Holdings Limited.

### *Reasons for Change in Appropriation*

This appropriation increased by \$66.100 million for 2020/21 due to:

- \$141.100 million as a capital transfer from 2019/20 due to contract negotiations and signing of loan agreements taking longer than expected.

This increase was partially offset by:

- a \$50 million fiscally-neutral adjustment to the Economic and Regional Development: Transfer of investments - Crown-owned companies appropriation, due to the renaming of Provincial Growth Fund Limited to CRHL where new appropriations have been established to enable MBIE to pay CRHL, and
- a \$25 million capital transfer to 2021/22 due to the payment of loans to third parties taking longer than expected as a result of delays in the finalisation of contracts with third parties, third parties not meeting milestone requirements as quickly as expected, and the effects of COVID-19 impacting third parties drawing upon loans.

### **Research, Science and Innovation: Callaghan Innovation (M84) (A17)**

#### *Scope of Appropriation*

This appropriation is limited to capital expenditure to support the development of Callaghan Innovation's strategic infrastructure.

#### *How Performance will be Assessed and End of Year Reporting Requirements*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Any physical and virtual infrastructure investment is aligned with the overall strategy, mix of services and business engagement model	Achieved	Measure removed	-

### *Reasons for Change in Appropriation*

This appropriation decreased by \$25.500 million to \$3.958 million for 2020/21 due to a \$35.600 million capital transfer to 2021/22 and 2022/23 as result of delays with the completion of the new Measurement Standards Laboratory which has taken longer than anticipated due to the complexity of the project.

This decrease is partially offset by a capital transfer of \$10.100 million from 2019/20 to 2020/21 for the same reason.

# Part 4 - Details of Multi-Category Expenses and Capital Expenditure

## 4 - Multi-Category Expenses and Capital Expenditure

### Commerce and Consumer Affairs: Enforcement of General Market Regulation (M13) (A17)

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to resource the Commerce Commission to carry out its activities in relation to consumer and competition regulation.

#### *Scope of Appropriation*

##### **Non-Departmental Output Expenses**

##### *Enforcement of Competition Regulation*

This category is limited to the activities of the Commerce Commission in relation to the general market provisions of the Commerce Act 1986 and its non-levy recoverable activities under the Dairy Industry Restructuring Act 2001.

##### *Enforcement of Consumer Regulation*

This category is limited to the activities of the Commerce Commission in relation to the Fair Trading Act 1986 and the Credit Contracts and Consumer Finance Act 2003.

##### *Liquid Fuels Monitoring and Enforcement*

This category is limited to the activities of the Commerce Commission in relation to legislation regulating the fuel industry.

#### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>28,277</b>	<b>1,200</b>	<b>29,477</b>
<b>Non-Departmental Output Expenses</b>			
Enforcement of Competition Regulation	9,993	500	10,493
Enforcement of Consumer Regulation	17,784	700	18,484
Liquid Fuels Monitoring and Enforcement	500	-	500

#### *Reasons for Change in Appropriation*

This appropriation increased by \$1.200 million to \$29.477 million for 2020/21 due to \$2.500 million in new funding from the CRRF for the Commerce Commission to promote competition in markets initiatives.

This increase was partially offset by:

- \$1 million expense transfer to 2020/21 due to economic impacts of COVID-19 being out of control and are expected to continue in 2021/22, and
- \$300,000 fiscally-neutral adjustment to the Commerce and Consumer Affairs: Competition Studies appropriation to address cost pressures.

## Communications: Management of Emergency Telecommunications Services (M100) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to manage telecommunications capabilities used by the public to communicate with emergency services and develop commercialisation of these capabilities.

### *Scope of Appropriation*

#### **Departmental Output Expenses**

##### *Management and Development of Commercialisation*

This category is limited to the management and development of international commercialisation of telecommunications capabilities used by the public to communicate with emergency services.

##### *Management of Telecommunications Capabilities*

This category is limited to the management of telecommunications capabilities used by the public to communicate with emergency services.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>12,523</b>	<b>(2,500)</b>	<b>10,023</b>
<b>Departmental Output Expenses</b>			
Management and Development of Commercialisation	-	10	10
Management of Telecommunications Capabilities	12,523	(2,510)	10,013
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	<b>12,523</b>	<b>(2,500)</b>	<b>10,023</b>
Management and Development of Commercialisation	-	10	10
Management of Telecommunications Capabilities	12,523	(2,510)	10,013

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
<b>Departmental Output Expenses</b>			
<b>Management of Telecommunications Capabilities</b>			
This category is intended to achieve ongoing management of telecommunications location capabilities for emergency call services.			
Operational service levels and key performance indicators are achieved	Achieved	Achieved	Achieved

*Reasons for Change in Appropriation*

This appropriation decreased by \$2.500 million to \$10.023 million for 2020/21 due to:

- a \$1.500 million expense transfer to 2021/22 due to several Statement of Work (SOW) will continue into 2021/22, which are critical to the operation of the Emergency Caller Location Information (ECLI) service, and
- a \$1 million fiscally-neutral adjustment to the Communications: Emergency Telecommunications Services appropriation as part of the re-alignment of the ECLI funding streams.

## Communications: Services for Deaf, Hearing Impaired and Speech Impaired People (M100) (A17)

### Overarching Purpose Statement

The single overarching purpose of this appropriation is to ensure that deaf, hearing impaired and speech impaired people are able to access telecommunications services.

### Scope of Appropriation

#### Non-Departmental Output Expenses

##### *Administrative Support for Telecommunications Relay Equipment and Services*

This category is limited to the supply of telecommunications relay services and platforms, including depreciation, the rental of relay user equipment and the purchase of ancillary services to support the availability and uptake of relay services.

#### Non-Departmental Other Expenses

##### *Telecommunications Development Levy Funded Procurement - Deaf Relay Service TSO*

This category is limited to the purchase of deaf relay services under a specified telecommunications service obligation.

#### Non-Departmental Capital Expenditure

##### *Acquisition of Relay Equipment*

This category is limited to purchasing relay equipment for the deaf, hearing impaired, and speech impaired people.

### Expenses, Revenue and Capital Expenditure

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>4,684</b>	<b>4,722</b>	<b>9,406</b>
<b>Non-Departmental Output Expenses</b>			
Administrative Support for Telecommunications Relay Equipment and Services	1,519	-	1,519
<b>Non-Departmental Other Expenses</b>			
Telecommunications Development Levy Funded Procurement - Deaf Relay Service TSO	3,000	4,722	7,722
<b>Non-Departmental Capital Expenditure</b>			
Acquisition of Relay Equipment	165	-	165



### *Reasons for Change in Appropriation*

This appropriation increased by \$4.722 million to \$9.406 million for 2020/21 due to:

- a \$2.800 million fiscally-neutral adjustment from the MBIE - Capital Injection line for a capital to operating swap for the deaf service
- a \$1 million fiscally-neutral adjustment from the Policy Advice shop (Immigration, Building and Construction, and Economic Development portfolios) to support the costs of transitioning to the new ECLI service while retaining the current service to ensure service continuity
- a \$512,000 fiscally-neutral adjustment from the Communications: Emergency Telecommunications Service appropriation to assist with the deaf service, and
- a \$410,000 expense transfer to assist with the deaf service.

### **Energy and Resources: Investment in Infrastructure Projects (M28) (A17)**

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to invest in infrastructure projects which support the Energy sector.

#### *Scope of Appropriation*

##### **Non-Departmental Output Expenses**

###### *Operational Support of Infrastructure Investments*

This category is limited to the supporting infrastructure projects, including the purchase of ancillary services.

##### **Non-Departmental Other Expenses**

###### *Capital Infrastructure Investments - fair value write down*

This category is limited to the initial fair value write-down of loans for infrastructure projects.

###### *Capital Infrastructure Investments - Impairment of debt and equity investments*

This category is limited to expenses arising from the recognition of the impaired value of infrastructure investment debts and equity investments, including write down and write offs.

###### *Grants to support Infrastructure Investments*

This category is limited to grants for infrastructure projects.

###### *Other Activities to support Infrastructure Investments*

This category is limited to activities that support the development of infrastructure projects.

##### **Non-Departmental Capital Expenditure**

###### *Loans and Equity Investments to support Infrastructure Investments*

This category is limited to loans and equity investments for infrastructure projects.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	-	<b>86,239</b>	<b>86,239</b>
<b>Non-Departmental Output Expenses</b>			
Operational Support of Infrastructure Investments	-	250	250
<b>Non-Departmental Other Expenses</b>			
Capital Infrastructure Investments - fair value write down	-	22,000	22,000
Capital Infrastructure Investments - Impairment of debt and equity investments	-	1	1
Grants to support Infrastructure Investments	-	26,487	26,487
Other Activities to support Infrastructure Investments	-	1	1
<b>Non-Departmental Capital Expenditure</b>			
Loans and Equity Investments to support Infrastructure Investments	-	37,500	37,500

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve the delivery of energy sector infrastructure projects that support the economy during the COVID-19 re-build.

*How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of projects agreed by relevant Ministers that are funded and delivered as per the agreed contract	-	New measure	100%

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Non-Departmental Output Expenses</b>			
<b>Operational Support of Infrastructure Investments</b>			
This category is intended to achieve effective support and management of the infrastructure projects, including the purchase of ancillary services.			
An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of this annual appropriation for a non-departmental output expense is less than \$5 million	-	Exempt	Exempt

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Non-Departmental Other Expenses</b>			
<b>Capital Infrastructure Investments - fair value write down</b>			
This category is intended to achieve the initial fair value write-down of loans for infrastructure projects.			
An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as additional performance information is unlikely to be informative because any expenses associated with fair value write-down are non-cash and for accounting purposes only	-	Exempt	Exempt
<b>Capital Infrastructure Investments - Impairment of debt and equity investments</b>			
This category is intended to achieve recognition of the impaired value of infrastructure investment debts and equity investments, including write down and write offs.			
An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount for a non-departmental operating expense is less than \$5 million	-	Exempt	Exempt
<b>Grants to support Infrastructure Investments</b>			
This category is intended to achieve the development of infrastructure projects supporting the energy sector through grants.			
Grant funding is delivered to energy sector projects that support the rebuild of the economy following agreement by relevant Ministers and as per the agreed contract	-	New measure	Achieved
<b>Other Activities to support Infrastructure Investments</b>			
This category is intended to achieve effective support and management of activities for the development of infrastructure projects.			
An exemption was granted under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of this annual appropriation for a non-departmental output expense is less than \$5 million	-	Exempt	Exempt
<b>Non-Departmental Capital Expenditure</b>			
<b>Loans and Equity Investments to support Infrastructure Investments</b>			
This category is intended to achieve development of infrastructure projects supporting the energy sector through loans and equity investments.			
Funding is loaned to energy sector projects that support the rebuild of the economy following agreement by relevant Ministers and as per the agreed contract	-	New measure	Achieved

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Energy Efficiency and Conservation Authority in its annual report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$86.239 million for 2020/21 due to:

- \$110 million in original funding approved by Cabinet for the 'Delivering the 'Shovel Ready' Infrastructure Projects initiative, and
- \$2.750 million in funding approved by joint Ministers for energy hardship alleviation project and resourcing costs for the Energy Efficiency Conservation Authority (EECA).

These increases were partially offset by a \$26.511 million expense transfer to 2021/22 required to re-phase available funding to match the expected milestone completion dates for the negotiated contracts in the next financial year.

### **Energy and Resources: Renewable Energy in Public and Māori Housing (M28) (A17)**

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to improve energy affordability in public and Māori housing through provision of renewable energy solutions.

#### *Scope of Appropriation*

##### **Non-Departmental Output Expenses**

###### *Operational Support*

This category is limited to the operational support of initiatives that contribute towards renewable energy solutions for public and Māori housing.

###### *Provision of Funding for Purchase of Renewable Energy Solutions*

This category is limited to the provision of renewable energy solutions to organisations or individuals, designed to improve energy affordability in public and Māori housing, or the award of grants to support renewable energy solutions.

##### **Non-Departmental Capital Expenditure**

###### *Equity Investments*

This category is limited to equity investments and capital expenditure that contribute towards renewable energy solutions for public and Māori housing.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	-	<b>3,200</b>	<b>3,200</b>
<b>Non-Departmental Output Expenses</b>			
Operational Support	-	147	147
Provision of Funding for Purchase of Renewable Energy Solutions	-	1,850	1,850

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Non-Departmental Capital Expenditure</b>			
Equity Investments	-	1,203	1,203

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve lower energy costs and the decarbonisation of public and Māori housing infrastructure.

### *How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of projects funded that are selected in accordance with scheme assessment and evaluation criteria	-	New measure	100%

### *What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Non-Departmental Output Expenses</b>			
<b>Operational Support</b>			
This category is intended to achieve the improvement of energy affordability through the operational deployment of renewable energy solutions on public housing.			
Percentage of projects funded that comply with scheme assessment criteria	-	New measure	100%
Percentage of projects funded that comply with evaluation plan	-	New measure	100%
<b>Provision of Funding for Purchase of Renewable Energy Solutions</b>			
This category is intended to achieve the improvement of energy affordability through the provision of grants to non-government Māori organisations and communities to deploy renewable energy solutions on Māori Housing.			
Percentage of projects funded that comply with evaluation plan	-	New measure	100%
Percentage of projects funded that comply with scheme assessment criteria	-	New measure	100%

	2020/21		
Assessment of Performance	Estimates Standard	Supplementary Estimates Standard	Total Standard
<b>Non-Departmental Capital Expenditure</b>			
<b>Equity Investments</b>			
This category is intended to achieve the improvement of energy affordability through the procurement of renewable energy capital assets on government owned housing.			
Percentage of projects funded that comply with scheme assessment criteria	-	New measure	100%
Percentage of projects funded that comply with evaluation plan	-	New measure	100%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$3.200 million for 2020/21 due to a fiscally-neutral adjustment from the annual Energy and Resources: Energy Solutions for Public and Māori Housing appropriation to assist in establishing this new multi-category appropriation (MCA), as it was considered that a MCA is the best means of managing both operating and capital costs efficiently.

## Policy Advice and Related Outputs (M25) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to provide policy advice and other support services to Ministers in discharging their policy decision-making and other portfolio responsibilities.

### *Scope of Appropriation*

#### **Departmental Output Expenses**

##### *Investigative Services - Trade Remedies*

This category is limited to investigative services to support advice to the Minister of Commerce and Consumer Affairs to establish whether New Zealand industries require remedies to prevent injury caused by dumped or subsidised imports or sudden import surges.

##### *Policy Advice and Related Services to Ministers - Commerce and Consumer Affairs*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to commerce and consumer affairs.

##### *Policy Advice and Related Services to Ministers - Communications*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to communications.

##### *Policy Advice and Related Services to Ministers - Economic Development*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to economic development.

##### *Policy Advice and Related Services to Ministers - Energy and Resources*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to energy and resources.

##### *Policy Advice and Related Services to Ministers - Research, Science and Innovation*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to small business.

##### *Policy Advice and Related Services to Ministers - Small Business*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to small business.

##### *Policy Advice and Related Services to Ministers - Tourism*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) and services to support decision making by Ministers on government policy matters relating to tourism.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>60,186</b>	<b>17,128</b>	<b>77,314</b>
<b>Departmental Output Expenses</b>			
Investigative Services - Trade Remedies	575	-	575
Policy Advice and Related Services to Ministers - Commerce and Consumer Affairs	12,292	270	12,562
Policy Advice and Related Services to Ministers - Communications	5,015	-	5,015
Policy Advice and Related Services to Ministers - Economic Development	21,047	9,526	30,573
Policy Advice and Related Services to Ministers - Energy and Resources	5,832	3,221	9,053
Policy Advice and Related Services to Ministers - Research, Science and Innovation	9,375	500	9,875
Policy Advice and Related Services to Ministers - Small Business	1,472	-	1,472
Policy Advice and Related Services to Ministers - Tourism	4,578	3,611	8,189
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	<b>60,186</b>	<b>16,933</b>	<b>77,119</b>
Investigative Services - Trade Remedies	575	-	575
Policy Advice and Related Services to Ministers - Commerce and Consumer Affairs	12,292	146	12,438
Policy Advice and Related Services to Ministers - Communications	5,015	-	5,015
Policy Advice and Related Services to Ministers - Economic Development	21,047	9,526	30,573
Policy Advice and Related Services to Ministers - Energy and Resources	5,832	3,221	9,053
Policy Advice and Related Services to Ministers - Research, Science and Innovation	9,375	500	9,875
Policy Advice and Related Services to Ministers - Small Business	1,472	-	1,472
Policy Advice and Related Services to Ministers - Tourism	4,578	3,540	8,118
<b>Revenue from Others</b>	<b>-</b>	<b>195</b>	<b>195</b>
Policy Advice and Related Services to Ministers - Commerce and Consumer Affairs	-	124	124
Policy Advice and Related Services to Ministers - Tourism	-	71	71



*What is Intended to be Achieved with each Category and How Performance will be Assessed*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
<b>Departmental Output Expenses</b>			
<b>Policy Advice and Related Services to Ministers - Communications</b>			
This category is intended to achieve the provision of high-quality policy advice and support to Ministers and ensure Crown entities are appropriately monitored.			
The satisfaction of the Minister for Broadcasting, Communications and Digital Media with the policy advice service, as per the common satisfaction survey	Average score of 4 out of 5 or better	Measure amended	-
The satisfaction of the Minister for the Digital Economy and Communications with the policy advice service, as per the common satisfaction survey	Updated measure	Average score of 4 out of 5 or better	Average score of 4 out of 5 or better
<b>Policy Advice and Related Services to Ministers - Economic Development</b>			
This category is intended to achieve the provision of high-quality policy advice and support to Ministers and ensure Crown entities are appropriately monitored.			
The satisfaction of the Minister for Economic Development with the policy advice service, as per the common satisfaction survey	Average score of 4 out of 5 or better	Measure amended	-
The satisfaction of the Minister for Economic and Regional Development with the policy advice service, as per the common satisfaction survey	Updated measure	Average score of 4 out of 5 or better	Average score of 4 out of 5 or better

*Reasons for Change in Appropriation*

This appropriation increased by \$17.128 million to \$77.314 million for 2020/21 due to:

- \$16.594 million from the CRRF for the 'Industry Policy - COVID-19 Recovery and Transformation Package' initiative to support three sectors to contribute to the post-COVID-19 recovery
- \$1.326 million to assist in the delivery of 'Shovel Ready' infrastructure projects
- \$1.092 million from the CRRF to increase the Tourism capability to implement the Government's response to COVID-19
- \$1 million from the CRRF to facilitate development of renewable energy projects
- a \$755,000 drawdown from the Tourism Sector Recovery Fund (Tranche 1) for logistical, administrative and research support to the New Zealand Tourism Futures Taskforce
- a \$750,000 drawdown from the Tourism Sector Recovery Fund (Tranche 2) for policy advice and related services
- \$710,000 from the CRRF to support the reduction of energy hardship and strengthening the consumer voice for electricity consumers
- a \$600,000 drawdown from the Tourism Sector Recovery Fund (Tranche 3)

- \$500,000 for establishing a negotiating team to ensure that it includes external people with the right skills and expertise in specialist commercial negotiations, relating to the COVID-19 vaccine strategy
- \$450,000 to resource the 'Just Transition' programme in Policy for Economic Development
- a \$432,000 expense transfer from 2019/20 due to delays in the 'Industry Policy COVID-19 Recovery and Transformation package' initiative as a result of the complexity of implementation design and issues from new ways of working
- a \$400,000 draw-down on the FIFA Women's World Cup 2023 contingency to support the event
- a \$343,000 expense transfer from 2019/20 due to delays in managing and operating the Responsible Camping system, as a result of the COVID-19 pandemic
- \$300,000 to support renewable energy projects in public and Māori housing
- a \$233,000 fiscally-neutral adjustment from capital to operating for the New Zealand Battery initiative in Policy for Energy and Resources
- \$220,000 to transfer from the 'Resource Data Acquisition and Management' MYA to Policy for Energy and Resources, to assist with the decommissioning of the Tui oil field
- \$200,000 from the CRRF to assist in accelerating energy efficiency and fuel switching in industry to reduce emissions
- a \$200,000 expense transfer from 2019/20 due to the delays in internship payments and sending interns to the United States of America relating to the New Zealand Space Scholarships initiative, as a result of the COVID-19 pandemic
- a \$150,000 expense transfer from 2019/20 due to delays in MBIE's Wellington Accommodation Consolidation project as a result of the COVID-19 pandemic
- a \$146,000 fiscally-neutral adjustment from the Trading Standards appropriation to reflect that the policy function of Product Safety has now been centralised into the Commerce and Competition policy team of MBIE
- a \$124,000 adjustment to reflect that the revenue received by MBIE from the Treasury for the on-charge of KiwiSaver default provider review, cannot be offset against the expense, so an increase is required in the 'Policy Advice and Related Services to Ministers - Commerce and Consumer Affairs' category
- a \$71,000 fiscally-neutral adjustment to reflect the estimated salary costs recovered from other agencies for Tourism Policy secondees, and
- \$12,000 to transfer any 2019/20 underspends from the Resource Data and Acquisition and Management MYA to Policy for Energy and Resources.

These increases are partially offset by:

- a \$8.500 million expense transfer to 2021/22 due to delays in the 'Industry Policy - COVID-19 Recovery and Transformation Package' initiative as a result of the complexity associates with the phasing of the Industry Transformation Policy development, and the impact of COVID-19 pandemic
- a \$780,000 expense transfer to 2021/22 as a result of to delays in spending due to the specialist nature of the roles required and consultants to be engaged in energy project and programmes and reducing energy hardship initiative, and

- a \$200,000 fiscally-neutral adjustment to the Commerce and Consumer Affairs: Registration and Provision of Statutory Information appropriation, to assist with the inclusion of a Māori business identifier in the New Zealand Business Number register.

## Project Tāwhaki Expenses (M84) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to fund the Crown's portion of the Project Tāwhaki land acquisition and provide a grant to Kaitōrete Limited to enable the Rūnanga to cover their portion of the Project Tāwhaki land acquisition.

### *Scope of Appropriation*

#### **Non-Departmental Other Expenses**

##### *Project Tāwhaki - Grants*

This category is limited to providing grants for Kaitōrete Limited's share of the acquisition of critical parcels of land at Kaitōrete Spit.

#### **Non-Departmental Capital Expenditure**

##### *Project Tāwhaki Land Acquisition - Capital*

This category is limited to capital expenditure to cover the Crown's share of the acquisition of critical parcels of land at Kaitōrete Spit.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	-	<b>16,000</b>	<b>16,000</b>
<b>Non-Departmental Other Expenses</b>			
Project Tāwhaki - Grants	-	2,000	2,000
<b>Non-Departmental Capital Expenditure</b>			
Project Tāwhaki Land Acquisition - Capital	-	14,000	14,000

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve investment and funding for Project Tāwhaki: A Māori-Crown partnership to realise joint economic and environmental interests on Kaitōrete Spit.

### *How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Joint Venture Management Committee Established	-	New measure	Achieved

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
Assessment of Performance	Estimates Standard	Supplementary Estimates Standard	Total Standard
<b>Non-Departmental Other Expenses</b>			
<b>Project Tāwhaki - Grants</b>			
This category is intended to achieve the enablement of Kaitōrete Limited to participate in Project Tāwhaki.			
Joint Venture Agreement agreed and signed by Kaitōrete Limited	-	New measure	Achieved
<b>Non-Departmental Capital Expenditure</b>			
<b>Project Tāwhaki Land Acquisition - Capital</b>			
This category is intended to achieve enablement of the Crown to participate in Project Tāwhaki.			
Joint Venture Agreement agreed and signed on behalf of Crown	-	New measure	Achieved

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Research, Science and Innovation in the Vote Business, Science and Innovation Non-Departmental Appropriations Report.

*Reasons for Change in Appropriation*

The increase in this appropriation for 2020/21 is due to a fiscally-neutral transfer of \$16 million from Vote Finance to support Project Tāwhaki.

## Regional Economic Development: Provincial Growth Fund (M25) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to achieve the outcome of a lift in the productivity potential in the regions.

### *Scope of Appropriation*

#### **Departmental Output Expenses**

##### *Operational Support of Regional and Sector Investments*

This category is limited to supporting regional economic development through regional, sectoral and infrastructure initiatives.

#### **Non-Departmental Output Expenses**

##### *Provincial Growth Fund Investment Management*

This category is limited to the establishment, administration and management of Provincial Growth Fund investments by Provincial Growth Fund Limited.

##### *Provincial Growth Fund Investment Management - Crown Regional Holdings Limited*

This category is limited to the establishment, administration and management of Provincial Growth Fund investments by Crown Regional Holdings Limited (CRHL) and Provincial Growth Fund Limited (PGFL) and their subsidiaries.

##### *Provincial Growth Fund Limited Operating*

This category is limited to the Crown contribution to the operational costs of the Provincial Growth Fund Limited and all associated activities including subsidiaries.

#### **Non-Departmental Other Expenses**

##### *Supporting Regional and Sector Initiatives*

This category is limited to supporting regional economic development through regional, sectoral and infrastructure initiatives, including feasibility studies, and initiatives to build skills, capability and capacity in regions.

#### **Non-Departmental Capital Expenditure**

##### *Crown Regional Holdings Limited Capital Investment*

This category is limited to the Crown's investment in Crown Regional Holdings Limited (CRHL) and Provincial Growth Fund Limited (PGFL) and their subsidiaries.

##### *Provincial Growth Fund Limited Capital Investment*

This category is limited to the Crown's investment in Provincial Growth Fund Limited.

##### *Supporting Capital Projects*

This category is limited to capital projects that are expected to make a significant contribution to a region's future growth potential, including investments that enable regions to be well connected from an economic and social perspective.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>185,053</b>	<b>633,732</b>	<b>818,785</b>
<b>Departmental Output Expenses</b>			
Operational Support of Regional and Sector Investments	37,961	2,354	40,315
<b>Non-Departmental Output Expenses</b>			
Provincial Growth Fund Investment Management	1,000	335	1,335
Provincial Growth Fund Investment Management - Crown Regional Holdings Limited	-	750	750
Provincial Growth Fund Limited Operating	-	750	750
<b>Non-Departmental Other Expenses</b>			
Supporting Regional and Sector Initiatives	13,707	396,478	410,185
<b>Non-Departmental Capital Expenditure</b>			
Crown Regional Holdings Limited Capital Investment	-	61,000	61,000
Provincial Growth Fund Limited Capital Investment	-	304,450	304,450
Supporting Capital Projects	132,385	(132,385)	-
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	<b>37,961</b>	<b>1,149</b>	<b>39,110</b>
Operational Support of Regional and Sector Investments	37,961	1,149	39,110
<b>Revenue from Others</b>	<b>-</b>	<b>1,205</b>	<b>1,205</b>
Operational Support of Regional and Sector Investments	-	1,205	1,205

*What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve a lift in the productivity potential of the regions through the delivery of regional, sectoral and infrastructure initiatives.

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Departmental Output Expenses</b>			
<b>Operational Support of Regional and Sector Investments</b>			
This category is intended to achieve the support regional economic development through regional sectoral and infrastructure initiatives.			

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Non-Departmental Output Expenses</b>			
<b>Provincial Growth Fund Investment Management</b>			
This category is intended to achieve the establishment, administration and management of Provincial Growth Fund investments by Provincial Growth Fund Limited.			
<b>Provincial Growth Fund Investment Management - Crown Regional Holdings Limited</b>			
This category is intended to achieve the effective delivery and management of Provincial Growth Fund investments by entities, including Crown Regional Holdings Limited (CRHL) and the Provincial Growth Fund (PGFL) and their subsidiaries.			
The satisfaction of the Crown Regional Holdings Limited and Provincial Growth Fund Limited Directors with agreed service levels and timeframes, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better
<b>Provincial Growth Fund Limited Operating</b>			
This category is intended to achieve the Crown contribution to the operational costs of the Provincial Growth Fund Limited and all associated activities including subsidiaries.			
The satisfaction of the Provincial Growth Fund Limited Directors with agreed service levels and timeframes, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better
<b>Non-Departmental Other Expenses</b>			
<b>Supporting Regional and Sector Initiatives</b>			
This category is intended to achieve the support of regional economic development by providing operational funding to regional, sectoral and infrastructure initiatives that lift regional productivity potential.			
<b>Non-Departmental Capital Expenditure</b>			
<b>Crown Regional Holdings Limited Capital Investment</b>			
This category is intended to achieve the Crown's investment in entities, including Crown Regional Holdings Ltd (CRHL) and the Provincial Growth Fund Limited (PGFL) and their subsidiaries.			
The satisfaction of the Crown Regional Holdings Limited and Provincial Growth Fund Limited Directors with agreed service levels and timeframes, on a scale of 1 to 5	-	New measure	Average score of 3 out of 5 or better
<b>Provincial Growth Fund Limited Capital Investment</b>			
This category is intended to achieve the Crown's investment in Provincial Growth Fund Limited.			

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

### *Reasons for Change in Appropriation*

This appropriation increased by \$633.732 million to \$818.785 million for 2020/21 due to:

- \$931.607 million in underspends transferred from 2019/20 as approved by Cabinet for the Supporting Regional and Sector Initiatives category (\$567.966 million), the Provincial Growth Fund Limited Capital Investment category (\$257 million), the Support Capital Projects category (\$102.207 million), the Operational Support of Regional and Sector Investments category (\$4.289 million), and the Provincial Growth Fund Investment Management category (\$145,000)
- \$76.420 million drawdown on the Regional Investment Opportunities tagged contingency to contribute to the Provincial Growth Fund (PGF) COVID-19 responses
- \$2 million fiscally-neutral adjustment from Vote Transport as a reprioritisation of the Dannevikre Rail Hub to fund the PGF's response to COVID-19
- \$850,000 fiscally-neutral adjustment to reflect recoveries from ancillary services provided by MBIE to the Provincial Growth Fund Limited (PGFL)
- \$510,000 fiscally-neutral adjustment from the Workers Redeployment Package appropriation to provide for the Provincial Development Unit (PDU) departmental resourcing, project administration, reporting and accountability requirements
- \$400,000 transfer to support the Tourism sector and communities to recover and reset from COVID-19
- \$355,000 fiscally-neutral adjustment to reflect the estimated salary costs recovered from other agencies for PDU secondees, and
- \$350,000 fiscally-neutral adjustment from the Ministry for the Environment to MBIE to enable the loan scheme, held at PGFL, to be run and provide operational support.

These increases are partially offset by:

- \$300 million expense transfer to 2020/21 to reflect that recipients of grants will need to fulfil agreed milestones prior to the funding request, and due to the impact of COVID-19 on New Zealand's economy and the complex nature of the contracts, it is expected that expenditure will continue to be incurred into outyears
- \$51.550 million transfer to assist with the implementation of the Regional Strategic Partnership Fund
- \$20.200 million fiscally-neutral adjustment to Vote Transport to assist in the redevelopment of strategic roads in the far north
- \$4.210 million expense transfer to 2021/22 to reflect that as a result of PGF commitments to third parties that are expected to become liable in future financial years, and
- \$2.800 million fiscally-neutral adjustment to Vote Transport to assist with their Kaipara Roads Programme.



## Research, Science and Innovation: Callaghan Innovation - Operations (M84) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to fund Callaghan Innovation to broker and provide innovation services to businesses and deliver programmes that enhance New Zealand's innovation system.

### *Scope of Appropriation*

#### **Non-Departmental Output Expenses**

##### *Building Business Innovation*

This category is limited to activities that raise awareness about and increase business investment in Research and Development.

##### *Business Research and Development Contract Management*

This category is limited to selection of businesses or individuals for either the provision of Research Science and Technology output, or the award of grants, and to negotiate, manage and monitor appropriate contracts with these businesses or individuals.

##### *R&D short term loan scheme subsequent impairment of loans*

This category is limited to the subsequent impairment of loans granted through the short-term research and development (R&D) loan scheme, including write-downs and write-offs.

##### *Research and Development Services and Facilities for Business and Industry*

This category is limited to providing research and technical expertise and facilities to business and industry.

#### **Non-Departmental Other Expenses**

##### *R&D short term loan scheme initial fair value write-down*

This category is limited to the initial fair value write-down of loans granted through the short-term research and development (R&D) loan scheme.

#### **Non-Departmental Capital Expenditure**

##### *Short-term Research and Development Loan Scheme*

This category is limited to loans to Callaghan Innovation to provide temporary financial assistance by way of short-term loans to businesses undertaking research and development.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>80,288</b>	<b>264,147</b>	<b>344,435</b>
<b>Non-Departmental Output Expenses</b>			
Building Business Innovation	36,378	3,247	39,625
Business Research and Development Contract Management	7,750	-	7,750
R&D short term loan scheme subsequent impairment of loans	-	20,000	20,000
Research and Development Services and Facilities for Business and Industry	36,160	1,100	37,260
<b>Non-Departmental Other Expenses</b>			
R&D short term loan scheme initial fair value write-down	-	90,000	90,000
<b>Non-Departmental Capital Expenditure</b>			
Short-term Research and Development Loan Scheme	-	149,800	149,800

*How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Net Promoter Score of all service touch points	+60	Measure amended	-
Net Promoter Score of all surveyed customers	Updated measure	+60	+60

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Non-Departmental Output Expenses</b>			
<b>Building Business Innovation</b>			
This category is intended to achieve the accelerated growth of innovative companies and build the effectiveness and skills of New Zealand's innovation system.			
Number of customers who worked with Callaghan Innovation in the following services: Events; International missions and Programmes	1,200	Measure amended	-
Number of customers who worked with Callaghan Innovation in the following services: Events; International Missions; Innovation Skills	Updated measure	1,000	1,000
Net Promoter Score for Callaghan Innovation Services: Programmes	+60	Measure amended	-

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
<b>Assessment of Performance</b>			
Net Promoter Score for Callaghan Innovation Services: Innovation Skills	Updated measure	+60	+60
<b>R&amp;D short term loan scheme subsequent impairment of loans</b>			
This category is limited to the subsequent impairment of loans granted through the short-term research and development (R&D) loan scheme, including write-downs and write-offs.			
An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as additional performance information is unlikely to be informative	-	Exempt	Exempt
<b>Research and Development Services and Facilities for Business and Industry</b>			
This category is intended to achieve growth in business through meeting their research development and commercialisation needs			
Number of customers with a Research and Technical Service project this financial year	240	216-264	216-264
Net promoter score for Research and Technical Services, services	+50	Measure amended	-
Net promoter score for Research and Technical Services	Updated measure	+40	+40
<b>Non-Departmental Other Expenses</b>			
<b>R&amp;D short term loan scheme initial fair value write-down</b>			
This category is limited to the initial fair value write-down of loans granted through the short-term research and development (R&D) loan scheme.			
An exemption was granted under s15D(2)(b)(ii) of the Public Finance Act 1989 as additional performance information is unlikely to be informative because any expenses associated with fair value write-down are non-cash and for accounting purposes only	-	Exempt	Exempt
<b>Non-Departmental Capital Expenditure</b>			
<b>Short-term Research and Development Loan Scheme</b>			
This category is intended to achieve temporary support to enable businesses affected by COVID-19 to maintain their research and development programmes.			
Percentage of existing Callaghan Innovation customers provided with a decision within four weeks of their application being received	New measure	80%	80%

### *Reasons for Change in Appropriation*

This appropriation increased by \$264.147 million to \$344.435 million for 2020/21 due to:

- \$149.550 million from the CRRF for capital investment in Callaghan Innovation for the short-term research and development (R&D) loan scheme and the associated support and administration activities
- \$110 million to establish new categories and reallocate CRRF funding to meet the costs of implementing the R&D loan scheme

- \$6.300 million from the CRRF to maintain research and development for New Zealand businesses
- \$1.563 million of operational funding to allow Callaghan to provide transitional support for R&D businesses moving across to the R&D Tax Incentive
- \$800,000 relating to an initiative to re-use returned loans by businesses that could not conduct R&D activities, and
- \$400,000 expense transfer from 2019/20 due to delays in the Health Tech Activator that was planned for the last quarter of the 2019/20 year, as a result of the COVID-19 pandemic and the related lockdown.

These increases are partially offset by:

- \$4.200 million transfer to Building Business Innovation category in 2021/22 and outyears to meet the costs of administering the R&D loan scheme, and
- a \$266,000 expense transfer to outyears to reflect that there are several activities within the Health Tech Activator programme that will not require funding until later in the five-year cycle.

## Research, Science and Innovation: Contract Management (M84) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to manage the allocation of funds for science, research and technology, to manage the related contracts and to evaluate the science and innovation outputs and impacts.

### *Scope of Appropriation*

#### **Departmental Output Expenses**

##### *Science and Innovation Contract Management*

This category is limited to contract management, planning, fund allocation, monitoring, and evaluating science and innovation outputs and impacts.

#### **Non-Departmental Output Expenses**

##### *Research Contract Management*

This category is limited to the selection of organisations and/or individuals to either provide research, science and technology related outputs, or manage and award research, science and technology grants; and to negotiate, manage and monitor appropriate contracts related to those outputs or awards.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>29,855</b>	<b>466</b>	<b>30,321</b>
<b>Departmental Output Expenses</b>			
Science and Innovation Contract Management	19,182	226	19,408

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Non-Departmental Output Expenses</b>			
Research Contract Management	10,673	240	10,913
<b>Funding for Departmental Output Expenses</b>			
Revenue from the Crown	<b>19,182</b>	<b>226</b>	<b>19,408</b>
Science and Innovation Contract Management	19,182	226	19,408

### *Reasons for Change in Appropriation*

This appropriation increased by \$466,000 to \$30.321 million for 2020/21 due to:

- \$250,000 for establishing a negotiating team to ensure that it includes external people with the right skills and expertise in specialist commercial negotiations, relating to the COVID-19 vaccine strategy, and
- \$240,000 to establish a new Fellowship initiative to fund early career researchers whose progression has been adversely affected by the COVID-19 pandemic.

These increases have been partially offset by a \$24,000 adjustment to reflect the reduction of the capital charge rate from 6% to 5%.

## **Research, Science and Innovation: Strategic Science Investment Fund (M84) (A17)**

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to support long-term programmes of mission-led science and the platforms that enable those programmes.

### *Scope of Appropriation*

#### **Non-Departmental Output Expenses**

##### *Strategic Science Investment Fund - Infrastructure*

This category is limited to funding that provides access to research technology, facilities, infrastructure, collections and databases, and associated support services.

##### *Strategic Science Investment Fund - Programmes*

This category is limited to funding for research organisations to undertake longer-term programmes of mission-led research.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>323,681</b>	<b>24,623</b>	<b>348,304</b>
<b>Non-Departmental Output Expenses</b>			
Strategic Science Investment Fund - Infrastructure	62,859	2,000	64,859
Strategic Science Investment Fund - Programmes	260,822	22,623	283,445

*Reasons for Change in Appropriation*

This appropriation increased by \$24.623 million to \$348.304 million for 2020/21 due to:

- \$29.750 million to support domestic and international research on the potential for domestic manufacturing of a COVID-19 vaccine
- a \$2 million expense transfer from 2019/20 due to timing in the investment in MethaneSAT Mission which is reliant on aligning with international partners and ensuring sufficient capability is available in New Zealand, and
- a \$1 million expense transfer from 2019/20 to reflect the uncertainty of the payment schedule for the Antarctic contract as a result of the varying level of research activity in each Antarctic season and availability of logistics support provided by Antarctica New Zealand.

These increases are partially offset by:

- a \$4.322 million expense transfer to 2021/22 and 2022/23 due to the delays in Antarctic research as a result of the COVID-19 pandemic
- a \$2.445 million expense transfer to 2021/22 to ensure that the 15-month vaccine contract matches the contract term and contract payments to the research organisation
- a \$1 million expense transfer to 2021/22 due to delays in the contract held for investment in Green Hydrogen research as a result of timing in the Government's strategy for Green Hydrogen, and
- a \$360,000 expense transfer to 2021/22 to align contract payments for Pastoral Greenhouse Gas research due to contract variations.

## Research, Science and Innovation: Talent and Science Promotion (M84) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to contribute to an excellent, high-performing science system through enhancing public engagement with science and the development of talented people, including through research.

### *Scope of Appropriation*

#### **Non-Departmental Output Expenses**

##### *Fellowships for Excellence*

This category is limited to encouraging the career development of the country's talented early and mid-career researchers.

##### *Science in Society*

This category is limited to approaches that enhance and enable better engagement of New Zealanders with science and technology.

##### *Vision Mātauranga Capability Fund*

This category is limited to the development of skilled people and organisations undertaking research that supports the four themes of Vision Mātauranga; indigenous innovation, environmental sustainability, health and social well-being, and exploring indigenous knowledge.

#### **Non-Departmental Other Expenses**

##### *Royal Society of New Zealand*

This category is limited to an annual grant to the Royal Society of New Zealand to contribute towards the Society's aims and functions under the Royal Society of New Zealand Act 1997 to promote and advance science and technology.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>28,989</b>	<b>8,100</b>	<b>37,089</b>
<b>Non-Departmental Output Expenses</b>			
Fellowships for Excellence	10,570	9,600	20,170
Science in Society	11,849	(1,500)	10,349
Vision Mātauranga Capability Fund	6,070	-	6,070
<b>Non-Departmental Other Expenses</b>			
Royal Society of New Zealand	500	-	500

### *Reasons for Change in Appropriation*

This appropriation increased by \$8.100 million to \$37.089 million for 2020/21 due to:

- a \$9.600 million fiscally-neutral adjustment from the Endeavour Fund to establish a new Fellowship initiative to fund early career researchers whose progression has been adversely affected by the COVID-19 pandemic, and
- a \$1 million expense transfer from 2019/20 to reflect that negotiations with Advance HE (a UK company that licenses the accreditation programme) have been slower than expected as a result of an incomplete investment and contracts not being negotiated by June 2020.

These increases are partially offset by a \$2.500 million expense transfer to 2021/22 due to delays in the development and finalisation of the Investment Plan for the Equity, Diversity and Inclusion Capability Fund as a result of lockdown and the inability for MBIE to fully consult with the sector.

### **Sector Analysis and Facilitation (M25) (A17)**

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to provide services that support the effective functioning of individual economic sectors.

#### *Scope of Appropriation*

##### **Departmental Output Expenses**

##### *Sectoral and regional data and analysis - Economic Development*

This category is limited to the research, analysis and dissemination of data on economic performance of sectors and regions.

##### *Tourism Data and Analysis - Tourism*

This category is limited to research, analysis and dissemination of data on tourism.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>6,699</b>	<b>(30)</b>	<b>6,669</b>
<b>Departmental Output Expenses</b>			
Sectoral and regional data and analysis - Economic Development	3,484	-	3,484
Tourism Data and Analysis - Tourism	3,215	(30)	3,185
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	<b>6,560</b>	<b>-</b>	<b>6,560</b>
Sectoral and regional data and analysis - Economic Development	3,484	-	3,484
Tourism Data and Analysis - Tourism	3,076	-	3,076



	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Revenue from Others	139	(30)	109
Tourism Data and Analysis - Tourism	139	(30)	109

### *Reasons for Change in Appropriation*

This appropriation decreased by \$30,000 to \$6.669 million as a result of an adjustment to reflect that the Convention Research Programme, which was club-funded by regional tourism since 2013/14, discontinued in June 2020.

### **Seed Co-Investment Fund (M25) (A17)**

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to provide capital and market development services to support the development of markets for early stage equity finance and venture capital.

#### *Scope of Appropriation*

##### **Non-Departmental Output Expenses**

##### *Investment Fund Management*

This category is limited to market development operational Costs of the Venture and Seed Co-Investment Funds.

##### **Non-Departmental Capital Expenditure**

##### *Seed Co-Investment Fund*

This category is limited to providing capital to support the development of the market for early stage equity finance.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>750</b>	<b>-</b>	<b>750</b>
Non-Departmental Output Expenses			
Investment Fund Management	750	(10)	740
Non-Departmental Capital Expenditure			
Seed Co-Investment Fund	-	10	10

*How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Number of new investments in companies from the Seed Co-Investment Fund	Reaching or exceeding 15	Measure amended	-
Number of new investments in companies from the Aspire NZ Seed Fund	Updated measure	Reaching or exceeding 15	Reaching or exceeding 15

**Services and Advice to Support Well-functioning Financial Markets (M13) (A17)***Overarching Purpose Statement*

The single overarching purpose of this appropriation is to support well-functioning financial markets through the activities of the Financial Markets Authority.

*Scope of Appropriation***Non-Departmental Output Expenses***Performance of Investigation and Enforcement Functions*

This category is limited to statutory functions relating to the investigation and enforcement of financial markets legislation, including the assessment of complaints, tips, and referrals.

*Performance of Licensing and Compliance Monitoring Functions*

This category is limited to statutory functions relating to licensing of market participants and risk-based monitoring of compliance, including with disclosure requirements under financial markets legislation.

*Performance of Market Analysis and Guidance, Investor Awareness, and Regulatory Engagement Functions*

This category is limited to statutory functions relating to market intelligence, guidance, investor education, and regulatory and government co-operation and advice.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>48,500</b>	<b>-</b>	<b>48,500</b>
<b>Non-Departmental Output Expenses</b>			
Performance of Investigation and Enforcement Functions	8,348	1,934	10,282
Performance of Licensing and Compliance Monitoring Functions	21,652	(2,592)	19,060
Performance of Market Analysis and Guidance, Investor Awareness, and Regulatory Engagement Functions	18,500	658	19,158

*How Performance will be Assessed for this Appropriation*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Investor Confidence Index (a composite measure of the confidence of New Zealanders in financial markets)	Meeting at least 71%	Measure removed	-
Percentage of Financial Service providers and investors in New Zealand believe that FMA's actions promote fair, efficient and transparent financial markets (see Note 1).			
<ul style="list-style-type: none"> <li>85% of stakeholders agree that FMA's actions help raise standards of market conduct and integrity</li> </ul>	-	85%	85%
<ul style="list-style-type: none"> <li>65% of investors are confident in the quality of regulation of New Zealand's financial markets</li> </ul>	-	65%	65%

Note 1 - This index measure is made up of two sub-components with equal weighting based on surveys of investors and stakeholders

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

Assessment of Performance	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
<b>Non-Departmental Output Expenses</b>			
<b>Performance of Investigation and Enforcement Functions</b>			
This category is intended to achieve timely, proportionate and appropriately governed action against financial market misconduct.			
Investigation and enforcement activities are undertaken and completed according to agreed timeframes and standards	Achieved	Measure amended	-
Progress of all investigation cases is reported to the FMA Board every 40 working days	Updated measure	Achieved	Achieved
Misconduct cases are evaluated and decisions on follow-up actions are made within 9 working days of the information received date	-	New measure	Achieved
<b>Performance of Licensing and Compliance Monitoring Functions</b>			
This category is intended to achieve the risk-based monitoring and surveillance of financial market participants.			
Fully completed licence applications, regulated offers and completed applications for exemptions are processed within agreed timeframes and standards	Achieved	Measure amended	-
Once received by the FMA, percentage of fully completed licence applications are processed within 60 working days	Updated measure	93%	93%
FMA provides substantive feedback on licensing applications, regulated offers and disclosures that result in improvements being made or documents withdrawn	Achieved	Measure amended	-

	2020/21		
Assessment of Performance	Estimates Standard	Supplementary Estimates Standard	Total Standard
FMA undertakes to provide a range of proactive, reactive and thematic monitoring activity to target risks identified in response to the regulatory risks identified	Updated measure	Achieved	Achieved
<b>Performance of Market Analysis and Guidance, Investor Awareness, and Regulatory Engagement Functions</b>			
This category is intended to achieve engagement with the market and entities, and setting and communicating our expectations, as well as providing information to aid investors and customers of financial services.			
The FMA undertakes at least 20 industry or business presentations or speeches per year, with the aim of providing better information and insight for regulated populations	Achieved	Measure amended	-
The FMA undertakes at least 30 speeches and presentations (in-person and online) to inform and assist users and providers of financial services	Updated measure	Achieved	Achieved
Participants find FMA communication clear, concise and effective	-	New measure	75%
Market participants within the entity based relationship management programme say they have benefitted from the relationship	95%	Measure amended	-
Market participants within the stakeholder relationship management programme (SHRM), who responded to our survey, say they have benefitted from the relationship	Updated measure	95%	95%
Percentage of FMA website visitors surveyed who rate the content they accessed as useful in helping them to comply, or to make informed investment decisions	95%	Measure removed	-
Number of website page-views of FMA's investor content have increased year to year	-	New measure	Achieved

## Small Business: Digital Enablement of Small Business (M62) (A17)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to achieve the outcome of accelerating the digital enablement of New Zealand's small business.

### *Scope of Appropriation*

#### **Departmental Output Expenses**

##### *Small Business: Digital Enablement Programme Design, and Management*

This category is limited to the design, establishment, administration, management and monitoring of initiatives to accelerate the digital enablement of New Zealand small business.

#### **Non-Departmental Output Expenses**

##### *Small Business: Digital Enablement Small Business and Provider Funding and Services*

This category is limited to funding small businesses and providers for services and products that accelerate the digital enablement of New Zealand small businesses.

### *Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	-	<b>13,500</b>	<b>13,500</b>
<b>Departmental Output Expenses</b>			
Small Business: Digital Enablement Programme Design, and Management	-	900	900
<b>Non-Departmental Output Expenses</b>			
Small Business: Digital Enablement Small Business and Provider Funding and Services	-	12,600	12,600
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	-	<b>900</b>	<b>900</b>
Small Business: Digital Enablement Programme Design, and Management	-	900	900

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve digital acceleration of New Zealand small businesses by facilitating the provision of and access to digital business skills training, advisory services, support, and tools.

*How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Percentage of small business very satisfied or satisfied using Digital Boost services	-	New measure	Greater than or equal to 75%

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Departmental Output Expenses</b>			
<b>Small Business: Digital Enablement Programme Design, and Management</b>			
This category is intended to achieve the effective design, establishment and monitoring of the Digital Enablement Programme.			
Successful procurement, administration and management for delivering the Digital Boost Programme (third-party contracts)	-	New measure	Achieved
<b>Non-Departmental Output Expenses</b>			
<b>Small Business: Digital Enablement Small Business and Provider Funding and Services</b>			
This category is intended to achieve effective delivery of Digital Enablement services using third-party provider.			
The number of businesses signing up to the Digital Boost programme	-	New measure	25,000 - 30,000

*End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Ministry of Business, Innovation and Employment in its annual report.

*Reasons for Change in Appropriation*

This appropriation increased by \$13.500 million for 2020/21 due to:

- \$8.500 million draw down from the 'Supporting Small Business into Digital Commerce' tagged operating contingency to support small businesses to move to digital-commerce and support their longer-term business transformation, and
- \$5 million transfer from the Tourist Sector Recovery fund (Tranche 3 initiatives) to support Digital capability.

## Support New Market Opportunities to Grow Firms and Sectors for the Benefit of New Zealand (M25) (A17)

### Overarching Purpose Statement

The single overarching purpose of this appropriation is to promote growth by providing support to firms and sectors in securing new market opportunities.

### Scope of Appropriation

#### Non-Departmental Other Expenses

##### *International Growth Fund*

This category is limited to helping firms to undertake the market development and business capability development activities required for international markets, that will deliver benefits for both the firm and the wider New Zealand economy.

##### *Sector Strategies and Facilitation*

This category is limited to assistance with the facilitation of specific sector initiatives, particularly in areas of major events, pre-feasibility and feasibility studies and guarantees for significant projects to access funding through other government programmes and cash grants.

### Expenses, Revenue and Capital Expenditure

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>31,231</b>	<b>31,800</b>	<b>63,031</b>
<b>Non-Departmental Other Expenses</b>			
International Growth Fund	30,027	31,800	61,827
Sector Strategies and Facilitation	1,204	-	1,204

### End of Year Performance Reporting

Performance information for this appropriation will be reported in New Zealand Trade and Enterprise's Annual Report.

### Reasons for Change in Appropriation

This appropriation increased by \$31.800 million to \$63.031 million for 2020/21 due to:

- \$54 million from the CRRF to support the export leg of New Zealand's economic recovery from COVID-19 by increasing the scale, intensity and reach of NZTE's support services for exporters over several years, and
- a \$1.800 million expense transfer from 2019/20 due to delays in the International Growth funding claims as a result of the COVID-19 pandemic.

These increases are partially offset by a \$24 million fiscally-neutral adjustment to the Support New Market Opportunities to Grow Firms and Sectors for the Benefit of New Zealand MCA, to assist with the COVID-19 response and recovery.

## Support the Growth and Development of New Zealand Firms, Sectors and Regions (M25) (A17)

### Overarching Purpose Statement

The single overarching purpose of this appropriation is to provide support for the growth and development of New Zealand firms, sectors and regions to maximise international business opportunities.

### Scope of Appropriation

#### Non-Departmental Output Expenses

##### *Collaborative Activity and Special Events*

This category is limited to activities where NZTE collaborates with other New Zealand Agency partners to leverage New Zealand's profile and diaspora in international markets, and secure and capitalise on special events or opportunities that support New Zealand's export growth.

##### *International Business Growth Services*

This category is limited to helping individual or group of firms internationalise by improving their capability and readiness; identifying and exploiting market opportunities; overcoming internationalisation barriers; providing customised advice and support; enabling access to international business networks; and matching capital with opportunities that support New Zealand's economic growth.

##### *Services to Support the Growth and Development of New Zealand Businesses*

This category is limited to building and enhancing business and management capability through providing access to effective assessment, advice, training, mentoring and information.

### Expenses, Revenue and Capital Expenditure

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>177,246</b>	<b>89,673</b>	<b>266,919</b>
<b>Non-Departmental Output Expenses</b>			
Collaborative Activity and Special Events	4,420	2,049	6,469
International Business Growth Services	157,645	24,098	181,743
Services to Support the Growth and Development of New Zealand Businesses	15,181	63,526	78,707

### End of Year Performance Reporting

Performance information for this appropriation will be reported in New Zealand Trade and Enterprise's Annual Report.



### *Reasons for Change in Appropriation*

This appropriation increased by \$89.673 million to \$266.919 million for 2020/21 due to:

- \$40 million for additional funding for business advice provided through the Regional Business Partner (RBP) network for small businesses
- a \$24 million fiscally-neutral adjustment from the Support New Market Opportunities to Grow Firms and Sectors for the Benefit of New Zealand MCA, to assist with the COVID-19 response and recovery
- a \$13.526 million expense transfer from 2019/20 due to delays in the support of small and medium enterprises by the RBP as a result of the COVID-19 pandemic
- a \$10 million drawdown from the Tourism Sector Recovery Fund tagged operating contingency to assist with the RBP
- a \$2.049 million fiscally-neutral adjustments to support the New Zealand Story initiative through the baselines of NZTE, Education New Zealand (\$283,000), Te Puni Kōkiri (\$383,000), Ministry of Foreign Affairs and Trade (\$500,000), Ministry for Primary Industries (\$383,000), and Tourism New Zealand (\$500,000), and
- a \$98,000 adjustment to reflect foreign exchange movements.

### **Tourism Facilities (M69) (A17)**

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to enhance New Zealand's tourism facilities and infrastructure.

#### *Scope of Appropriation*

##### **Non-Departmental Other Expenses**

###### *Ngā Haerenga, The New Zealand Cycle Trail Fund*

This category is limited to the provision of funding for the maintenance, development and promotion of Ngā Haerenga, the New Zealand Cycle Trail including the provision of funding to New Zealand Cycle Trail Incorporated.

###### *Tourism Facilities Development Grants*

This category is limited to the provision of financial assistance for the development of tourism facilities and services.

*Expenses, Revenue and Capital Expenditure*

	2020/21		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
<b>Total Appropriation</b>	<b>19,767</b>	<b>9,834</b>	<b>29,601</b>
<b>Non-Departmental Other Expenses</b>			
Ngā Haerenga, The New Zealand Cycle Trail Fund	11,500	9,000	20,500
Tourism Facilities Development Grants	8,267	834	9,101

*How Performance will be Assessed for this Appropriation*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Increase in the number of new tourism facilities and infrastructure performance assessed within agreed timeframes	Achieved	Measure removed	-
Tourism facilities and infrastructure is improved or maintained	New measure	Achieved	Achieved

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

	2020/21		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
<b>Non-Departmental Other Expenses</b>			
<b>Ngā Haerenga, The New Zealand Cycle Trail Fund</b>			
This category is intended to achieve the success and long-term sustainability of the Ngā Haerenga, the New Zealand Cycle Trail Network.			
All trails collect a minimum of 200 user satisfaction surveys per annum	Achieved	Measure amended	-
All 22 trails collect a minimum of 200 user satisfaction surveys each per annum	Updated measure	Achieved	Achieved
Trail counters show continued increase in the number of cyclists using the Great Ride network	Achieved	Measure amended	-
Trail counters show continued increase in the number of users using the Great Ride network	Updated measure	Achieved	Achieved
<b>Tourism Facilities Development Grants</b>			
This category is intended to achieve an improvement in the quality and reliability of tourism facilities and services.			
Improvements in the quality of existing tourism facilities and infrastructure	Achieved	Measure amended	-
Tourism facilities and infrastructure is improved or maintained	Updated measure	Achieved	Achieved

*Reasons for Change in Appropriation*

This appropriation increased by \$9.834 million to \$29.601 million for 2020/21 due to:

- a \$8 million expense transfer from 2019/20 due to delays in Cycle Trail business cases as a result of securing appropriate resource consents, adverse weather conditions, and contractor availability
- a \$1 million expense transfer of 2019/20 underspends as approved by Cabinet on February 2014, which enabled any underspends to be transferred from one year to the next financial year, and
- a \$834,000 expense transfer from 2019/20 due to delays relating to Responsible Camping funding as a result of projects undertaken by Councils that have not had final compliance documentation or final reports provided to MBIE.