



TE TAI ŌHANGA
THE TREASURY

Reference: 20200342

30 October 2020

s9(2)(a)

Dear s9(2)(a)

Thank you for your Official Information Act request, received on 1 October 2020, for:

1. All communications relating to s9(2)(a) Official Information Act request (ref: 20200318), including (but not limited to) e mails, memos, notes, texts, etc. – and including both internal communications within The Treasury and any communications between The Treasury and Ministry of Health, CDHB, Minister of Health Chris Hipkins (and his office) between September 2 and October 1.
2. All advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about Canterbury DHB's deficit and/or financial management from September 3 to October 1.

On 8 October, you clarified your request related to point one above:

I would like to exclude purely administrative communications, including automatically generated ones, reminders to undertake certain steps in the process such as formatting or QA of documents; emails informing officials that a step in the process has been done or needs to be done; emails passing on documents for review or informing staff of due dates etc. I am looking for any communication that discusses the content of the OIA or its release in more substance.

Information being released

Please find enclosed the following documents:

Item	Date	Document Description	Decision
1.	4 September 2020	Email: FW: Copies of the DHB Risk Report	Release in part
2.	4 September 2020	Email: FW: TSY – Bag T2020/2469 Ministers of Health and Finance – monthly 'Health Check-Up', 30 July 2020	Release in part

1 The Terrace
PO Box 3724
Wellington 6140
New Zealand
tel. +64-4-472-2733

<https://treasury.govt.nz>

3.	4 September 2020	Email: FW: Briefing for Minister Hipkins/MoF meeting next week	Release in part
4.	4 September 2020	Email: RE: LoE	Release in part
5.	8 September 2020	Email: RE: TOIA CDHB deficit drivers – can you please check if you have any relevant info	Release in part
6.	9 September 2020	Email: RE: TOIA – seeking confirmation of referral of report	Release in part
7.	16 September 2020	Email: RE: TOIA – seeking confirmation of referral of report	Release in part
8.	16 September 2020	Email: RE: FW: Draft email for MoH MAS team to send out please	Release in part
9.	16 September 2020	Email: Documents for redaction CDHB TOIA	Release in part
10.	17 September 2020	Email: RE: OIA CDHB deficit heads up of likely material to be released	Release in part
11.	17 September 2020	Email: Re: SEEMail Warning #2A - UNVERIFIED SEEMail SENDER : RE: FW: Draft email for MoH MAS team to send out please	Release in part
12.	17 September 2020	Email: RE: Treasury OIA on the CDHB deficit	Release in part
13.	21 September 2020	Email: RE: Treasury OIA - confirming FTE data used in Treasury's report is okay to release	Release in part
14.	21 September 2020	Email: RE: OIA CDHB deficit heads up	Release in part
15.	25 September 2020	Email: RE: TSY BAG TOIA Inform (20200318)_ T2020 3100	Release in part
16.	30 September 2020	Email: RE: Treasury's CDHB OIA	Release in part
17.	30 September 2020	Email: RE: Reply to your OIA request 20200318	Release in part
18.	30 September 2020	Email: RE: FW: Reply to your OIA request 20200318	Release in part
19.	29 September 2020	Texts between Hon Hipkins' office and the Treasury	Release in part
20.	14 September 2020	Report: Health Report: Payment of equity support arising from deficits to DHBs in 2020/21	Release in part

Documents 1 to 19 relate to the first part of your request for correspondence around s9(2)(a) previous request. Documents 20 and 21 relate to the second part of your request for advice provided to the Minister of Health from Treasury staff about Canterbury DHB's deficit and/or financial management from September 3 to October 1.

I have decided to release the relevant parts of the documents listed above, subject to information being withheld under one or more of the following sections of the Official Information Act, as applicable:

- advice still under active consideration, section 9(2)(f)(iv) – to maintain the current constitutional conventions protecting the confidentiality of advice tendered by Ministers and officials,
- certain sensitive information, under section 9(2)(i) – to enable the Crown to carry out commercial activities without prejudice or disadvantage,
- contact details of officials under section 9(2)(g)(ii) – to maintain the effective conduct of public affairs through protecting ministers, members of government organisations, officers and employees from improper pressure or harassment,
- direct dial phone numbers of officials, under section 9(2)(k) – to prevent the disclosure of information for improper gain or improper advantage.

Direct dial phone numbers of officials have been redacted under section 9(2)(k) in order to reduce the possibility of staff being exposed to phishing and other scams. This is because information released under the OIA may end up in the public domain, for example, on websites including Treasury's website.

A decision was made at the end of processing the response to s9(2)(a) to redact some information in the 'Monthly-health check-up' 8 September'. This differs slightly to what was originally proposed earlier in the process, which was to release the document in full. This is outlined in a number of the emails attached.

Additionally, all attachments and emails discussed in the material we are releasing to you was released to s9(2)(a). Furthermore, some of this information has been redacted because it is not covered by the scope of your request.

Please note that this letter (with your personal details removed) and enclosed documents may be published on the Treasury website.

This reply addresses the information you requested. You have the right to ask the Ombudsman to investigate and review my decision.

Yours sincerely



Sebastian Doelle
Acting Manager, Health & ACC

OIA 20200342

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From: Jess Hewat [TSY]
Sent: Friday, 4 September 2020 2:08 PM
To: Jess Jenkins [TSY]; Rose Boele van Hensbroek [TSY]
Subject: FW: Copies of DHB risk report
Attachments: HR20201145 DHB Annual Plan Risk Report.pdf; DHB Sector 2020 21 Risk Assessment DRAFT Final clean - Final.docx

[IN-CONFIDENCE]

This is in scope

From: Jessica.Smaling@health.govt.nz <Jessica.Smaling@health.govt.nz>
Sent: Tuesday, 14 July 2020 1:00 PM
To: Hew Norris [TSY] <Hew.Norris@treasury.govt.nz>
Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Subject: Copies of DHB risk report

Hi Hew - this went this morning. Here's a PDF signed copy and the word version for your records.

Jess Smaling
 Group Manager, DHB Planning, Funding & Accountability
 DHB Performance, Support & Infrastructure
 Ministry of Health, 133 Molesworth Street, Thorndon, PO Box 5013, Wellington 6140
 DDI ^{s9(2)(k)} Mobile ^{s9(2)(g)(ii)} <http://www.moh.govt.nz>
<mailto:Jessica.Smaling@health.govt.nz>

 Statement of confidentiality: This e-mail message and any accompanying attachments may contain information that is IN-CONFIDENCE and subject to legal privilege.
 If you are not the intended recipient, do not read, use, disseminate, distribute or copy this message or attachments.
 If you have received this message in error, please notify the sender immediately and delete this message.

This e-mail message has been scanned for Viruses and Content and cleared by the Ministry of Health's Content and Virus Filtering Gateway

From: Jess Hewat [TSY]
Sent: Friday, 4 September 2020 2:09 PM
To: Jess Jenkins [TSY]; Rose Boele van Hensbroek [TSY]
Subject: FW: TSY - Bag T2020/2469 Ministers of Health and Finance – monthly 'Health Check-Up', 30 July 2020
Attachments: Joint Report T2020-2469 Ministers of Health and Finance – monthly Health check-up 30 July 2020.docx; Joint Report T2020-2469 Ministers of Health and Finance – monthly Health check-up 30 July 2020.pdf

I guess this email also is technically in scope. But the report is the main thing.

From: Jess Hewat [TSY]
Sent: Tuesday, 21 July 2020 4:07 PM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: FW: TSY - Bag T2020/2469 Ministers of Health and Finance – monthly 'Health Check-Up', 30 July 2020

This what you're after?

We normally leave it to Health to get papers across to both Ministers but there have been a couple of times papers have not got to MoF, so we are sending to Talei via MoF's bag just to be safe.

From: Hew Norris [TSY] <Hew.Norris@treasury.govt.nz>
Sent: Monday, 20 July 2020 12:18 PM
To: ^Parliament: Talei Pasikale <Talei.pasikale@parliament.govt.nz>
Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>; Jashu Chhagan [TSY] <Jashu.Chhagan@treasury.govt.nz>; Ministerial Services Inbox [TSY] <Ministerial.Services@treasury.govt.nz>; s9(2)(g)(ii)

Subject: FW: TSY - Bag T2020/2469 Ministers of Health and Finance – monthly 'Health Check-Up', 30 July 2020

Kia ora Talei

The attached report has been further reviewed by the Ministry of Health over the weekend. This has resulted in two changes, the extra sentence below in appendix 1 and the signature page is now on page 3 instead of page 13
I've attached the updated report in Word and PDF.

The report has been printed and is being sent in today's bag. Appreciate it if you could let us know if it would be helpful to reprint the report for this change, or if the electronic copy would be ok?

Ngā mihi
Hew

Canterbury DHB

The Crown Monitor and Board have been active in their drive for the DHB to deliver concrete service implementation plans within an appropriate financial setting. The limited progress made by the executive team have exacerbated concerns about whether the management team have the ability to develop plans and advice, manage risk, and make the necessary changes to the current approach.

The Ministry has given very clear expectations to the DHB regarding 2020/21 annual planning, in particular the level of deficit considered appropriate. So far, there has been no information provided back to the Ministry to give any assurance that the management team is genuinely working on improving the current financial position, with discussions leaning more to re-justification of current financials.

The Acute Services Building (Hagley) development is a big distraction to the DHB's management team, and consistently cited as the primary reason for financial and service performance issues. The Ministry believes that narrative around the impacts of Hagley may be masking more widespread issues and are looking to be able to have more meaningful discussions around underlying performance.

Both the Director-General and Minister of Health have spoken with the Board Chair about the Board's plan to address these ongoing issues.

From: Hew Norris [TSY]

Sent: Friday, 17 July 2020 12:28 PM

To: Talei Pasikale <Talei.Pasikale@parliament.govt.nz>

Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>; Jashu Chhagan [TSY] <Jashu.Chhagan@treasury.govt.nz>;

Fiona O'Brien [TSY] <Fiona.O'Brien@treasury.govt.nz>; Ministerial Services Inbox [TSY]

<Ministerial.Services@treasury.govt.nz>; s9(2)(g)(ii)

s9(2)(g)(ii)

Subject: TSY - Bag T2020/2469 Ministers of Health and Finance – monthly 'Health Check-Up', 30 July 2020

[IN-CONFIDENCE]

Kia ora

Please find a report attached for the 30 July joint Ministers meeting (Robertson and Hipkins)

Two copies of the report are attached, a Word version and a signed PDF. These documents also contain appendixes 1 and 2.

Also attached are

- Appendix 3 (four documents labelled 3-1, 3-2, 3-3, and 3-4) and
- 4 (one document).

Hard copies will be in the bag on Monday

Ngā mihi

Hew



**TE TAI ŌHANGA
THE TREASURY**

Hew Norris (he/him) | Senior Analyst - Health | Te Tai Ōhanga – The Treasury

Tel: s9(2)(k) Email: hew.norris@treasury.govt.nz
I work part time on Tuesdays

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OFFICIAL INFORMATION ACT

From: Jess Hewat [TSY]
Sent: Friday, 4 September 2020 2:14 PM
To: Rose Boele van Hensbroek [TSY]; Jess Jenkins [TSY]
Subject: FW: Briefing for Minister Hipkins/MoF meeting next week
Attachments: 01092020170255-0001.pdf

[IN-CONFIDENCE]

This is also in scope

From: Stuart Powell <Stuart.Powell@health.govt.nz>
Sent: Tuesday, 1 September 2020 5:08 PM
To: Briefings/MOH <Briefings@health.govt.nz>; John Hazeldine <john.hazeldine@health.govt.nz>
Cc: Briefings/MOH <Briefings@health.govt.nz>; Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>; Fergus Welsh <Fergus.Welsh@health.govt.nz>; Paula Steven <Paula.Steven@health.govt.nz>
Subject: RE: Briefing for Minister Hipkins/MoF meeting next week

Maria

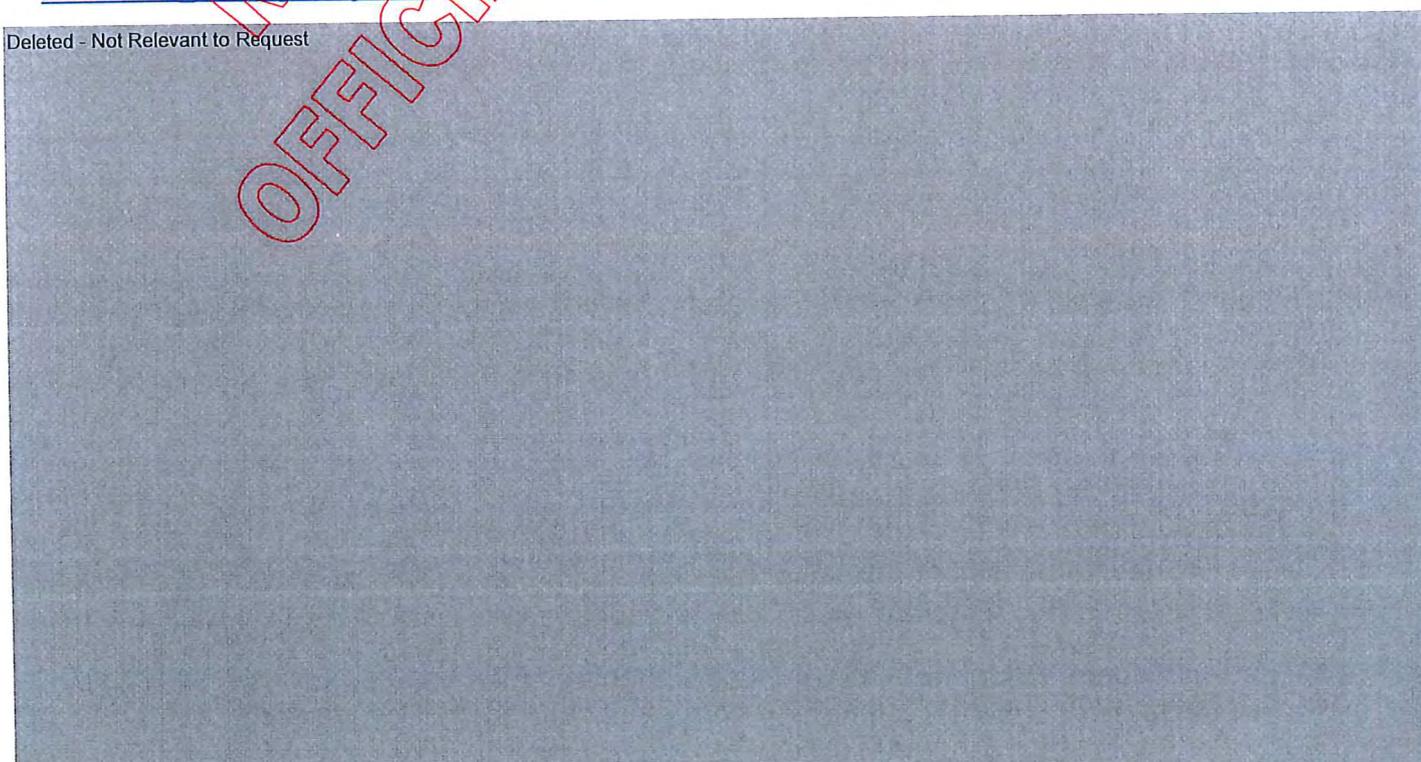
Please find the signed Joint Health report. I will get it into the database tomorrow

Stuart Powell

Chief Advisor Performance
DHB Performance, Support and Infrastructure
Ministry of Health
DDI: s9(2)(k)
Cell: s9(2)(g)(ii)

<http://www.moh.govt.nz>
<mailto:Stuart.Powell@moh.govt.nz>

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From: Jess Jenkins [TSY]
Sent: Friday, 4 September 2020 3:04 PM
To: Jess Hewat [TSY]; Rose Boele van Hensbroek [TSY]
Subject: RE: LoE
Attachments: Response to CDHB Deficits Article; Brief info for DHB note

I just have this one directly to Steve (and then the one to Ben – copying in Steve – what do you think on that one Jess?)



**TE TAI ŌHANGA
THE TREASURY**

Jess Jenkins (she/her) | Vote Analyst ACC, DHB Performance | Health & ACC

Tel: s9(2)(k) | Email/IM: jess.jenkins@treasury.govt.nz

From: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Sent: Friday, 4 September 2020 1:54 PM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>; Jess Jenkins [TSY] <Jess.Jenkins@treasury.govt.nz>
Subject: FW: LoE

[IN-CONFIDENCE]

This is the only email I scope for the OIA. Please check your emails – it will be stuff to Steve B (in his capacity in Hipkin's office, not as Talei). We will need to check Niki and Seb too.

From: Jess Hewat [TSY]
Sent: Monday, 24 August 2020 1:01 PM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: RE: LoE

[IN-CONFIDENCE]

Do you know if there was a specific one for CDHB?

s9(2)(i)

s9(2)(i)

From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Monday, 24 August 2020 12:49 PM
To: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Subject: LoE



Steve Bainbridge | Private Secretary, Treasury
 Office of Hon Chris Hipkins MP | Minister of Education, Health, State Services, Leader of the House and Minister Responsible for Ministerial Services

P: s9(2)(k) | Mobile: s9(2)(g)(ii)

Email: stephen.bainbridge@parliament.govt.nz

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From: Jill Caughey [TSY]
Sent: Tuesday, 8 September 2020 8:21 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: TOIA CDHB deficit drivers - can you please check if you have any relevant info

Hi Rose,

Only thing I did was the report to MoF which included a rec to refer to Min of Health. So worth checking with MoF's office whether it ended up being provided to Min Hipkins office – let me know if you want me to do it ☺.

Thanks!
Jill

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Monday, 7 September 2020 3:34 pm
To: @Health [TSY] <Healthtsy@treasury.govt.nz>
Subject: TOIA CDHB deficit drivers - can you please check if you have any relevant info

[IN-CONFIDENCE]

Hi everyone,

We received the below TOIA. If you have information that might be in scope can you please send it through?

All advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about the reasons for/drivers of Canterbury DHB's deficit from July 2 to September 2.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) | Mobile s9(2)(g)(ii) | Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Wednesday, 9 September 2020 8:38 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: TOIA - seeking confirmation of referral of report

Oh fun!

Well, he definitely saw it. I don't think it's a bad thing if it is released anyway...

Steve



Steve Bainbridge | Private Secretary, Treasury
 Office of Hon Chris Hipkins MP | Minister of
 Education, Health, State Services, Leader of the
 House and Minister Responsible for Ministerial
 Services
 P: s9(2)(k) Mobile: s9(2)(g)(ii)
 Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [mailto:Rose.BoeleVanHensbroek@treasury.govt.nz]
Sent: Wednesday, 9 September 2020 8:18 AM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Steve,

I spoke to MAS and they said if the Minister of Health received it then it is in scope. Can you please confirm whether this was the case?

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Monday, 7 September 2020 4:44 PM
To: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

So I've had a look through the records and I can't see that this report was formally referred to the Minister of Health, therefore I don't think it would come under this OIA...

Steve



Steve Bainbridge | Private Secretary, Treasury
Office of Hon Chris Hipkins MP | Minister of
Education, Health, State Services, Leader of the
House and Minister Responsible for Ministerial
Services
p: s9(2)(k) Mobile: s9(2)(g)(ii)
Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [<mailto:Rose.BoelevanHensbroek@treasury.govt.nz>]
Sent: Monday, 7 September 2020 4:33 PM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Subject: TOIA - seeking confirmation of referral of report

[IN-CONFIDENCE]

Hi Steve,

In regards to the TOIA below – are you able to please confirm whether the attached report sent from the Treasury to the Minister of Finance was officially referred to the Minister of Health?

All advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about the reasons for/drivers of Canterbury DHB's deficit from July 2 to September 2.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) | Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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a. please immediately delete this email and notify the Treasury by return email or telephone (64 4 472 2733);
b. any use, dissemination or copying of this email is strictly prohibited and may be unlawful.

From: Jean McDowall [TSY]
Sent: Wednesday, 16 September 2020 9:17 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Rose
 I'm back on deck now and will consult Liam about this today.

From: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Sent: Monday, 14 September 2020 12:22 PM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Jean,

I hope you are well. Just wanting to touch base with you on this TOIA. Have you had anymore thoughts on the tricky question below? also, I was just wondering how you might work through what is in scope and not? Given that the request is very specific any information not related to the drivers of the deficit would be out of scope? 😊

If it is easier I can come and show you an example.

Thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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From: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Sent: Thursday, 10 September 2020 9:49 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Tricky! Let me have a think 😊

From: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Sent: Thursday, 10 September 2020 8:32 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Jean,

What if the Treasury secondee in either the MoF or Minister of Health's office provided it to the Minister?

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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From: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Sent: Wednesday, 9 September 2020 10:28 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Having said that, I had assumed Treasury provided it; if not it is then out of scope if provided by someone else 😊
 But we could include it if it makes sense to provide it for context (eg, Report XYZ, prepared by the Treasury, was also provided by MOF's office).

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Wednesday, 9 September 2020 8:47 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Great, that makes sense 😊

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Sent: Wednesday, 9 September 2020 8:45 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

I believe so Rose. If we don't include it and it becomes known he did receive it then it would look bad for the Treasury (and the Minister).

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Wednesday, 9 September 2020 8:43 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Jean,

The Minister's Office said he definitely saw the report. Does that mean regardless of how the report got to him it is still in scope?

Thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Sent: Tuesday, 8 September 2020 11:07 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Rose
 The document is in scope if the Minister of Health received it. How did it get to him?
 Cheers

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Tuesday, 8 September 2020 10:54 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Jean,

I think he did receive a copy but it wasn't formally referred to him?

Thanks for your help.

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Sent: Tuesday, 8 September 2020 10:49 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

Hi Rose
 I'm well, I hope you are too!
 As the request specifies **provided to Minister of Health Chris Hipkins**, if he didn't receive it then it would not be in scope. We would need to check if he was actually provided a copy or not.
 Cheers

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Tuesday, 8 September 2020 10:25 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: FW: TOIA - seeking confirmation of referral of report

Hi Jean,

I hope you are well. I am working on the below TOIA and saw your name against Ministerial Advisor. I was wondering if a report that was sent to the Minister of Finance, which was not formally referred to the Minister of Health (although it said refer on the paper) was in scope of this TOIA? The secondee in the Minister of Health's office doesn't seem to think it would but I wanted to double check with you. Thanks 😊

all advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about the reasons for/drivers of Canterbury DHB's deficit from July 2 to September 2

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury

Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Sent: Monday, 7 September 2020 4:45 PM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: FW: TOIA - seeking confirmation of referral of report

We might want to double check with Ministerials if this is sufficient enough.

From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Monday, 7 September 2020 4:44 PM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Subject: RE: TOIA - seeking confirmation of referral of report

So I've had a look through the records and I can't see that this report was formally referred to the Minister of Health, therefore I don't think it would come under this OIA...

Steve



Steve Bainbridge | Private Secretary, Treasury
Office of Hon Chris Hipkins MP | Minister of
Education, Health, State Services, Leader of the
House and Minister Responsible for Ministerial
Services
s9(2)(k) Mobile: s9(2)(g)(ii)
Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [<mailto:Rose.BoelevanHensbroek@treasury.govt.nz>]
Sent: Monday, 7 September 2020 4:33 PM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Cc: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Subject: TOIA - seeking confirmation of referral of report

[IN-CONFIDENCE]

Hi Steve,

In regards to the TOIA below – are you able to please confirm whether the attached report sent from the Treasury to the Minister of Finance was officially referred to the Minister of Health?

All advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about the reasons for/drivers of Canterbury DHB's deficit from July 2 to September 2.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury

Tel: s9(2)(k) Mobile s9(2)(g)(ii) | Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Jean McDowall [TSY]
Sent: Wednesday, 16 September 2020 11:23 AM
To: oiagr@health.govt.nz; Rose Boele van Hensbroek [TSY]
Subject: RE: FW: Draft email for MoH MAS team to send out please

Follow Up Flag: Follow up
Flag Status: Flagged

Thanks for the prompt reply Catherine

From: Catherine.Simpson@health.govt.nz <Catherine.Simpson@health.govt.nz> **On Behalf Of** oiagr@health.govt.nz
Sent: Wednesday, 16 September 2020 11:16 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Cc: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>, oiagr@health.govt.nz
Subject: Re: FW: Draft email for MoH MAS team to send out please

Kia ora Rose

The Ministry has no issue with the response you are proposing to release.

Kind regards
 Catherine Simpson
 Senior Advisor

OIA Services
 Government Services
 Office of the Director-General
 Ministry of Health
 E: oiagr@health.govt.nz



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From: OIA Requests/MOH
To: "Jean McDowall [TSY]" <Jean.McDowall@treasury.govt.nz>
Cc: "oiagr@health.govt.nz" <oiagr@health.govt.nz>, "Rose Boele van Hensbroek [TSY]" <Rose.BoelevanHensbroek@treasury.govt.nz>
Date: 16/09/2020 10:19 am
Subject: Re: FW: Draft email for MoH MAS team to send out please
Sent by: Catherine Simpson

Kia ora Rose

Thank you for your email. I have passed this onto the business unit to comment on. I will get back to you shortly.

Kind regards
 Catherine Simpson
 Senior Advisor

OIA Services
Government Services
Office of the Director-General
Ministry of Health
E: oiagr@health.govt.nz



From: "Jean McDowall [TSY]" <Jean.McDowall@treasury.govt.nz>
To: "oiagr@health.govt.nz" <oiagr@health.govt.nz>, "nick.allan@health.govt.nz" <nick.allan@health.govt.nz>
Cc: "Rose Boele van Hensbroek [TSY]" <Rose.BoeleVanHensbroek@treasury.govt.nz>
Date: 16/09/2020 09:50 am
Subject: FW: Draft email for MoH MAS team to send out please

[IN-CONFIDENCE]

Hi there,

On 2 September 2020, the Treasury received an Official Information Act request from s9(2)(a) The request was:

all advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about the reasons for/drivers of Canterbury DHB's deficit from July 2 to September 2.

Could you get back to us by **mid-day 17 September** if you have any further redactions.

Reports	In scope	Proposed redactions
Monthly 'Health Check-up' 8 September	Page 4 and 5 <i>Discussion item one: Canterbury DHB leadership</i>	None
Monthly 'Health Check-up' 30 July	Page 14 <i>Canterbury DHB</i>	None
Treasury Report Canterbury DHB deficits	All	Highlighted in the report

Thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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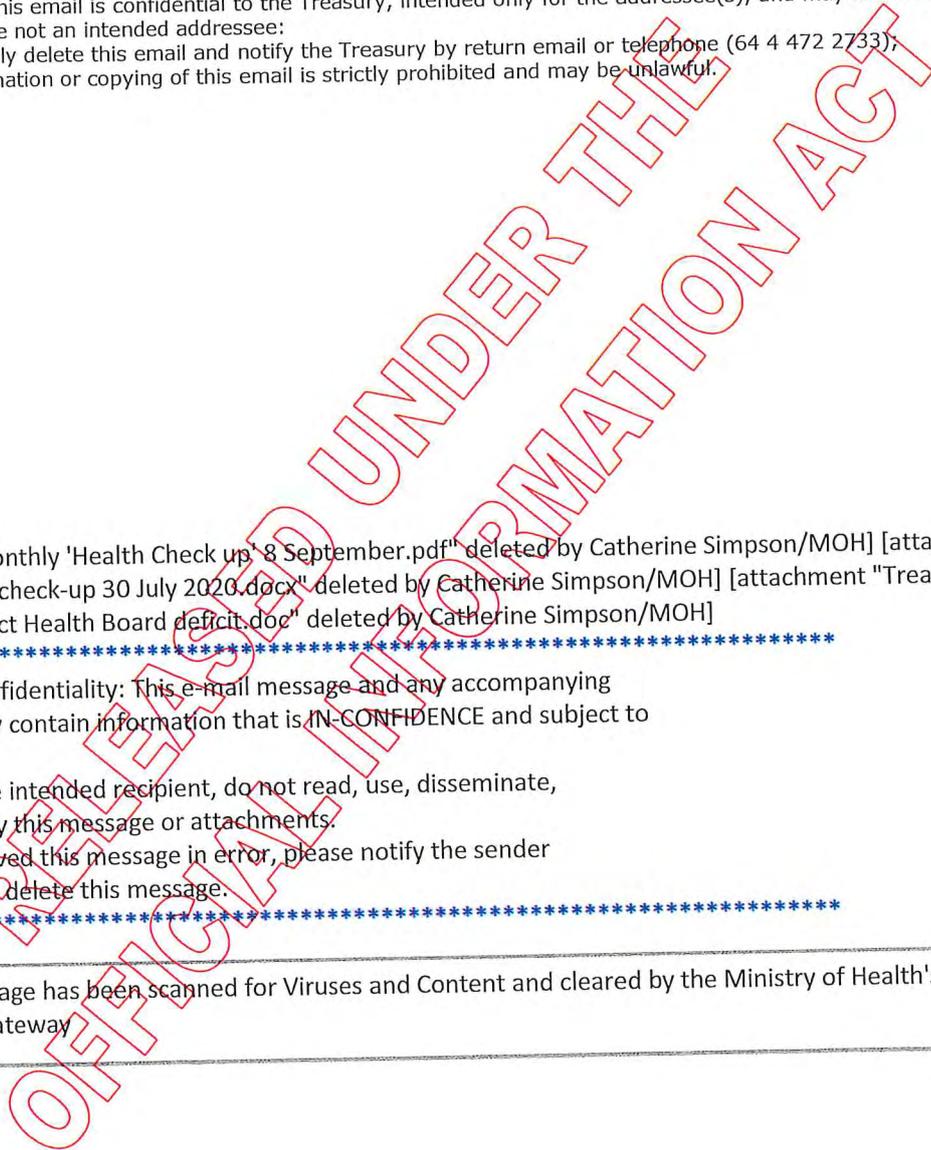
[attachment "Monthly 'Health Check up' 8 September.pdf" deleted by Catherine Simpson/MOH] [attachment "Monthly Health check-up 30 July 2020.docx" deleted by Catherine Simpson/MOH] [attachment "Treasury Report Canterbury District Health Board deficit.doc" deleted by Catherine Simpson/MOH]

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From: Rose Boele van Hensbroek [TSY]
Sent: Wednesday, 16 September 2020 1:01 PM
To: Jean McDowall [TSY]
Subject: Documents for redaction CDHB TOIA
Attachments: Monthly Health check-up 30 July 2020.docx; FW: TSY - Bag T2020/2469 Ministers of Health and Finance – monthly 'Health Check-Up', 30 July 2020; Monthly 'Health Check up' 8 September.pdf; Treasury Report Canterbury District Health Board deficit.doc; Brief info for DHB note

[IN-CONFIDENCE]

Hey Jean,

Please see below the in-scope sections of the documents and the proposed redactions for the CDHB TOIA. Please let me know if you have any questions.

If you are able to get them done by tomorrow early afternoon that would be amazing thank you.

Thanks so much!

Reports	In scope	Proposed redactions (highlighted in yellow)
Monthly 'Health Check-up' 30 July	Page 14 <i>Canterbury DHB</i>	None (apart from cell numbers)
Email Monthly 'Health Check-up' 30 July 2020	The second email (text and screenshot), in the email chain from Hew Norris on Monday, 20 July 2020 (it is exactly the same text to what is in the Monthly 'Health Check-up' 30 July so I am not sure if we need to include it).	None
Monthly 'Health Check-up' 8 September	Page 4 (half way down) and 5 <i>Discussion item one: Canterbury DHB leadership (the Ministry Comment and the Treasury's comment)</i>	None (apart from cell numbers)
Email – Brief information for DHB note	The third bullet point <i>fiscal sustainability slides</i>	Please redact the graph – underactive consideration
Treasury Report Canterbury DHB deficits	All	Highlighted in the report

Thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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From: Rose Boele van Hensbroek [TSY]
Sent: Thursday, 17 September 2020 10:25 AM
To: Bryan McDaniel [TSY]
Subject: RE: OIA CDHB deficit heads up of likely material to be released

Thanks Bryan, really appreciate that.

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) | Mobile: s9(2)(g)(ii) | Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Bryan McDaniel [TSY] <Bryan.McDaniel@treasury.govt.nz>
Sent: Thursday, 17 September 2020 10:23 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: OIA CDHB deficit heads up of likely material to be released

Sorry, have been tied up with a very urgent PREFU matter. I think we should offer to develop a responsive Q&A

Ngā mihi

Bryan McDaniel



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Bryan McDaniel (he/him) | Principal Communications Advisor | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) | Mobile: s9(2)(g)(ii) | Email/IM: bryan.mcdaniel@treasury.govt.nz
 Visit us online at <https://treasury.govt.nz/> and follow us on [Twitter](#), [LinkedIn](#) and [Instagram](#)

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Thursday, 17 September 2020 9:22 AM
To: Bryan McDaniel [TSY] <Bryan.McDaniel@treasury.govt.nz>
Subject: RE: OIA CDHB deficit heads up of likely material to be released
Importance: High

Sorry to follow up again. Are you able to please advise what else I should include in the communications implications section of the report? This is what I have so far?

The response to the request and release of information may attract strong media interest and cause public comment. In particular, information outlined in item 2 and 4 expressing the uniquely difficult management and governance challenges with CDHB over many years, disagreement with outgoing CDHB leaders over the major drivers of CDHB's deficits, and some criticism of the Ministry of Health.

We will work with your Office and the Minister of Health's Office to manage communications around this release.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) | Mobile: s9(2)(g)(ii) | Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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From: Bryan McDaniel [TSY] <Bryan.McDaniel@treasury.govt.nz>
Sent: Wednesday, 16 September 2020 10:26 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Subject: RE: OIA CDHB deficit heads up of likely material to be released

Hi Rose,

I think there are a few issues covered in here that will attract strong media interest (particularly in The Press): the uniquely difficult management and governance challenges with CDHB over many years, disagreement with outgoing CDHB leaders over the major drivers of CDHB’s deficits, and some criticism of MoH.

Ngā mihi

Bryan McDaniel



Bryan McDaniel (he/him) | Principal Communications Advisor | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) | Mobile: s9(2)(g)(ii) | Email/IM: bryan.mcdaniel@treasury.govt.nz
Visit us online at <https://treasury.govt.nz/> and follow us on [Twitter](#), [LinkedIn](#) and [Instagram](#)

From: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Sent: Wednesday, 16 September 2020 8:32 AM
To: Bryan McDaniel [TSY] <Bryan.McDaniel@treasury.govt.nz>
Subject: OIA CDHB deficit heads up of likely material to be released

[IN-CONFIDENCE]

Hi Bryan,

This email is a heads up of the kind of material that we are proposing to release as part of the CDHB OIA. Please note that this is not all of the material (it is just a sample that may be of greatest interest) and that MOH has **not** been consulted with yet.

Report	Pages in scope	Proposed redactions
Health Report – Monthly ‘Health Check-up’ 8 September 2020	4 and 5	None
Treasury Report – Canterbury DHB	All of the paper	Highlighted in the report

We intend to send the inform over to the Office tomorrow.

Could you please let me know your thoughts on any coms risks?

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury

Tel: s9(2)(k) | Mobile: s9(2)(g)(i) | Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Catherine.Simpson@health.govt.nz on behalf of oiagr@health.govt.nz
Sent: Thursday, 17 September 2020 11:34 AM
To: Rose Boele van Hensbroek [TSY]
Cc: oiagr@health.govt.nz
Subject: Re: SEEMail Warning #2A - UNVERIFIED SEEMail SENDER : RE: FW: Draft email for MoH MAS team to send out please

Kia ora Rose

The business unit looked at the file and have advised that this data appears to have been sourced from TAS not MOH.

Our understanding is that TAS FTE data and Ministry FTE data don't align i.e. TAS uses a different basis for calculating FTE which tends to mean that their numbers are lower compared to MOHs e.g. comparing Canterbury at March 20 .. TAS say 8,438 we say 8,976.

The Ministry is therefore not able to verify the FTE data used by Treasury in their OIA and we recommend that you contact TAS regarding the graph.

Kind regards
Catherine Simpson
Senior Advisor

OIA Services
Government Services
Office of the Director-General
Ministry of Health
E: oiagr@health.govt.nz



From: mailmarshal@health.govt.nz
To: oiagr@health.govt.nz
Date: 16/09/2020 02:23 pm
Subject: SEEMail Warning #2A - UNVERIFIED SEEMail SENDER : RE: FW: Draft email for MoH MAS team to send out please

----- Message from "Rose Boele van Hensbroek [TSY]" <Rose.BoelevanHensbroek@treasury.govt.nz> on Wed, 16 Sep 2020 02:22:41 +0000 -----

To: "oiagr@health.govt.nz" <oiagr@health.govt.nz>
Subject: RE: FW: Draft email for MoH MAS team to send out please

Kia ora Catherine,

I am sorry to be a pain but related to the previous request, are you able to please also confirm that the graph in the email is okay to release as it was based off MoH's data (which is attached to the email)?

Please note the attachments in the email are not in-scope.

Sorry!

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Catherine.Simpson@health.govt.nz <Catherine.Simpson@health.govt.nz> **On Behalf Of** oiagr@health.govt.nz
Sent: Wednesday, 16 September 2020 10:19 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Cc: oiagr@health.govt.nz; Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: Re: FW: Draft email for MoH MAS team to send out please

Kia ora Rose

Thank you for your email. I have passed this onto the business unit to comment on. I will get back to you shortly.

Kind regards
Catherine Simpson
Senior Advisor

OIA Services
Government Services
Office of the Director-General
Ministry of Health
E: oiagr@health.govt.nz



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From: "Jean McDowall [TSY]" <Jean.McDowall@treasury.govt.nz>
To: "oiagr@health.govt.nz" <oiagr@health.govt.nz>, "nick.allan@health.govt.nz" <nick.allan@health.govt.nz>
Cc: "Rose Boele van Hensbroek [TSY]" <Rose.BoelevanHensbroek@treasury.govt.nz>
Date: 16/09/2020 09:50 am
Subject: FW: Draft email for MoH MAS team to send out please

[IN-CONFIDENCE]

Hi there,

On 2 September 2020, the Treasury received an Official Information Act request from s9(2)(a) [REDACTED] The request was:

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all advice (including reports, briefings, memos, emails, phone calls, meetings, texts) provided to Minister of Health Chris Hipkins from Treasury staff about the reasons for/drivers of Canterbury DHB's deficit from July 2 to September 2.

Could you get back to us by mid-day 17 September if you have any further redactions.

Reports	In scope	Proposed redactions
Monthly 'Health Check-up' 8 September	Page 4 and 5 Discussion item one: Canterbury DHB leadership	None
Monthly 'Health Check-up' 30 July	Page 14 Canterbury DHB	None
Treasury Report Canterbury DHB deficits	All	Highlighted in the report

Thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Catherine.Simpson@health.govt.nz on behalf of oiagr@health.govt.nz
Sent: Thursday, 17 September 2020 1:12 PM
To: Rose Boele van Hensbroek [TSY]
Cc: Jean McDowall [TSY]; oiagr@health.govt.nz
Subject: RE: Treasury OIA on the CDHB deficit

Hi Rose

No worries! I'll forward this onto the Media team to see if they can assign someone.

Kind regards
Catherine Simpson
Senior Advisor

OIA Services
Government Services
Office of the Director-General
Ministry of Health
E: oiagr@health.govt.nz



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From: "Rose Boele van Hensbroek [TSY]" <Rose.BoelevanHensbroek@treasury.govt.nz>
 To: "oiagr@health.govt.nz" <oiagr@health.govt.nz>
 Cc: "Jean McDowall [TSY]" <Jean.McDowall@treasury.govt.nz>
 Date: 17/09/2020 12:19 pm
 Subject: RE: Treasury OIA on the CDHB deficit

Kia ora Catherine,

Sorry for all the emails – is there a communications person we can link up with at the Ministry as we are expecting there to be strong public interest in the release of this OIA.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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The rest of this email string is a repeat of an earlier email conversation

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From: HWIP <dhbwi@tas.health.nz>
Sent: Thursday, 17 September 2020 1:28 PM

To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>; HWIP <dhbwi@tas.health.nz>
Subject: RE: Treasury OIA - confirming FTE data used in Treasury's report is okay to release

Hi Rose

That FTE data is already publicly available in the quarterly HWIP reports on the TAS website, so there is no reason to withhold it.

I would just note that the Contracted FTE in the HWIP reporting will differ from the Accrued FTE used by DHBs for financial planning and reporting. Contracted FTE excludes casuals, contractors, people on parental leave and leave without pay and is based on an individual's normal contracted hours, whereas Accrued FTE counts all hours worked, including casuals, overtime and leave accruals.

If you have any other queries, just let us know.

Regards
Peter



Peter Maciver
Senior Analyst, Insights & Analytics
T: s9(2)(g)(ii)
69 Tory Street, PO Box 23075, Wellington 6140
www.tas.health.nz

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Sent: Thursday, 17 September 2020 12:07 PM
To: HWIP <dhbwi@tas.health.nz>
Subject: Treasury OIA - confirming FTE data used in Treasury's report is okay to release
Importance: High

[IN-CONFIDENCE]

Kia ora,

The Treasury's health team has received an OIA on the drivers of Canterbury DHB deficit. In some of the documents, which are in-scope, we have used the FTE data from the above spreadsheet e.g. in the form of a graph below.



I am just confirming that the data above is okay to be released? If not, could you please let me know the grounds for withholding it?

If you could please get back to me as soon as possible that would be great.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury

Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Monday, 21 September 2020 9:46 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: OIA CDHB deficit heads up

They'll get sight of this when it gets referred ahead of release – pretty standard as they will need to pre-empt any queries. I think we've already had it through from MoFs Office, so I'll double check with them

Steve



Steve Bainbridge | Private Secretary, Treasury
 Office of Hon Chris Hipkins MP | Minister of
 Education, Health, State Services, Leader of the
 House and Minister Responsible for Ministerial
 Services
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii)
 Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [mailto:Rose.BoelevanHensbroek@treasury.govt.nz]
Sent: Monday, 21 September 2020 9:45 AM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: RE: OIA CDHB deficit heads up

Thanks Steve,

Would the press team get oversight of this when the report gets referred to them or should I send it separately?

Thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) | Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Monday, 21 September 2020 9:42 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: OIA CDHB deficit heads up

Thanks Rose – that's really helpful ☺

Just FYI, our press team may also wish to have a look at this too.

Steve



Steve Bainbridge | Private Secretary, Treasury
Office of Hon Chris Hipkins MP | Minister of
Education, Health, State Services, Leader of the
House and Minister Responsible for Ministerial
Services

s9(2)(k) Mobile: s9(2)(g)(ii)
Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [<mailto:Rose.BoelevanHensbroek@treasury.govt.nz>]
Sent: Monday, 21 September 2020 9:35 AM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: RE: OIA CDHB deficit heads up

Hi Steve,

I hope you had a nice break. On Thursday, I sent across the attached Inform and the proposed redactions to the MoF's office (asking for it to be transferred to the Minister of Health's Office).

I consulted MoH who came back with **no** proposed redactions. I have been working with OE and our communications team, and I have asked MoH for a contact on their side.

Happy to discuss.

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Monday, 21 September 2020 8:25 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: OIA CDHB deficit heads up

Hey Rose

Sorry, I was on leave at the end of last week. What has happened with this OIA?

Steve

Sent with BlackBerry Work
(www.blackberry.com)

From: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Date: Wednesday, 16 Sep 2020, 8:29 am
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: OIA CDHB deficit heads up

[IN-CONFIDENCE]

Hi Steve,

This email is a heads up of the kind of material that we are proposing to release as part of the CDHB OIA. Please note that this is not all of the material (it is just a sample that may be of greatest interest) and that MOH has **not** been consulted with yet.

Report	Pages in scope	Proposed redactions
Health Report – Monthly 'Health Check-up' 8 September 2020	4 and 5	None
Treasury Report – Canterbury DHB	All of the paper	Highlighted in the report

We intend to send the inform over to the Office tomorrow.

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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RELEASED UNDER THE OFFICIAL INFORMATION ACT

From: Talei Pasikale <Talei.Pasikale@parliament.govt.nz>
Sent: Friday, 25 September 2020 9:49 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) s9(2)(a) pdf, TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) .docx

Thanks Rose.

I've passed this on to the press secs.

Best, Talei

Talei Pasikale, Economic Advisor | Office of Hon Grant Robertson | s9(2)(k)

-----Original Message-----

From: Rose Boele van Hensbroek [TSY] [mailto:Rose.BoelevanHensbroek@treasury.govt.nz]
 Sent: Friday, 25 September 2020 7:51 AM
 To: Talei Pasikale <Talei.Pasikale@parliament.govt.nz>
 Subject: RE: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) pdf, TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) .docx

Kia ora Talei,

Please see attached a Q&A for the release of the CDHB OIA today.

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz

Visit us online at <https://treasury.govt.nz/> and follow us on Twitter, LinkedIn and Instagram

-----Original Message-----

From: Talei Pasikale <Talei.Pasikale@parliament.govt.nz>
 Sent: Thursday, 24 September 2020 11:17 AM
 To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
 Subject: RE: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) pdf, TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) .docx

Kia ora Rose

Thanks for sending this through.

Yes, the politicians have been sent the revised binder. QA document by email is fine.

Best, Talei

Talei Pasikale, Economic Advisor | Office of Hon Grant Robertson | s9(2)(k)

-----Original Message-----

From: Rose Boele van Hensbroek [TSY] [mailto:Rose.BoelevanHensbroek@treasury.govt.nz]
Sent: Thursday, 24 September 2020 10:14 AM
To: Talei Pasikale <Talei.Pasikale@parliament.govt.nz>
Subject: RE: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a).pdf,
TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) docx

[IN-CONFIDENCE]

Kia ora Talei,

Please see attached the amended binder. Just double checking that the pres secs have had sight of this as we are expecting there will be interest in this release.

As outlined, we intend to send a QA over for the Office will this suffice?

Many thanks,

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz

Visit us online at <https://treasury.govt.nz/> and follow us on Twitter, LinkedIn and Instagram

-----Original Message-----

From: s9(2)(g)(ii)
Sent: Wednesday, 23 September 2020 2:15 PM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>; Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>; Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: FW: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a).pdf,
TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) docx

Hi there

Please see following and amend.

From: Talei Pasikale
Sent: Wednesday, 23 September 2020 2:00 PM
To: s9(2)(g)(ii)
Subject: RE: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a).pdf,
TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) docx

Kia ora s9(2)(g)(ii)

Just one comment from me -

Pg 3: Cc-line of email - withhold s9(2)(g)(ii) name and email in full under s9(2)(g)(ii) [[this is to make it consistent with approach to s name and email]]

Thanks, Talei

Talei Pasikale, Economic Advisor | Office of Hon Grant Robertson s9(2)(k)

-----Original Message-----

From: s9(2)(g)(ii)

Sent: Thursday, 17 September 2020 4:51 PM

To: Danni Thian <Danni.Thian@parliament.govt.nz>; Alex Tarrant <Alex.Tarrant@parliament.govt.nz>; Angus Hodgson <Angus.Hodgson@parliament.govt.nz>; Bridget Duley <Bridget.Duley@parliament.govt.nz>; Charlie McLean <Charlie.Mclean@parliament.govt.nz>; Chris Bramwell <Chris.Bramwell@parliament.govt.nz>; Craig Renney <Craig.Renney@parliament.govt.nz>; Joanna Carr <Joanna.Carr@parliament.govt.nz>; Natalie Labuschagne <Natalie.Labuschagne@parliament.govt.nz>; Paul Young <Paul.Young@parliament.govt.nz>; Talei Pasikale <Talei.Pasikale@parliament.govt.nz>

Subject: FW: TSY BAG TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) pdf,
TOIA Inform (20200318)_ T2020 3100 Canterbury DHB's deficit s9(2)(a) docx

Hiya

TSY are due to make the attached release by 24 September. I've copied to Hon Hipkin's office.

Please let me know if you have any issues.

Cheers

s9(2)(g)(ii)

s9(2)(g)(ii) Private Secretary | Office of Hon Grant Robertson Member of Parliament for Wellington Central
Minister of Finance | Minister for Sport and Recreation | Minister Responsible for the Earthquake Commission
| Associate Minister for Arts, Culture and Heritage Private Bag 18 888 | Parliament Buildings | Wellington 6011
www.beehive.govt.nz

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From: Jean McDowall [TSY]
Sent: Wednesday, 30 September 2020 11:13 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: Treasury's CDHB OIA

I definitely did

From: Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Sent: Wednesday, 30 September 2020 8:25 AM
To: Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>
Subject: FW: Treasury's CDHB OIA

[IN-CONFIDENCE]

Hey Jean,

Apparently s didn't receive the OIA? Can you please confirm that you sent it to her on Friday? ☺

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) | Email/IM: Rose.BoeleVanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Tuesday, 29 September 2020 6:44 PM
To: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>; Rose Boele van Hensbroek [TSY] <Rose.BoeleVanHensbroek@treasury.govt.nz>
Subject: FW: Treasury's CDHB OIA

Might explain why there has been no news story on this. Can you see if the team emailed the right person...?

Steve

Sent with BlackBerry Work
www.blackberry.com)

From: Adam Bennett <Adam.Bennett@parliament.govt.nz>
Date: Tuesday, 29 Sep 2020, 6:41 pm
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Cc: Richard Trow <Richard.Trow@parliament.govt.nz>, Alexandra Marett <Alexandra.Marett@parliament.govt.nz>, Morehu Rei <morehu.rei@parliament.govt.nz>
Subject: RE: Treasury's CDHB OIA

Hey Steve, just got this from s9(2)(a) colleague s9(2)(a)

Hey Adam just heard back from ^s she says she can't see any OIA response from treasury in her emails. She has emailed them to check but since she is on leave is there any chance you could get them to send it to me: s9(2)(a)

Can we do this Steve?

Adam

From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Date: Tuesday, 29 Sep 2020, 3:01 PM
To: Adam Bennett <Adam.Bennett@parliament.govt.nz>
Cc: Richard Trow <Richard.Trow@parliament.govt.nz>, Alexandra Marett <Alexandra.Marett@parliament.govt.nz>, Morehu Rei <morehu.rei@parliament.govt.nz>
Subject: RE: Treasury's CDHB OIA

Ok will call treasury now



Steve Bainbridge | Private Secretary, Treasury
 Office of Hon Chris Hipkins MP | Minister of
 Education, Health, State Services, Leader of the
 House and Minister Responsible for Ministerial
 Services
 Mobile: s9(2)(g)(ii) s9(2)(g)(ii)
 Email: stephen.bainbridge@parliament.govt.nz

From: Adam Bennett
Sent: Tuesday, 29 September 2020 3:01 PM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Cc: Richard Trow <Richard.Trow@parliament.govt.nz>; Alexandra Marett <Alexandra.Marett@parliament.govt.nz>; Morehu Rei <morehu.rei@parliament.govt.nz>
Subject: Treasury's CDHB OIA

Hey Steve,

Our strong preference is for the OIA to be published by Treasury on Thursday morning.

Adam

Adam Bennett | Press Secretary
 Office of the Minister of Health
 Mobile: s9(2)(g)(ii) DD1 s9(2)(k) Email adam.bennett@parliament.govt.nz

From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Wednesday, 30 September 2020 11:36 AM
To: Rose Boele van Hensbroek [TSY]
Subject: RE: Reply to your OIA request 20200318

Thank you! 😊



Steve Bainbridge | Private Secretary, Treasury
Office of Hon Chris Hipkins MP | Minister of
 Education, Health, State Services, Leader of the
 House and Minister Responsible for Ministerial
 Services

Tel: s9(2)(k) Mobile: s9(2)(g)(ii)

Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [mailto:Rose.BoelevanHensbroek@treasury.govt.nz]
Sent: Wednesday, 30 September 2020 11:35 AM
To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: RE: Reply to your OIA request 20200318

Done 😊

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
 Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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From: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Sent: Wednesday, 30 September 2020 11:30 AM
To: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: RE: Reply to your OIA request 20200318

Could we do 8-9am? 😊



Steve Bainbridge | Private Secretary, Treasury
Office of Hon Chris Hipkins MP | Minister of
 Education, Health, State Services, Leader of the
 House and Minister Responsible for Ministerial
 Services

Tel: s9(2)(k) Mobile: s9(2)(g)(ii)

Email: stephen.bainbridge@parliament.govt.nz

From: Rose Boele van Hensbroek [TSY] [mailto:Rose.BoelevanHensbroek@treasury.govt.nz]
Sent: Wednesday, 30 September 2020 11:29 AM

To: Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Subject: RE: Reply to your OIA request 20200318

Hey Steve,

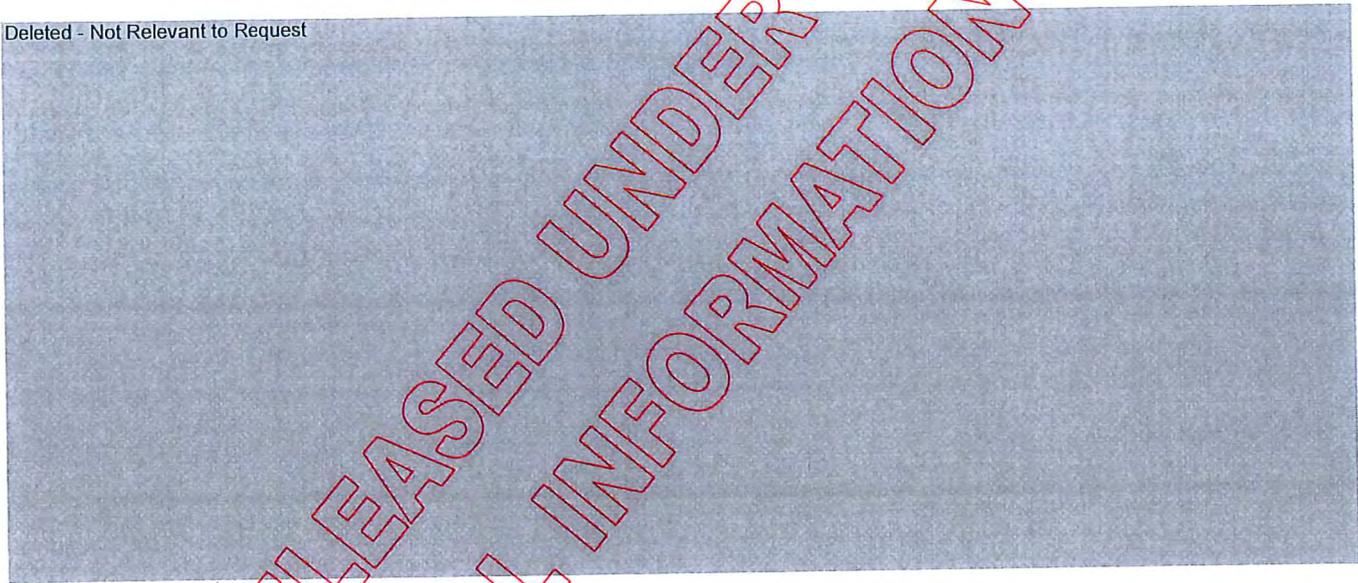
Is there a particular time you would like the TOIA to be published? 😊

Rose Boele van Hensbroek | Analyst, Health and ACC | Te Tai Ōhanga – The Treasury
Tel: s9(2)(k) Mobile: s9(2)(g)(ii) Email/IM: Rose.BoelevanHensbroek@Treasury.govt.nz



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Deleted - Not Relevant to Request



From: Jess Hewat [TSY] [<mailto:Jess.Hewat@treasury.govt.nz>]
Sent: Wednesday, 30 September 2020 10:04 AM
To: Carolyn Palmer [TSY] <Carolyn.Palmer@treasury.govt.nz>; Stephen Bainbridge <Stephen.Bainbridge@parliament.govt.nz>
Cc: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>
Subject: FW: Reply to your OIA request 20200318

[IN-CONFIDENCE]

Hi both,

Just want to advise that we double checked on the CDHB release. From our perspective it was sent on Friday, and unclear why it wasn't received – we've double-checked that it the correct email address. Doesn't appear to be a problem at our end.

Cheers,
Jess

From: Jess Hewat [TSY]
Sent: Wednesday, 30 September 2020 10:01 AM
To: s9(2)(a)

s9(2)(a)

Cc: Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>; Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>; Bradley Martin [TSY] <Bradley.Martin@treasury.govt.nz>
Subject: FW: Reply to your OIA request 20200318

Kia ora s9(2)(a)

We received a message that the attached OIA has not come through to you. Below is the initial email sent, so it is not clear what has happened to prevent that being received, but please accept my apologies.

Ngā mihi,

Jess Hewat | Manager | Health & ACC | Te Tai Ōhanga – The Treasury
DDI: s9(2)(k) M: s9(2)(g)(ii) Jess.Hewat@treasury.govt.nz



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From: Jean McDowall [TSY]
Sent: Friday, 25 September 2020 11:04 AM
To: s9(2)(a)
Subject: Reply to your OIA request 20200318

[IN-CONFIDENCE]

Good morning s9(2)(a)

Attached is a response to your recent OIA request. Please note that in the current situation we are not always able to obtain physical signatures on documents. Rest assured this response has been approved for release by Jess Hewat.

Ngā mihi
Jean McDowall



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From: Jess Hewat [TSY]
Sent: Wednesday, 30 September 2020 10:42 AM
To: s9(2)(a)
Cc: [REDACTED] Rose Boele van Hensbroek [TSY]; Bryan McDaniel [TSY]
Subject: RE: FW: Reply to your OIA request 20200318

Kia ora s9(2)(a)

The intention remains to publish it on our website tomorrow. We have not released the information to anyone else but that does not preclude the Minister’s office making a decision to do so; you would need to check in with them on that.

Any follow up media queries can be sent to: media@treasury.govt.nz.

Thanks,
Jess

From: s9(2)(a)
Sent: Wednesday, 30 September 2020 10:26 AM
To: Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz>
Cc: s9(2)(a) Rose Boele van Hensbroek [TSY] <Rose.BoelevanHensbroek@treasury.govt.nz>; Jean McDowall [TSY] <Jean.McDowall@treasury.govt.nz>; Bradley Martin [TSY] <Bradley.Martin@treasury.govt.nz>
Subject: Re: FW: Reply to your OIA request 20200318

Thanks Jess. Not sure what happened there - the delay in receiving this is unfortunate timing but it is good to have it now. Could you just let me know if this info has been sent to any other media? Also, I understand you are planning to publish this on your website tomorrow - would you consider giving it a few more days so we have time to properly follow up before the info becomes publicly available?
Many thanks.

Ngā mihi,
s9(2)(a)

On Wed, 30 Sep 2020 at 10:02, Jess Hewat [TSY] <Jess.Hewat@treasury.govt.nz> wrote:

Kia ora s9(2)(a)

We received a message that the attached OIA has not come through to you. Below is the initial email sent, so it is not clear what has happened to prevent that being received, but please accept my apologies.

Ngā mihi,

Jess Hewat | Manager | Health & ACC | Te Tai Ōhanga – The Treasury

DDI: s9(2)(k) | M: s9(2)(g)(ii) | Jess.Hewat@treasury.govt.nz



Visit us online at <https://treasury.govt.nz/> and follow us on [Twitter](#), [LinkedIn](#) and [Instagram](#)

From: Jean McDowall [TSY]
Sent: Friday, 25 September 2020 11:04 AM
To: s9(2)(a)
Subject: Reply to your OIA request 20200318

[IN-CONFIDENCE]

Good morning s9(2)(a)

Attached is a response to your recent OIA request. Please note that in the current situation we are not always able to obtain physical signatures on documents. Rest assured this response has been approved for release by Jess Hewat.

Ngā mihi

Jean McDowall



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Texts between Stephen Bainbridge at Hon Hipkins office and Jess Hewatt at the Treasury

Stephen Bainbridge

Wed, 30 Sep, 1:17 PM

Article may hit stuff this afternoon on CDHB after the OIA

Tue, 29 Sep, 8:15 PM

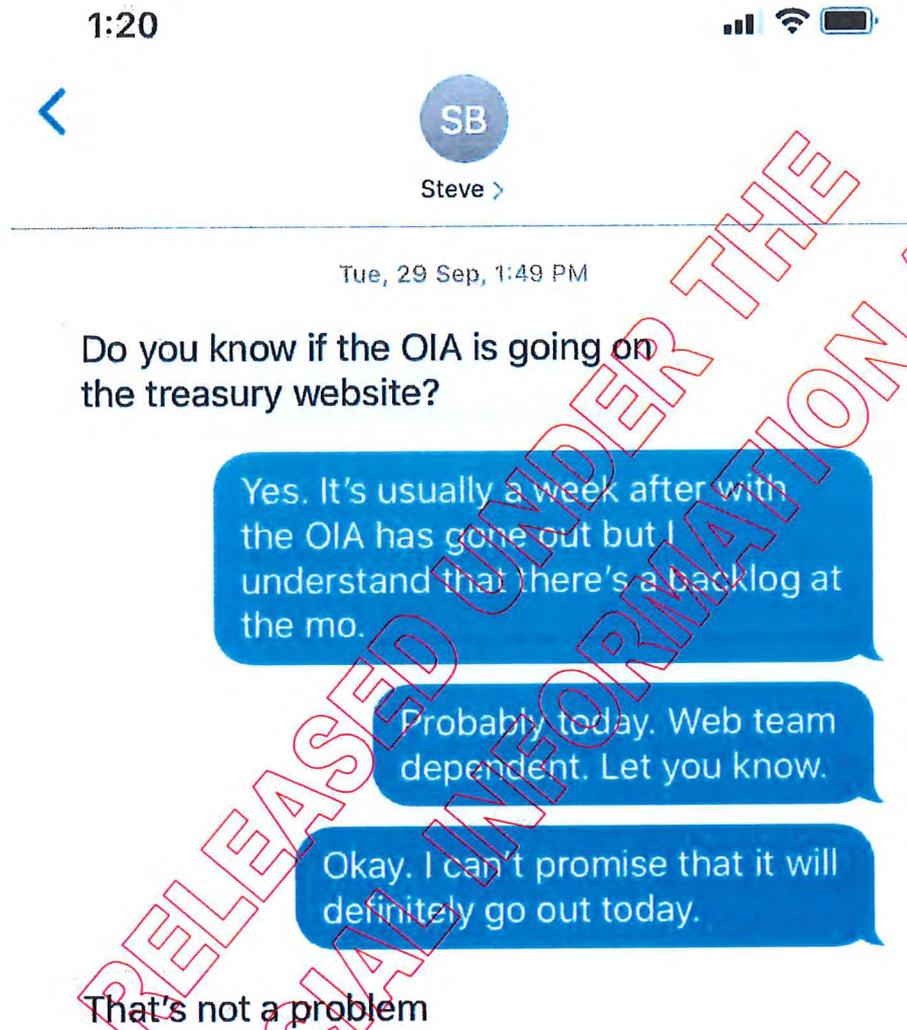
I can get the binder out to jourmos tonight but I can't get the cover letter out until the morning because my iManage doesn't work remotely. Do you think that'll be an issue?

I spoke to Rose who was told by Ministerials on Friday that it was sent. I can't check in with them until the morning why it didn't get out.

Jess Hewatt

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First text from Stephen, next three from Jess, final text from Stephen





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MINISTRY OF
HEALTH
MANATŪ HAUORA

Health Report

Payment of Equity Support arising from deficits to District Health Boards in 2020/21

Date due to MO:	14/09/2020	Action required by:	17/09/2020
Security level:	IN CONFIDENCE	Health Report number:	20201363
		Treasury reference:	T2020/3136
To:	Hon Chris Hipkins (Minister of Health)		
	Hon Grant Robertson (Minister of Finance)		

Contact for telephone discussion

Name	Position	Telephone
Kevin Davies	Deputy CFO, Corporate Services	s9(2)(g)(ii)
John Hazeldine	Chief Advisor, DHB PSI	s9(2)(k)

Action for Private Secretaries

Pass the signed report to the Minister of Finance office

Date dispatched to MO:

Return the signed report and signed letters to the Ministry of Health.



Payment of Equity Support arising from deficits to District Health Boards in 2020/21 – Tranche 1

Purpose of report

This report provides an overview of District Health Board (DHB) sector liquidity and the need for equity support to address immediate DHB working capital needs and managing the sector wide cash position.

It seeks joint Ministers' agreement to:

- provide equity support to two DHBs of up to a total of \$215 million to meet the immediate working capital needs of these DHBs; and
- fund the \$215 million payments in 2020/21 only, by approving an increase of \$175.789 million in funding on top of the existing appropriation s9(2)(i)

Key points

- The cumulative effects of DHBs' increasing needs to draw on overdraft facilities combined with decreasing sector cash balances have placed pressure on the shared DHB banking facility, resulting in a forecast cash shortfall towards the end of calendar year 2020. Without liquidity support being provided by 31 October 2020, there will be insufficient cash and working capital resources to meet the DHB sector operating needs for the remainder of the 2020/21 financial year.
- s9(2)(f)(iv)
- s9(2)(f)(iv)
- To date in 2020/21, Canterbury DHB has signalled an urgent cash need which this paper seeks to address. Canterbury DHB's equity support request is also based on the latest version of their 2020/21 annual plan.
- As the liquidity issue has arisen due to the cumulative effects of several DHBs requiring overdraft funds, officials recommend a consistent sector approach to equity injections. Officials consider that the necessary equity injections be determined centrally on a consistent basis rather than being limited to the formal requests lodged by individual DHBs, to address the forecast sector cash shortfall based on recent actual and forecasts overdraft balances. This is consistent with the approach adopted in addressing working capital deficits in 2018/19 (HR 20191004 refers) and 2019/20 (HR 20200132 refers).



- The existing appropriation for equity support for the 2020/21 financial year is \$39.211 million. If the support offered to DHBs was limited to the appropriation amount only, then there would not be sufficient funds available to Canterbury DHB to avoid breaching their Operational Policy Framework overdraft limit by the end of the financial year.
- In order to avoid this, there needs to be a cash injection made of a minimum of \$215 million. This is based upon current cash forecasts and does not allow for any additional funding requirements over and above the plans for the months of September 2020 through to June 2021.
- The proposed payment of \$215 million injects sufficient cash into the sector to cover the total forecast cash needs until December 2021 based on current DHB forecasts. However, the cash needs of the remaining DHBs that have requested equity support and any additional changes to the sectors cash profile will be considered in March 2021.
- The \$215 million does not resolve the underlying problem of financial sustainability, particularly for DHBs with negative earnings before interest, tax, capital charge and depreciation (EBITDA). These DHBs will need continuing additional Crown equity support for both working capital and capital expenditure.

s9(2)(f)(iv)
[Redacted]

- The approval of the Ministers of Finance and Health as joint Ministers is required for the drawdown of the contingency and to access the forecast provision made in the 2019/20 year.
- The recommended equity injections are outlined in the following table:

DHB	Amount Requested \$m	Amount Recommended \$m
Canterbury	180.000	180.000
Deleted - Not Relevant to Request		

- Officials recommend that conditions be placed on those DHBs receiving equity support. These conditions will relate to greater controls on expenditure and more extensive reporting requirements and will be detailed in the letters advising the Boards of the equity support.
- The Ministry has additional monitoring and responses planned through its enhanced DHB performance approach including greater tracking of the sector's key financial information and advising the use of Crown Monitors and/or Commissioners where necessary to Ministers.

Recommendations

The Ministry and the Treasury recommend that you:

- a) **Note** that based on a review of the DHB sector financial position, officials consider that:
 - a. two DHBs will become insolvent, without equity support to restore working capital prior



to 30 June 2021;

- b. to address this, equity support payments to the two DHBs totalling up to \$215 million in 2020/21 should be made; and
- c. the payment will require an extension of the funding currently appropriated as \$215 million exceeds the existing appropriation for 2020/21.

Funding available for equity support

c) **Note** that as part of Budget 20, Cabinet appropriated \$39.211 million for equity support.

d) s9(2)(i) [Redacted]

e) **Note** that to address the DHB sector’s forecast net cash shortfall, this report seeks approval for a total of up to \$215 million to be paid to two DHBs and it is proposed that the funding will be sourced as follows:

Funding Source	\$m	Rec. ref.
Equity Support appropriation current balance	39.211	c)
Forecast provision established in BEFU 2019	175.789	g)
Total recommended equity funding to DHBs	215.000	

f) s9(2)(i) [Redacted]

Minister of Health **Minister of Finance**

Yes / No Yes / No

Minister of Health **Minister of Finance**

Yes / No Yes / No

g) **Approve** the following change to appropriations required for the equity support payments, with corresponding impact on net core Crown debt:

Vote Health Minister of Health	\$m – increase/ (decrease)				
	2020/21	2021/22	2022/23	2023/24	2024/25 & outyears
Non-departmental Capital Expenses: Equity Support for DHB Deficits	175.789	0	0	0	0



Minister of Health Minister of Finance

- i) **Agree** that the changes to appropriations for 2020/21 in recommendations g) above, be included in the 2020/21 Supplementary Estimates and that, in the interim, the increases be met from Imprest Supply.
- Yes / No Yes / No

Approval of Equity Injections to DHBs

- j) **Approve** the following maximum equity injections to two DHBs in 2020/21 from Vote Health appropriation Non-departmental Capital Expense: Equity Support for DHB Deficits:

DHB	\$m	Minister of Health	Minister of Finance
Canterbury	180,000	Yes / No	<input checked="" type="checkbox"/> Yes / No
Deleted - Not Relevant to Request		Yes / No	<input checked="" type="checkbox"/> Yes / No

- k) **Agree** that the Minister of Health will sign letters to the DHBs receiving equity support in 2020/21, confirming the amounts and the greater controls on expenditure and more extensive reporting requirements that are expected. These letters are included as appendix two.

John Hazeldine
**Acting Deputy Director-General
DHB Performance, Support and Infrastructure**

Jess Hewat
**Manager, Health
The Treasury**

Hon Grant Robertson
**Minister of Finance
Date: 20 / 09 / 2020**

Hon Chris Hipkins
**Minister of Health
Date:**

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Background

1. This report seeks the approval of the Ministers of Health and Finance (joint Ministers) to the payment in 2020/21 of equity support of up to \$215 million in total to two DHBs to address immediate DHB working capital needs.
2. The current 2020/21 appropriation for equity support payments is \$39.211 million and is insufficient to meet the expected cash needs of DHBs of up to \$215 million. To ensure sufficient appropriation in 2020/21 exists to meet the equity support required, this report seeks joint Ministers approval to additional funding of \$175.789 million s9(2)(i)
3. Ministers have agreed that a DHB will only be considered for deficit support when it faced a cash shortfall that it cannot manage from its own balance sheet (HR20150492 and T2015/538 refer).
4. A DHB with no cash reserves would be expected to require equity support matching its annual deficits (excluding non-cash expenses), to maintain its working capital at a stable level over the medium term. A DHB must pay capital charge to the Crown on the equity support it receives.
5. In recent years, the financial management practices of some DHBs have been to the detriment of the cash position of the sector as a whole:
 - a. Some DHBs have used overdraft facilities rather than requesting equity support, as overdraft interest is only charged when the facility is in use, while capital charge is a permanent cost once a DHB receives equity support.
 - b. Some DHBs have tried to delay equity support payments to minimise their capital charge liability.
6. The limited funding currently available from the Equity Support appropriation and DHBs' desire to minimise their costs (mainly through limiting capital charge impacts) have resulted in the equity support provided to DHBs in recent years being lower than deficits (excluding non-cash expenses). Thus, the sector cash balances that fund DHB overdrafts have diminished considerably.
7. The increasing use of overdrafts has resulted in very weak DHB balance sheets with very little flexibility.

Overdraft Facilities and Sector Cash Balances

8. DHBs are prohibited from borrowing to fund deficits. However, under the Crown Entities Act, DHBs have access to overdraft facilities to manage fluctuating working capital requirements. The legislation sets out the limits at one month of provider arm funding (the Legislative Overdraft Limit). Overdraft facilities are provided through the DHB joint banking facility, managed by NZHPL. Essentially the DHB bank accounts are pooled and any overdrafts are covered by accounts in credit.
9. The total Legislative Overdraft Limits for the twenty DHBs in the 2020/21 financial year is almost \$880 million. It is important to note that sector cash balances available to



fund any DHB overdrafts are materially less than this, due to the shared banking facility which provides for a maximum overdraft of \$50 million.

- 10. In the main, DHBs receive their Crown operating funding monthly in advance on the 4th of the month. Therefore, the lowest monthly bank balances occur on the day before the monthly funding is received. The minimum balances in the sector can be lower than the reported month end balances when fixed date payments fall on the 1st-3rd of the month.
- 11. Sector cash balances fluctuate during the year, but they have been trending down over recent years due to increased annual deficits, capital expenditure, and changes to supplier payment terms. As a result, the availability of accounts in credit to fund DHB overdrafts has dropped, limiting the availability of this source of funds for the DHBs who have no cash reserves. The longer-term reduction can be seen in the following chart of minimum monthly cash balances in the sector bank sweep account over a nine-year period (seven years actuals and two years forecast). Appendix Two sets out the key elements of cash flow. As can be seen in the graph below, the decreases in cash balances in 2018/19 were a result of decreasing net operating cash flows and increasing capital expenditure. The uplift in 2019/20 was in relation to the equity support payment of \$430 million made in that financial year.

Minimum Monthly Net Balances in the Sector Bank Sweep Account (July 2013-November 2022)



12. s9(2)(f)(iv)



13. Sector cash balances take sharp falls through the December / January and June / July periods each year. These large cash outflows are due to the requirement to pay capital charge. The capital charge outlay for the sector at these times is circa \$150 million.
14. Equity support payments improve the liquidity and financial resilience of not only the individual DHBs but also the sector as a whole. The equity support payments recommended in this paper are essential to alleviate the immediate pressure on both individual DHB and sector cash balances and the associated risks.

Working Capital

15. An analysis of Earnings before Interest, Tax, Capital Charge and Depreciation (EBITDA) as a proportion of revenue shows the extent to which DHBs are able to generate free cash flow to fund capital expenditure. Also, note that negative EBITDAs indicate insufficient funds to cover operating expenses.
16. As shown in the table below, ten DHBs had negative EBITDA in 2019/20,^{s9(2)(f)(iv)}

s9(2)(f)(iv)

EBITDA as % revenue for each DHB	2019/20 Actual	Draft 2020/21 Plan
Bay of Plenty	1.4%	s9(2)(f)(iv)
Lakes	2.0%	
Hutt Valley	0.6%	
Taranaki	(0.6%)	
Nelson Marlborough	1.4%	
MidCentral	1.8%	
Northland	0.3%	
Hawke's Bay	(3.5%)	
Whanganui	(2.3%)	
Capital & Coast	1.3%	
Waikato	1.1%	
Waitemata	(0.5%)	
South Canterbury	2.7%	
West Coast	(9.6%)	
Counties Manukau	0.9%	
Southern	(1.3%)	
Auckland	(0.0%)	
Canterbury	(6.4%)	



EBITDA as % revenue for each DHB	2019/20 Actual	s9(2)(f)(iv)
Wairarapa	(2.2%)	
Tairāwhiti	(3.8%)	

17. s9(2)(f)(iv)

The Approach to Assessing Claims for Equity Support

18. A consistent approach to the provision of equity support was used by officials and noted by Ministers in the paper seeking to DHB equity support for 2019/20 [HR20200312/ T2020/0484 refers]. In that paper, officials recommended that:
- a. DHBs be provided with sufficient equity by each year end to address the forecast sector cash shortfall;
 - b. the necessary equity injections be determined centrally on a consistent basis, rather than being limited to the formal requests lodged by individual DHBs; and
 - c. equity injections be determined by recent actual overdraft balances, as a more robust basis for allocation than forecasts.

19. The approach may provide DHBs with more or less equity than formally requested. Also, officials may assess that a DHB requires equity immediately, when it has not made a formal request.

20. s9(2)(f)(iv)

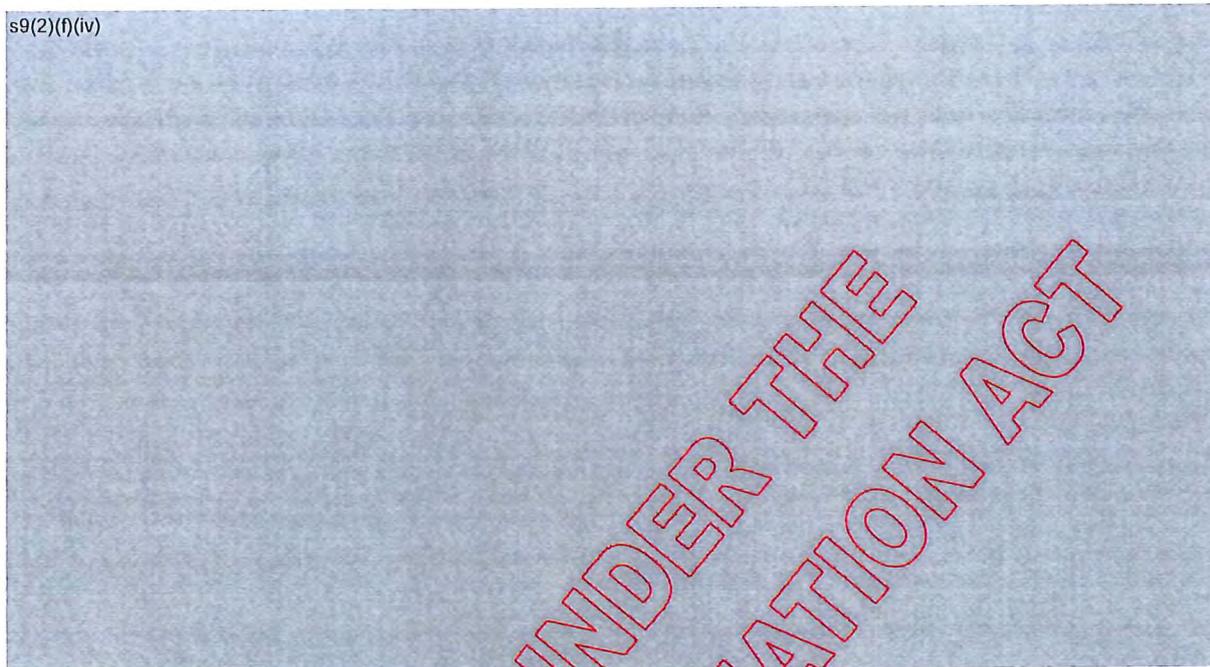
21. s9(2)(f)(iv)

22. Officials have reviewed the financial position of all 20 DHBs using the criteria for support noted in paragraph 19-23 above and propose that the following payments are made to two DHBs in this first tranche. s9(2)(f)(iv)

s9(2)(f)(iv)

DHB	s9(2)(f)(iv)	Proposed Equity Support \$m
Canterbury		180.0

s9(2)(f)(iv)



23.

s9(2)(f)(iv)



24. The proposed payment of \$215 million injects sufficient cash into the sector to cover the total forecast cash needs until June 2021 as a minimum under current cash forecasts.

Conditions on DHBs receiving Equity Support

25. For those DHBs receiving equity support additional specific conditions and reporting requirements have been established and are included in the offers of equity support. These conditions are focussed on the main drivers of increases in DHBs' cost bases.
26. While many costs are relatively fixed and impact as part of the DHB structural deficit, there is still opportunity for cost containment through management controls and efficiency gains.
27. Equity support approval letters (the approval letters), in line with those produced in the 2019/20 financial year (HR2020/0570 refers) include the Minister's expectation that Boards limit delegations to ensure that all potential increases to the DHB's cost base are approved directly by the Chief Executive. This expectation is in respect of:
- a. all new personnel recruited;
 - b. all increases in Community Provider contracts; and



- c. capital expenditure plans.
28. Further, the approval letters include an expectation that Boards provide, on a quarterly basis, representations that cost increases have only been approved where unavoidable. Supporting reports must include details and explanation of any increases in expenditure from the corresponding quarter of the previous year including:
- full time equivalent employees (FTE); personnel and outsourced personnel expenditure;
 - total provider arm expenditure;
 - expenditure on community provider contracts; and
 - actual capital expenditure.
29. The quarterly reporting regime was put in place for the last round of equity support funding and the first reports are due to be received this month. Once these reports are available we will provide an update to joint Ministers as part the Monthly Health Check-Up report.

Funding the Equity Support for DHBs

30. Vote Health currently has funding available in 2020/21 of \$39.211 million through its non-departmental capital expenditure appropriation: Equity Support for DHB Deficits (the Equity Support appropriation) to fund pressures on DHB working capital that have arisen following deficits.

31. s9(2)(i)

Additional Equity Support needs in 2020/21

32. The equity support payments recommended in this paper will alleviate the immediate pressures on the two DHBs – Canterbury ^{Deleted - Not Relevant to Request} However, sector deficits and equity support requirements could potentially increase in 2020/21 and beyond due to the required settlement of the Holidays Act liabilities. ^{s9(2)(i)}

Treasury comment

33. The Treasury supports the recommendations in this report to provide an additional \$215 million in equity support funding to Canterbury ^{Deleted - Not Relevant to Request} which will otherwise be cash flow insolvent by the end of (calendar year) 2020. Given the deficit position of DHBs, cash requests have and will continue to be a frequent feature of Vote Health.
34. In March 2020 Ministers agreed to provide \$430 million in equity injections to 10 DHBs, including \$130 million for Canterbury DHB (HR20200312, T2020/484 refers). This paper seeks a further equity injection for Canterbury DHB of \$180 million, bringing the total additional cash provided to this one DHB in the last 6 months to \$310 million. The support sought represents further evidence of the ongoing problem with Canterbury's financial performance, and highlights the importance of the Ministry, the DHB Chair,



leadership and Crown Monitor continuing to work together to identify areas to improve cost effectiveness in models of service and care in CDHB.

35. The ongoing need for equity support injections represent the challenges the centre has in maintaining fiscal control in Vote Health. Escalating DHB expenditure increasingly poses a significant risk to the government's ability to adhere to its fiscal strategy, especially as economic and fiscal conditions have changed as a result of COVID-19. Urgent action is required to uplift DHB financial performance over the forecast period and regain control of expenditure. The Treasury is supportive of the suggested conditions and reporting requirements outlined in this paper, however, we note that the conditions attached to previous cash injections do not yet appear to have been successful at incentivising improved DHB financial performance (HR20200312, T2020/484 refers).
36. The comments in the paragraphs above echo similar ones made across the last few years as equity support has increasingly become a feature of financing the Health system. Previously we have advised that addressing the issues outlined above could be achieved through the Ministry's DHB Performance Programme, and subsequent reforms through the Health and Disability System Review. Whilst this is largely true, there is a growing urgency to take immediate actions. There are two current opportunities for this:
- a. Ensuring that the new Deputy Director-General – Performance, Support and Infrastructure has the right skill set and experience to take forward the promising work of the Ministry's updated DHB Performance Programme, and
 - b. Utilising the \$45 million in funding available from the Ministry of Health's DHB Sustainability appropriation and transferring this funding to the Transition Unit in DPMC, to be utilised on a number of 'quick wins' on DHB financial performance post-election. Further advice on this will be provided to joint Ministers in the next week.

Next steps

37. The Ministry will make any approved payments.
38. The Ministry will report back to you about the need for any further equity support injections to be made to DHBs in March 2021.

ENDS.

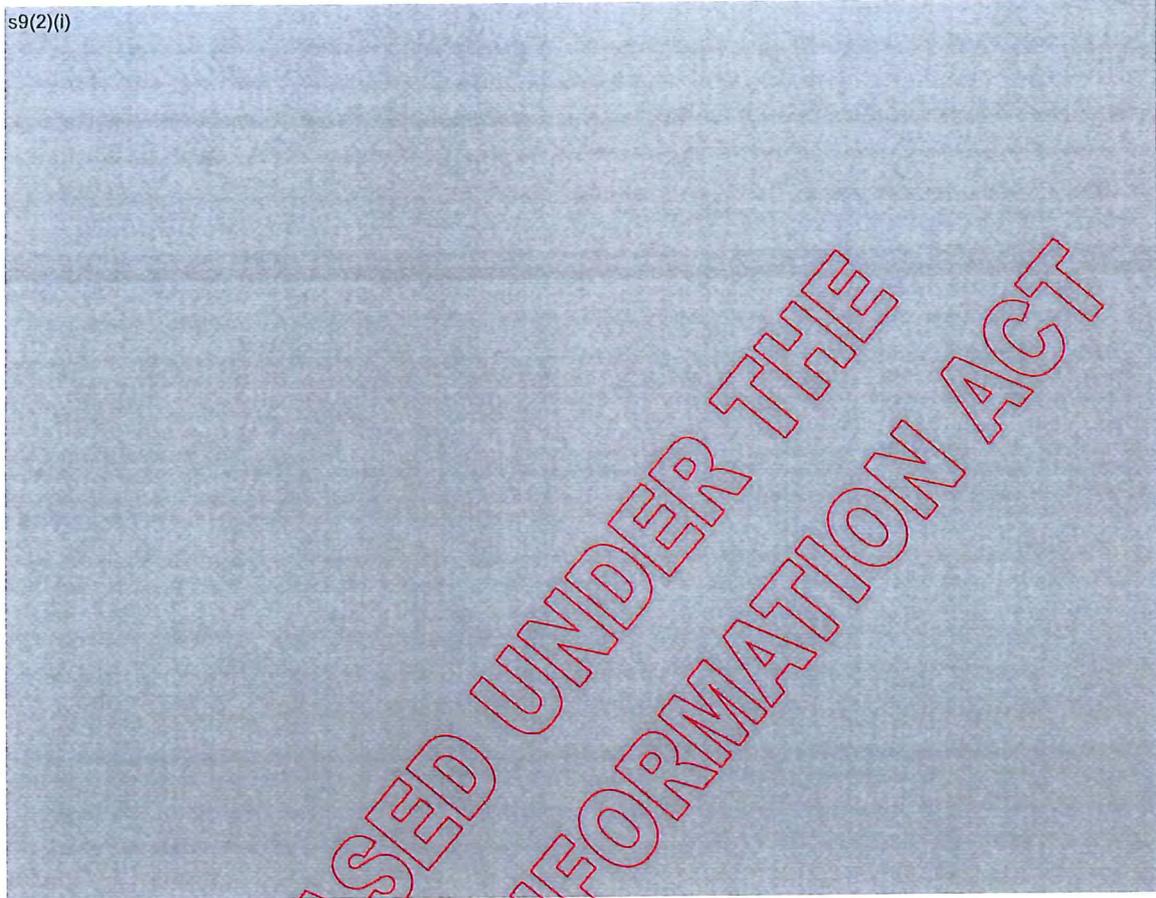


Appendix 1: All DHBs, Cash Balances, Legislative Overdraft Limits and Recommended Equity Support in 2020/21

s9(2)(i)

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s9(2)(i)



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Appendix 2: Letters to DHB Chairs in regard to Equity Support

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Sir John Hansen
Board Chair
Canterbury District Health Board

Dear Sir John

Equity Support for 2020/21 – Canterbury District Health Board

I refer to your request for Equity support this financial year of \$175.9 million. I note that the amount requested reflects Canterbury DHB's final unaudited deficit for 2019/20. The appropriation: Equity Support for DHB deficits is limited to providing equity support for the purposes of maintaining and restoring working capital. It is not tied to reported deficits.

I am pleased to advise that approval has been given to provide the DHB with an equity injection of \$180.0 million from the available funds. I note that this amount will be sufficient to meet the DHBs forecast cashflow funding needs through to the end of the current 2020/21 financial year.

The purpose of the equity injection is to maintain the DHB's financial liquidity. In granting this approval I remind the DHB of my expectation that it will manage within the allocated funding. I have asked the Ministry to continue to work closely with those DHBs requiring equity support to ensure that appropriate measures and controls are in place. These measures are designed to provide me with continued assurance that Boards are actively managing cost growth, particularly in regard to ensuring that the Board has oversight of all decisions taken in reference to the response for COVID-19.

I expect the Board to assure itself that any increases to the DHB's cost base are only approved where unavoidable. To that end I expect that the Board will tighten its delegations of financial authorities to ensure that the approval of some specific items remains the responsibility of the Chief Executive. This expectation is in respect of:

- recruitment of all new personnel;
- increases in contracts for community providers; and
- approval of capital expenditure plans.

You may need to review Canterbury DHB's delegated financial authorities to ensure they are consistent with this expectation. If required, you may need to provide an updated delegations policy to me as changes to delegations policies require my approval under section 39 of the New Zealand Public Health and Disability Act 2000.

I expect Boards to provide to the Ministry of Health, on a quarterly basis, their representation that cost increases have only been approved where unavoidable. That representation is to be accompanied by supporting reports which include the detail of, and explanation for, any increases in expenditure from the corresponding quarter of the previous year, including:

- Full Time equivalents (FTE), personnel and outsourced personnel expenditure.

- Total provider arm expenditure.
- Expenditure on community provider contracts.
- Actual Capital expenditure.

I am assuming the Ministry will receive your representations, and in particular, the supporting report for Quarter Four of 2019/20 very soon following your August Board meeting. Please send your report through to datateam@health.govt.nz.

The equity support payment of \$180.0 million will be released by the Ministry of Health in October.

Yours sincerely

Hon Chris Hipkins
Minister of Health

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