

Vote Corrections

APPROPRIATION MINISTER(S): Minister of Corrections (M18)

APPROPRIATION ADMINISTRATOR: Department of Corrections

RESPONSIBLE MINISTER FOR DEPARTMENT OF CORRECTIONS: Minister of Corrections

Overview of the Vote

The Minister of Corrections is responsible for appropriations in Vote Corrections for the 2019/20 financial year covering:

Operating Expenditure:

- a total of just over \$1,080 million (62.4% of the Vote) for the provision of custodial services including long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody
- a total of just over \$289 million (16.7% of the Vote) for the provision of case management and interventions designed to address the underlying causes of criminal re-offending
- a total of just over \$252 million (14.6% of the Vote) for the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail
- a total of just over \$73 million (4.2% of the Vote) for the provision of information about offenders to: victims of crime, the Judiciary and the New Zealand Parole Board, and the provision of administrative, financial and secretariat services to the New Zealand Parole Board
- a total of just over \$33 million (1.9% of the Vote) for infrastructure improvements to enable the development of the Waikeria Corrections and Treatment Facility
- a total of just under \$2.600 million (0.1% of the Vote) for the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters, and
- a total of just over \$2.300 million (0.1% of the Vote) for the Department's responses to ministerial correspondence and parliamentary questions.

Capital Expenditure:

- a total of just over \$439 million on the purchase or development of assets by and for the use of the Department of Corrections.

The Minister of Corrections is also responsible for a capital injection to the Department of Corrections of just over \$27 million.

Details of these appropriations are set out in Parts 2-4.

Details of Appropriations and Capital Injections

Annual Appropriations and Forecast Permanent Appropriations

Titles and Scopes of Appropriations by Appropriation Type	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Departmental Output Expenses			
Re-offending is Reduced (M18) This appropriation is limited to the provision of rehabilitation interventions, reintegration and support services to defendants on bail and offenders serving custodial and community-based sentences that address the underlying causes of criminal offending and reduce re-offending.	249,319	249,319	289,111
Bail Support Services (M18) This appropriation is limited to providing support services to defendants on bail.	2,160	2,160	-
Total Departmental Output Expenses	251,479	251,479	289,111
Departmental Other Expenses			
Transfer of Auckland Prison Wastewater Assets (M18) This appropriation is limited to the transfer of Auckland Prison Wastewater Assets to Watercare Services Limited.	9,100	9,100	-
Total Departmental Other Expenses	9,100	9,100	-
Departmental Capital Expenditure			
Department of Corrections - Capital Expenditure PLA (M18) This appropriation is limited to the purchase or development of assets by and for the use of the Department of Corrections, as authorised by section 24(1) of the Public Finance Act 1989.	608,307	608,307	439,183
Total Departmental Capital Expenditure	608,307	608,307	439,183
Non-Departmental Other Expenses			
Waikeria Corrections and Treatment Facility (M18) This appropriation is limited to infrastructure improvements that are either a condition of the designation or otherwise necessary to enable the development of the Waikeria Corrections and Treatment Facility.	12,800	12,800	33,082
Total Non-Departmental Other Expenses	12,800	12,800	33,082
Multi-Category Expenses and Capital Expenditure			
Policy Advice and Ministerial Services MCA (M18) The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.	4,866	4,866	4,861
Departmental Output Expenses			
Ministerial Services This category is limited to Department responses to ministerial correspondence and parliamentary questions.	2,307	2,307	2,305
Policy Advice This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters.	2,559	2,559	2,556

Titles and Scopes of Appropriations by Appropriation Type	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Public Safety is Improved MCA (M18) The single overarching purpose of this appropriation is to manage offenders serving custodial and community-based sentences in a manner so as to improve the safety of offenders, staff, victims and the public.	1,372,921	1,372,921	1,405,418
Departmental Output Expenses			
<i>Information and Administrative Services to the Judiciary and New Zealand Parole Board</i> This category is limited to the provision of information about offenders to victims of crime, the Judiciary and the New Zealand Parole Board and the provision of administrative, financial and secretariat services to the New Zealand Parole Board.	67,684	67,684	73,041
<i>Prison-based Custodial Services</i> This category is limited to the provision of custodial services including under long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody.	1,065,501	1,065,501	1,080,157
<i>Sentences and Orders Served in the Community</i> This category is limited to the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail.	239,736	239,736	252,220
Total Multi-Category Expenses and Capital Expenditure	1,377,787	1,377,787	1,410,279
Total Annual Appropriations and Forecast Permanent Appropriations	2,259,473	2,259,473	2,171,655

Capital Injection Authorisations

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Department of Corrections - Capital Injection (M18)	295,280	295,280	27,350

Supporting Information

Part 1 - Vote as a Whole

1.1 - New Policy Initiatives

Policy Initiative	Appropriation	2018/19 Final Budgeted \$000	2019/20 Budget \$000	2020/21 Estimated \$000	2021/22 Estimated \$000	2022/23 Estimated \$000
Restoring a Safe and Effective Prison Network	Public Safety is Improved MCA - Prison-based Custodial Services	-	27,300	28,500	28,500	28,500
Offenders in the Community: Retaining Safe and Effective Community-Based Sentences and Orders	Public Safety is Improved MCA - Various	-	19,560	19,460	19,460	19,460
Increasing Access to Mental Health and Addiction Support	Re-offending is Reduced	-	16,661	26,878	38,660	41,387
	Departmental Capital Injections	-	2,450	-	-	1,450
Māori Pathway - Improving Outcomes for Māori and their Whānau in the Corrections System and Supporting their Reintegration Back into Communities - Part B	Re-offending is Reduced	-	9,065	14,506	17,297	19,102
	Departmental Capital Injections	-	-	1,000	1,000	1,000
Māori Pathway - Improving Outcomes for Māori and their Whānau in the Corrections System and Supporting their Reintegration Back into Communities - Part A	Re-offending is Reduced	-	1,800	2,500	3,000	3,100
Enhancing Specialist Alcohol and Other Drug Services	Re-offending is Reduced	-	500	500	500	500
Ensuring the Sustainability of the District Court by Appointing Additional District Court Judges	Public Safety is Improved MCA - Information and Administrative Services to the Judiciary and New Zealand Parole Board	-	335	335	335	335
Improving the Justice Response to Sexual Violence Victims	Public Safety is Improved MCA - Prison-based Custodial Services	-	-	-	424	643
Reprioritisation of Funding - Targeted Suspension of Suboptimal Prison Capacity	Public Safety is Improved MCA - Prison-based Custodial Services	-	(6,300)	(17,600)	(22,700)	(22,700)
Phone Applications for Victims	Public Safety is Improved MCA - Information and Administrative Services to the Judiciary and New Zealand Parole Board	700	-	-	-	-
Residential AOD for Female Defendants and Parolees	Re-offending is Reduced	431	569	-	-	-
	Public Safety is Improved MCA - Sentences and Orders Served in the Community	100	103	-	-	-

Policy Initiative	Appropriation	2018/19 Final Budgeted \$000	2019/20 Budget \$000	2020/21 Estimated \$000	2021/22 Estimated \$000	2022/23 Estimated \$000
Speech Language and Communication Needs	Re-offending is Reduced	373	57	-	-	-
	Public Safety is Improved MCA - Sentences and Orders Served in the Community	87	13	-	-	-
Waikeria Corrections and Treatment Facility - Approval to Execute the Project Agreement	Non-Departmental Other Expenses - Waikeria Corrections and Treatment Facility	12,800	33,082	33,518	1,000	-
	Public Safety is Improved MCA - Various	5,700	7,000	7,400	24,800	86,700
	Re-offending is Reduced	1,400	1,800	1,800	6,200	21,700
	Departmental Capital Injections	18,100	4,100	4,100	29,100	-
Housing and Support Services for People Managed by Corrections	Re-offending is Reduced	4,240	15,586	17,895	19,885	16,700
Accommodation Options to Support a More Effective Justice System and a Safer New Zealand	Public Safety is Improved MCA - Prison-based Custodial Services	3,000	-	-	-	-
	Departmental Capital Injections	5,000	-	-	-	-
Bail Support Services Pilot	Bail Support Services	2,160	-	-	-	-
	Re-offending is Reduced	-	2,560	-	-	-
Therapeutic Prison Unit Pilot	Re-offending is Reduced	1,490	1,940	-	-	-
	Departmental Capital Injections	80	-	-	-	-
High Impact Innovation Programme (HIIP)	Re-offending is Reduced	-	5,300	-	-	-
Total Initiatives		55,661	143,481	140,792	167,461	217,877

1.2 - Trends in the Vote

Summary of Financial Activity

	2014/15	2015/16	2016/17	2017/18	2018/19		2019/20			2020/21	2021/22	2022/23
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Final Budgeted \$000	Estimated Actual \$000	Departmental Transactions Budget \$000	Non- Departmental Transactions Budget \$000	Total Budget \$000	Estimated \$000	Estimated \$000	Estimated \$000
Appropriations												
Output Expenses	165,436	176,308	180,869	215,676	251,479	251,479	289,111	-	289,111	302,204	321,161	338,153
Benefits or Related Expenses	-	-	-	-	-	-	N/A	-	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Other Expenses	-	-	-	6,667	21,900	21,900	-	33,082	33,082	33,518	1,000	-
Capital Expenditure	263,197	246,715	256,654	260,786	608,307	608,307	439,183	-	439,183	366,998	206,763	107,663
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-	-	N/A	-	-	-	-
Multi-Category Expenses and Capital Expenditure (MCA)												
<i>Output Expenses</i>	1,064,725	1,120,277	1,164,131	1,258,159	1,377,787	1,377,787	1,410,279	-	1,410,279	1,375,072	1,388,825	1,453,620
<i>Other Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Capital Expenditure</i>	-	-	-	-	-	-	N/A	-	-	-	-	-
Total Appropriations	1,493,358	1,543,300	1,601,654	1,741,288	2,259,473	2,259,473	2,138,573	33,082	2,171,655	2,077,792	1,917,749	1,899,436
Crown Revenue and Capital Receipts												
Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Non-Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-
Total Crown Revenue and Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-

Note - where restructuring of the vote has occurred then, to the extent practicable, prior years information has been restated as if the restructuring had occurred before the beginning of the period covered. In this instance Total Appropriations for the Budgeted and Estimated Actual year may not equal Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations in the Details of Appropriations and Capital Injections.

Adjustments to the Summary of Financial Activity Table Due to Vote Restructuring

There have been no restructuring adjustments to prior year information reported in last year's Summary of Financial Activity table.

1.3 - Analysis of Significant Trends

Departmental Output Expense Trends

In Budget 2019 Corrections received funding for restoring a safe and effective prison network and retaining safe and effective community-based sentences and orders. This includes funding to activate and transition to new capacity in the prison network and increased volumes of electronic monitoring and intensive monitoring in the community.

Corrections also received funding increases for interventions and rehabilitative activity. Rehabilitation programmes, including Increased Access to Mental Health and Addiction Support, represent the best opportunity Corrections has to directly impact the drivers of crime among prison and community-based offenders. Funding has also been received for a kaupapa based pathway for Māori, co-designed and implemented by Māori in partnership with Corrections.

Departmental Capital Expenditure

The Department is completing a capital programme of work that responded to the rapid growth in prison population during 2016-2018 to ensure sufficient capacity to accommodate the current and expected prison population. The recent moderate decline in the prison population presents an opportunity to remove poor quality capacity and create resilience to respond to changing demand and service needs.

Part 2 - Details of Departmental Appropriations

2.1 - Departmental Output Expenses

Re-offending is Reduced (M18)

Scope of Appropriation

This appropriation is limited to the provision of rehabilitation interventions, reintegration and support services to defendants on bail and offenders serving custodial and community-based sentences that address the underlying causes of criminal offending and reduce re-offending.

Expenses and Revenue

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	249,319	249,319	289,111
Revenue from the Crown	214,742	214,742	259,417
Revenue from Others	31,828	31,828	26,945

Components of the Appropriation

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Case Management	38,208	38,208	40,768
Interventions - Training and Education	5,759	5,759	6,360
Interventions - Offender Employment	73,724	73,724	70,350
Interventions - Rehabilitation	105,292	105,292	125,875
Interventions - Reintegration	26,336	26,336	45,758
Total	249,319	249,319	289,111

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve a reduction in re-offending rates. It provides for the timely assessment of the rehabilitative and reintegrative needs of offenders and those remanded in custody, as well as end-to-end bail support for defendants. This appropriation ensures identified offender and defendant needs are addressed through rehabilitation, education, employment and reintegration activities.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2018/19		2019/20
	Final Budgeted Standard	Estimated Actual	Budget Standard
The proportion of all offenders entitled to receive an offender plan that received one within Standards of Practice timeframes	New measure for 2019/20	85%	85%
The proportion of offenders with an identified rehabilitation activity on their offender plan who have a matching scheduled placement for a Departmental programme:			
• Prisoners	80%	80%	80%
• Community-based offenders	45%	45%	45%
The proportion of prisoners who have completed an intensive literacy and numeracy programme who demonstrate statistically significant gains	35%	50%	35%
The percentage of offenders who start and complete a rehabilitation programme:			
• Prisoners	85%	85%	85%
• Community-based offenders	65%	65%	65%
The total number of referrals made to reintegration service providers	5,000	5,000	5,000
The total number of referrals made to reintegration service providers that resulted in a programme start	4,000	4,000	4,000
The percentage of target hours prisoners are engaged in industry, treatment and learning activities	New measure for 2019/20	80%	80%

End of Year Performance Reporting

Performance information for this appropriation will be reported by Corrections in its Annual Report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2018/19 Final Budgeted \$000	2019/20 Budget \$000	2020/21 Estimated \$000	2021/22 Estimated \$000	2022/23 Estimated \$000
Current Government						
Increasing Access to Mental Health and Addiction Support	2019/20	-	16,661	26,878	38,660	41,387
Māori Pathway - Improving Outcomes for Māori and their Whānau in the Corrections System and Supporting their Reintegration Back into Communities - Part B	2019/20	-	9,065	14,506	17,297	19,102
Māori Pathway - Improving Outcomes for Māori and their Whānau in the Corrections System and Supporting their Reintegration Back into Communities - Part A	2019/20	-	1,800	2,500	3,000	3,100
Enhancing Specialist Alcohol and Other Drug Services	2019/20	-	500	500	500	500
High Impact Innovation Programme (HIIP)	2019/20	-	5,300	-	-	-
Bail Support Services Pilot	2019/20	-	2,560	-	-	-

Policy Initiative	Year of First Impact	2018/19 Final Budgeted \$000	2019/20 Budget \$000	2020/21 Estimated \$000	2021/22 Estimated \$000	2022/23 Estimated \$000
Housing and Support Services for People Managed by Corrections	2018/19	4,240	15,586	17,895	19,885	16,700
Residential AOD for Female Defendants and Parolees	2018/19	431	569	-	-	-
Speech Language and Communication Needs	2018/19	373	57	-	-	-
Therapeutic Prison Unit Pilot	2018/19	1,490	1,940	-	-	-
Waikeria Corrections and Treatment Facility - Approval to Execute the Project Agreement	2018/19	1,400	1,800	1,800	6,200	21,700
Prisoner Volumes - Responding to Growth	2018/19	13,937	15,707	15,732	15,747	15,747
Offenders In The Community - Maintaining Public Safety	2018/19	4,505	4,883	5,015	3,019	3,019
Burglary Prevention	2017/18	3,603	5,355	7,107	7,107	7,107
Previous Government						
Sentences and Orders Served in the Community - Increased Investment	2017/18	536	634	738	738	738
Prisoner Volumes	2017/18	7,469	10,340	12,714	12,714	12,714
Prisoners at Risk of Self-harm and Suicide - Pilot	2017/18	2,373	2,380	2,415	2,415	2,415
Industry, Treatment, and Learning Interventions - Increasing Access	2017/18	4,520	5,650	5,650	5,650	5,650
Safe, Confident and Resilient Communities: Investment in Policing	2017/18	1,320	2,640	4,400	4,400	4,400
Building Foundations and Community Alternatives - Reintegration Services for Women	2016/17	889	-	-	-	-
Temporary Release and Pre-release Planning (Guided Release)	2016/17	1,000	1,000	1,000	1,000	1,000
Out of Gate Navigation Services for Short-Serving Prisoners	2015/16	5,000	5,000	5,000	5,000	5,000

Reasons for Change in Appropriation

The increase in this appropriation for 2019/20 is mainly due to additional investment in rehabilitation and reintegration activities including increased access to mental health and addiction support, housing and support services, and a kaupapa based pathway for Māori offenders.

2.3 - Departmental Capital Expenditure and Capital Injections

Department of Corrections - Capital Expenditure PLA (M18)

Scope of Appropriation

This appropriation is limited to the purchase or development of assets by and for the use of the Department of Corrections, as authorised by section 24(1) of the Public Finance Act 1989.

Capital Expenditure

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Forests/Agricultural	-	-	-
Land	-	-	-
Property, Plant and Equipment	572,743	572,743	407,583
Intangibles	35,564	35,564	31,600
Other	-	-	-
Total Appropriation	608,307	608,307	439,183

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve renewal and replacement of assets in support of the provision of the Department's outputs. The Department has a capital programme of work that is focused on upgrading its assets, providing resilience to respond to changing demand and service needs and ensuring sufficient capacity to accommodate the current and expected prison population.

How Performance will be Assessed and End of Year Reporting Requirements

	2018/19		2019/20
	Final Budgeted Standard	Estimated Actual	Budget Standard
Assessment of Performance			
Number of new Community Corrections Sites and existing site refurbishments	3	3	6
Prison Capacity Programme - permanent beds added	253	253	1,238

End of Year Performance Reporting

Performance information for this appropriation will be reported by Corrections in its Annual Report.

Reasons for Change in Appropriation

The Department has changed its capital expenditure profile to reflect a slight shift in focus as a result of the Prison Network Development Strategy. Following Cabinet review of the Strategy, Corrections is reviewing its capital plan to ensure it is well aligned with this shift in focus.

Department of Corrections

Details of Net Asset Schedule	2018/19 Estimated Actual \$000	2019/20 Projected \$000	Explanation of Projected Movements in 2019/20
Opening Balance	2,500,036	2,684,419	
Capital Injections	295,280	27,350	Additional funding mainly to increase prison capacity at Mt Eden Corrections Facility, Waikeria Corrections and Treatment Facility and the Modular Build Programme.
Capital Withdrawals	(20,000)	(40,000)	Capital withdrawal relates to surplus capital reserves as a result of the Department utilising private sector finance for the construction of the new maximum security facility at Auckland Prison.
Surplus to be Retained (Deficit Incurred)	(90,897)	(2,401)	The 2018/19 forecasted loss, largely relates to the un-realised year to date fair value movement on the Corrections interest rate swap entered into with New Zealand Debt Management Office to manage the risk relating to public private partnership transactions. The 2019/20 projected loss mainly relates to the third party revenue shortfall.
Other Movements	-	-	
Closing Balance	2,684,419	2,669,368	

Part 3 - Details of Non-Departmental Appropriations

3.4 - Non-Departmental Other Expenses

Waikeria Corrections and Treatment Facility (M18)

Scope of Appropriation

This appropriation is limited to infrastructure improvements that are either a condition of the designation or otherwise necessary to enable the development of the Waikeria Corrections and Treatment Facility.

Expenses

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	12,800	12,800	33,082

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve infrastructure improvements necessary to enable the development of the Waikeria Corrections and Treatment Facility, including safety and access improvements on the local road leading to the facility.

How Performance will be Assessed and End of Year Reporting Requirements

- Completion of safety and access improvements on the local road leading to the Waikeria Corrections and Treatment Facility.
- Continuation of design of infrastructure improvements required to enable the development of the Waikeria Corrections and Treatment Facility.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Minister of Corrections in the Vote Corrections Non-Departmental Appropriations Report.

Reasons for Change in Appropriation

The increase in this appropriation for 2019/20 is due to an increased programme of work relating to the wastewater treatment plant and State Highway 3 intersection improvements.

Part 4 - Details of Multi-Category Expenses and Capital Expenditure

4 - Multi-Category Expenses and Capital Expenditure

Policy Advice and Ministerial Services (M18)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.

Scope of Appropriation

Departmental Output Expenses

Ministerial Services

This category is limited to Department responses to ministerial correspondence and parliamentary questions.

Policy Advice

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters.

Expenses, Revenue and Capital Expenditure

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	4,866	4,866	4,861
Departmental Output Expenses			
Ministerial Services	2,307	2,307	2,305
Policy Advice	2,559	2,559	2,556
Funding for Departmental Output Expenses			
Revenue from the Crown	4,861	4,861	4,861
Ministerial Services	2,305	2,305	2,305
Policy Advice	2,556	2,556	2,556
Revenue from Others	5	5	-
Ministerial Services	2	2	-
Policy Advice	3	3	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to enable the Department to respond to ministerial requests and parliamentary questions with a high standard of service. It also allows the Department to provide policy advice to support decision-making by Ministers on government policy matters.

This Multi Category Appropriation provides core services to co-ordinate information provided to government and the public as well as developing policy that improves outcomes for New Zealand communities.

How Performance will be Assessed for this Appropriation

Assessment of Performance	2018/19		2019/20
	Final Budgeted Standard	Estimated Actual	Budget Standard
Policy Advice and Ministerial Services			
The office of the Minister of Corrections is satisfied with the level of quality of policy advice, and of draft Ministerial correspondence, provided by the Department (determined by an Annual Survey of satisfaction)	85%	85%	85%

What is Intended to be Achieved with each Category and How Performance will be Assessed

Assessment of Performance	2018/19		2019/20
	Final Budgeted Standard	Estimated Actual	Budget Standard
Departmental Output Expenses			
Ministerial Services			
This category is intended to ensure timely, accurate and relevant responses are provided to ministerial correspondence, parliamentary questions and requests for information made under the Official Information Act			
The percentage of ministerial draft correspondence that is signed without changes	Greater than 95%	100%	Greater than 95%
The percentage of all responses to parliamentary questions that are completed within five working days	Greater than 98%	100%	Greater than 98%
The percentage of responses to ministerial draft correspondence that are completed within 20 working days	Greater than 98%	100%	Greater than 98%
Policy Advice			
This category is intended to provide meaningful advice and the development of robust policy that enhances service delivery and to contribute to the development of effective criminal justice legislation. This category also ensures that service activities intended to reduce re-offending are effectively evaluated and that information regarding those evaluations is available to inform decision-making			
Technical quality of policy advice papers assessed by a survey with a methodological robustness of 90%	At least an average of 70%	70%	See Note 1
The office of the Minister of Corrections is satisfied with the quality of policy advice provided, including quality of the written material and quality of the advice provided (measured through an annual survey)	80%	80%	See Note 2

Note 1 - The Standard will be identified based on the refreshed Policy Quality Framework and updated in the Supplementary Estimates. All agencies are required to use the refreshed Policy Quality Framework from 2019/20 to assess the quality of their policy advice papers. The Policy Quality Framework is currently being refreshed. The refreshed Framework will be released before 30 June 2019.

Note 2 - The Standard will be identified based on the revised Ministerial Satisfaction Survey and updated in the Supplementary Estimates. All agencies are required to use the refined Ministerial Satisfaction Survey from 2019/20 to assess ministerial satisfaction with the policy service. The survey is currently under review and the refined survey and guidance will be released before 30 June 2019.

End of Year Performance Reporting

Performance information for this appropriation will be reported by Corrections in its Annual Report.

Public Safety is Improved (M18)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to manage offenders serving custodial and community-based sentences in a manner so as to improve the safety of offenders, staff, victims and the public.

Scope of Appropriation

Departmental Output Expenses

Information and Administrative Services to the Judiciary and New Zealand Parole Board

This category is limited to the provision of information about offenders to victims of crime, the Judiciary and the New Zealand Parole Board and the provision of administrative, financial and secretariat services to the New Zealand Parole Board.

Prison-based Custodial Services

This category is limited to the provision of custodial services including under long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody.

Sentences and Orders Served in the Community

This category is limited to the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail.

Expenses, Revenue and Capital Expenditure

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	1,372,921	1,372,921	1,405,418
Departmental Output Expenses			
Information and Administrative Services to the Judiciary and New Zealand Parole Board	67,684	67,684	73,041
Prison-based Custodial Services	1,065,501	1,065,501	1,080,157
Sentences and Orders Served in the Community	239,736	239,736	252,220

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Funding for Departmental Output Expenses			
Revenue from the Crown	1,368,285	1,368,285	1,402,224
Information and Administrative Services to the Judiciary and New Zealand Parole Board	67,292	67,292	73,041
Prison-based Custodial Services	1,061,461	1,061,461	1,076,963
Sentences and Orders Served in the Community	239,532	239,532	252,220
Revenue from Others	4,636	4,636	3,194
Information and Administrative Services to the Judiciary and New Zealand Parole Board	392	392	-
Prison-based Custodial Services	4,040	4,040	3,194
Sentences and Orders Served in the Community	204	204	-

Components of the Appropriation

	2018/19		2019/20
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Information and Administrative Services to the Judiciary and New Zealand Parole Board			
Information Services to the Judiciary	53,286	53,286	58,123
Information Services to the New Zealand Parole Board	7,349	7,349	7,973
Information and Administrative Services to Victims	917	917	294
Administrative Services to the New Zealand Parole Board	6,132	6,132	6,651
Prison-based Custodial Services			
Asset Management	363,334	363,334	370,320
Sentenced	398,944	398,944	402,663
Remand	178,390	178,390	182,210
Third Party	82,168	82,168	79,519
Health	42,665	42,665	45,445
Sentences and Orders Served in the Community			
Community Based Sentences	132,309	132,309	138,380
Post-Release Orders	61,660	61,660	64,134
Home Detention Sentences	38,805	38,805	41,283
Electronic Monitoring Bail	6,962	6,962	8,423
Total	1,372,921	1,372,921	1,405,418

What is Intended to be Achieved with this Appropriation

This appropriation is intended to:

- enable the Department to detain all prisoners in custody in a safe and humane way that protects the public and maintains the safety of all involved
- achieve safe management of sentences served in the community and the ability for offenders to be held to account for successfully completing their sentence or order, and
- provide core services to the Judiciary and New Zealand Parole Board that enable informed sentencing and parole decisions to be made in a timely manner, and notification of offenders and victims regarding the outcomes of the judicial process.

How Performance will be Assessed for this Appropriation

The performance for this appropriation will be assessed by the following measures specified in each category below.

What is Intended to be Achieved with each Category and How Performance will be Assessed

	2018/19		2019/20
	Final Budgeted Standard	Estimated Actual	Budget Standard
Assessment of Performance			
Departmental Output Expenses			
Information and Administrative Services to the Judiciary and New Zealand Parole Board			
This category is intended to provide core services to the Judiciary and New Zealand Parole Board that enable informed sentencing and parole decisions to be made in a timely manner, and notification of offenders and victims regarding the outcomes of the judicial process			
The percentage of all pre-sentence reports provided to court within agreed timeframes before sentencing	New measure for 2019/20	95%	95%
The percentage of all parole reports provided to agreed timeframes pursuant to New Zealand Parole Board requirements	New measure for 2019/20	95%	95%
The percentage of offenders who are notified as per New Zealand Parole Board requirements	98%	98%	98%
The percentage of victims who are notified as per New Zealand Parole Board requirements	98%	98%	98%
The percentage of all cases heard by the New Zealand Parole Board that are scheduled within the timeframes specified by the Parole Act 2002	98%	98%	98%
The number of justified complaints about notification services received from registered victims	Nil	5	Nil

Assessment of Performance	2018/19		2019/20
	Final Budgeted Standard	Estimated Actual	Budget Standard
Prison-based Custodial Services			
This category is intended to enable the Department to detain all prisoners in custody in a safe and humane way that protects the public and maintains the safety of all involved			
The number of breakout escapes	Nil	Nil	Nil
The number of non-breakout escapes	Less than or equal to 5	Less than or equal to 5	Less than or equal to 5
The proportion of general random drug tests undertaken by prisoners that have a positive result	Less than 5%	Less than 5%	Less than 5%
The number of unnatural deaths	Nil	1	Nil
The percentage of Death in Custody reports for unnatural deaths, that are completed by the Office of the Inspectorate within six months of the death occurring	Greater than 80%	Greater than 80%	Greater than 80%
The rate of prisoner on prisoner assaults that are serious, per 100 prisoners	Less than or equal to 0.50	Less than or equal to 0.50	Less than or equal to 0.50
The rate of prisoner on staff assaults that are serious, per 100 prisoners	Less than or equal to 0.20	Less than or equal to 0.20	Less than or equal to 0.20
The proportion of all complaints received to the Office of the Inspectorate that are acknowledged within 10 working days of receipt	100%	100%	100%
The proportion of all complaint investigations completed by the Office of the Inspectorate within three months of being received	Greater than 80%	Greater than 80%	Greater than 80%
The percentage of Health Centres in prisons that retained their Cornerstone accreditation status following completion of an annual review	100%	100%	100%
Sentences and Orders Served in the Community			
This category is intended to achieve safe management of sentences served in the community and the ability for offenders to be held to account for successfully completing their sentence or order			
The proportion of community-based offenders who successfully complete their sentence or order	Greater than 75%	Greater than 75%	Greater than 75%
The proportion of community-based offenders being held to account by Probation staff for non-compliance	90%	90%	90%

End of Year Performance Reporting

Performance information for this appropriation will be reported by Corrections in its Annual Report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2018/19 Final Budgeted \$000	2019/20 Budget \$000	2020/21 Estimated \$000	2021/22 Estimated \$000	2022/23 Estimated \$000
Current Government						
Restoring a Safe and Effective Prison Network	2019/20	-	27,300	28,500	28,500	28,500
Offenders in the Community: Retaining Safe and Effective Community-Based Sentences and Orders	2019/20	-	19,560	19,460	19,460	19,460
Ensuring the Sustainability of the District Court by Appointing Additional District Court Judges	2019/20	-	335	335	335	335
Improving the Justice Response to Sexual Violence Victims	2021/22	-	-	-	424	643
Reprioritisation of Funding - Targeted Suspension of Suboptimal Prison Capacity	2019/20	-	(6,300)	(17,600)	(22,700)	(22,700)
Phone Applications for Victims	2018/19	700	-	-	-	-
Residential AOD for Female Defendants and Parolees	2018/19	100	103	-	-	-
Speech Language and Communication Needs	2018/19	87	13	-	-	-
Waikeria Corrections and Treatment Facility - Approval to Execute the Project Agreement	2018/19	5,700	7,000	7,400	24,800	86,700
Accommodation Options to Support a More Effective Justice System and a Safer New Zealand	2018/19	3,000	-	-	-	-
Prisoner Volumes - Responding to Growth	2018/19	71,763	63,314	61,870	58,015	58,015
Offenders In The Community - Maintaining Public Safety	2018/19	22,465	25,867	29,085	33,081	33,081
Previous Government						
Reform of Family Violence Law	2018/19	10,700	21,146	27,603	33,111	34,332
Sentences and Orders Served in the Community - Increased Investment	2017/18	11,539	13,016	14,562	14,562	14,562
Safe, Confident and Resilient Communities: Investment in Policing	2017/18	8,450	16,580	27,300	27,300	27,300
Prisoners at Risk of Self-harm and Suicide - Pilot	2017/18	850	850	850	850	850
Prisoner Volumes	2016/17	74,194	71,300	53,443	53,443	53,443
Corrections Financial Stability Post 2015/16	2016/17	52,825	52,825	52,825	52,825	52,825
Prison Capacity Build Programme - Phase One: Immediate Capacity Responses	2016/17	18,100	18,100	18,100	18,100	18,100
Mt Eden Corrections Facility Management Options	2016/17	5,000	5,000	5,000	5,000	5,000
Targeted Short-term Investments to Reduce Immediate Prison Capacity Pressures	2016/17	4,000	-	-	-	-
Management of Offenders Returning to New Zealand	2015/16	2,700	2,200	2,200	2,200	2,200

Reasons for Change in Appropriation

The increase in this appropriation for 2019/20 is mainly due to the core costs of restoring a safe and effective prison network and retaining safe and effective community-based sentences and orders.

