

Vote Pike River Re-entry

APPROPRIATION MINISTER(S): Minister Responsible for Pike River Re-entry (M99)

APPROPRIATION ADMINISTRATOR: Te Kahui Whakamana Rua Tekau ma Iwa - Pike River Recovery Agency

RESPONSIBLE MINISTER FOR TE KAHUI WHAKAMANA RUA TEKAU MA IWA - PIKE RIVER RECOVERY AGENCY: Minister Responsible for Pike River Re-entry

Details of Appropriations and Capital Injections

Annual and Permanent Appropriations

| Titles and Scopes of Appropriations by Appropriation Type | 2017/18 | | |
|--|------------------------------|---|--------------------------|
| | Estimates Budget \$000 | Supplementary Estimates Budget \$000 | Total Budget \$000 |
| Departmental Capital Expenditure | | | |
| Pike River Recovery Agency - Capital Expenditure PLA (M99) This appropriation is limited to the purchase or development of assets by and for the use of the Pike River Recovery Agency, as authorised by section 24(1) of the Public Finance Act 1989. | - | 500 | 500 |
| Total Departmental Capital Expenditure | - | 500 | 500 |
| Total Annual and Permanent Appropriations | - | 500 | 500 |

Multi-Year Appropriations

| Type, Title, Scope and Period of Appropriations | Appropriations, Adjustments and Use | \$000 |
|--|--|---|
| Departmental Output Expenses | | |
| Pike River Recovery (M99) This appropriation is limited to the establishment and operations of the Pike River Recovery Agency. Commences: 31 January 2018 Expires: 31 December 2019 | Original Appropriation Adjustments to 2016/17 Adjustments for 2017/18 Adjusted Appropriation Actual to 2016/17 Year End Estimated Actual for 2017/18 Estimated Actual for 2018/19 Estimated Appropriation Remaining | 21,974 - - 21,974 - 3,628 17,107 1,239 |
| Non-Departmental Output Expenses | | |
| Independent Advice on Pike River Recovery (M99) This appropriation is limited to independent advice, and related costs, to support decision-making by the Minister Responsible for Pike River Re-entry. Commences: 31 January 2018 Expires: 31 December 2019 | Original Appropriation Adjustments to 2016/17 Adjustments for 2017/18 Adjusted Appropriation Actual to 2016/17 Year End Estimated Actual for 2017/18 Estimated Actual for 2018/19 Estimated Appropriation Remaining | 75 - - 75 - 60 15 - |

Total Annual and Permanent Appropriations and Multi-Year Appropriation Forecasts

| | 2017/18 | | |
|---|------------------------|--------------------------------------|--------------------|
| | Estimates Budget \$000 | Supplementary Estimates Budget \$000 | Total Budget \$000 |
| Total Annual and Permanent Appropriations | - | 500 | 500 |
| Total MYA Departmental Output Expenses Forecasts | - | 3,628 | 3,628 |
| Total MYA Non-Departmental Output Expenses Forecasts | - | 60 | 60 |
| Total Annual and Permanent Appropriations and Multi-Year Appropriation Forecasts | - | 4,188 | 4,188 |

Capital Injection Authorisations

| | 2017/18 | | |
|--|------------------------|--------------------------------------|--------------------|
| | Estimates Budget \$000 | Supplementary Estimates Budget \$000 | Total Budget \$000 |
| Te Kahui Whakamana Rua Tekau ma Iwa - Pike River Recovery Agency - Capital Injection (M99) | - | 500 | 500 |

Supporting Information

Part 1 - Vote as a Whole

1.2 - Trends in the Vote

Summary of Financial Activity

| | 2017/18 | | | | |
|---|--------------------|---------------------------------------|---|--------------------------------|----------------|
| | Estimates \$000 | Supplementary Estimates | | | Total \$000 |
| | | Departmental Transactions \$000 | Non- Departmental Transactions \$000 | Total Transactions \$000 | |
| Appropriations | | | | | |
| Output Expenses | - | 3,628 | 60 | 3,688 | 3,688 |
| Benefits or Related Expenses | - | N/A | - | - | - |
| Borrowing Expenses | - | - | - | - | - |
| Other Expenses | - | - | - | - | - |
| Capital Expenditure | - | 500 | - | 500 | 500 |
| Intelligence and Security Department Expenses and Capital Expenditure | - | - | N/A | - | - |
| Multi-Category Expenses and Capital Expenditure (MCA) | | | | | |
| <i>Output Expenses</i> | - | - | - | - | - |
| <i>Other Expenses</i> | - | - | - | - | - |
| <i>Capital Expenditure</i> | - | N/A | - | - | - |
| Total Appropriations | - | 4,128 | 60 | 4,188 | 4,188 |
| Crown Revenue and Capital Receipts | | | | | |
| Tax Revenue | - | N/A | - | - | - |
| Non-Tax Revenue | - | N/A | - | - | - |
| Capital Receipts | - | N/A | - | - | - |
| Total Crown Revenue and Capital Receipts | - | N/A | - | - | - |

Part 2 - Details of Departmental Appropriations

2.1 - Departmental Output Expenses

Pike River Recovery (M99)

Scope of Appropriation and Expenses

| Type, Title, Scope and Period of Appropriations | Appropriations, Adjustments and Use | \$000 |
|---|-------------------------------------|--------|
| Pike River Recovery (M99) This appropriation is limited to the establishment and operations of the Pike River Recovery Agency. Commences: 31 January 2018 Expires: 31 December 2019 | Original Appropriation | 21,974 |
| | Adjustments to 2016/17 | - |
| | Adjustments for 2017/18 | - |
| | Adjusted Appropriation | 21,974 |
| | Actual to 2016/17 Year End | - |
| | Estimated Actual for 2017/18 | 3,628 |
| | Estimated Actual for 2018/19 | 17,107 |
| | Estimated Appropriation Remaining | 1,239 |

Revenue

| | Budget \$000 |
|--|-----------------|
| Revenue from the Crown to end of 2018/19 | 20,735 |
| Revenue from Others to end of 2018/19 | - |
| Total Revenue | 20,735 |

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve a plan for the safe manned re-entry to the drift and a recommendation to the responsible Minister about whether the risks can, as much as is reasonably practicable, be adequately managed, and then if the responsible Minister agrees, to execute the plan.

How Performance will be Assessed and End of Year Reporting Requirements

| Performance Measures | 2017/18 | | |
|--|-----------------------|--|---------------------|
| | Estimates Standard | Supplementary Estimates Standard | Total Standard |
| Plan for the safe manned re-entry of the drift and advice provided to the Minister | - | New measure | By 30 October 2018 |
| The satisfaction of the Families Reference Group (FRG) with the engagement and liaison service, as measured using the Common Measurements tool, is at least a '3' (see Note 1) | - | New Measure | 3 - Met Expectation |

Note 1 - The Common Measurements tool measures external client satisfaction and identifies areas for delivery improvement in the public sector on a scale of 1 to 5, where 1 means fell well short of expectations and 5 means far exceeded expectations.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Pike River Recovery Agency in its Annual Report.

Reasons for Change in Appropriation

This new appropriation was established during 2017/18 to establish the new Pike River Recovery Agency.

2.3 - Departmental Capital Expenditure and Capital Injections

Pike River Recovery Agency - Capital Expenditure PLA (M99)

Scope of Appropriation

This appropriation is limited to the purchase or development of assets by and for the use of the Pike River Recovery Agency, as authorised by section 24(1) of the Public Finance Act 1989.

Capital Expenditure

| | 2017/18 | | |
|-------------------------------|--------------------|-------------------------------------|----------------|
| | Estimates \$000 | Supplementary Estimates \$000 | Total \$000 |
| Forests/Agricultural | - | - | - |
| Land | - | - | - |
| Property, Plant and Equipment | - | 500 | 500 |
| Intangibles | - | - | - |
| Other | - | - | - |
| Total Appropriation | - | 500 | 500 |

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the establishment of the Pike River Recovery Agency office in Greymouth.

How Performance will be Assessed and End of Year Reporting Requirements

| | 2017/18 | | |
|--|-----------------------|--|-------------------|
| | Estimates Standard | Supplementary Estimates Standard | Total Standard |
| Performance Measures | | | |
| Office set up by 31 January 2018 and within budget | - | New Measure | Achieved |

End of Year Performance Reporting

Performance information for this appropriation will be reported by Pike River Recovery Agency in its Annual Report.

Reasons for Change in Appropriation

This new appropriation was established during 2017/18 with a capital injection of \$500,000 to establish the new Pike River Recovery Agency.

*Capital Injections and Movements in Departmental Net Assets***Te Kahui Whakamana Rua Tekau ma Iwa - Pike River Recovery Agency**

| Details of Net Asset Schedule | 2017/18 Main Estimates Projections \$000 | 2017/18 Supplementary Estimates Projections \$000 | Explanation of Projected Movements in 2017/18 |
|---|---|---|---|
| Opening Balance | - | - | |
| Capital Injections | - | 500 | |
| Capital Withdrawals | - | - | |
| Surplus to be Retained (Deficit Incurred) | - | - | |
| Other Movements | - | - | |
| Closing Balance | - | 500 | |

Part 3 - Details of Non-Departmental Appropriations

3.1 - Non-Departmental Output Expenses

Independent Advice on Pike River Recovery (M99)

Scope of Appropriation and Expenses

| Type, Title, Scope and Period of Appropriations | Appropriations, Adjustments and Use | \$000 |
|--|-------------------------------------|-------|
| Independent Advice on Pike River Recovery (M99) This appropriation is limited to independent advice, and related costs, to support decision-making by the Minister Responsible for Pike River Re-entry. Commences: 31 January 2018 Expires: 31 December 2019 | Original Appropriation | 75 |
| | Adjustments to 2016/17 | - |
| | Adjustments for 2017/18 | - |
| | Adjusted Appropriation | 75 |
| | Actual to 2016/17 Year End | - |
| | Estimated Actual for 2017/18 | 60 |
| | Estimated Actual for 2018/19 | 15 |
| | Estimated Appropriation Remaining | - |

What is Intended to be Achieved with this Appropriation

This appropriation is intended to support unbiased decision making, relating to the development of the plan for the safe manned re-entry of the drift.

End of Year Performance Reporting

An exemption from end of year performance reporting under s15D(2)(b)(iii) of the Public Finance Act 1989 as the amount of this annual appropriation for a non-departmental output expense is less than \$5 million.

Reasons for Change in Appropriation

This new appropriation was established during 2017/18 for independent advice on Pike River.