

Vote Corrections

APPROPRIATION MINISTER(S): Minister of Corrections (M18)

APPROPRIATION ADMINISTRATOR: Department of Corrections

RESPONSIBLE MINISTER FOR DEPARTMENT OF CORRECTIONS: Minister of Corrections

Overview of the Vote

The Minister of Corrections is responsible for appropriations in Vote Corrections for the 2018/19 financial year covering:

Operating Expenditure:

- a total of just over \$1,034 million (65.8% of the Vote) for the provision of custodial services including long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody
- a total of just over \$236 million (15% of the Vote) for the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail
- a total of just over \$231 million (14.7% of the Vote) for the provision of case management and interventions designed to address the underlying causes of criminal re-offending
- a total of just over \$66 million (4.2% of the Vote) for the provision of information about offenders to: victims of crime, the Judiciary and the New Zealand Parole Board, and the provision of administrative, financial and secretariat services to the New Zealand Parole Board
- a total of just over \$2.5 million (0.2% of the Vote) for the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters, and
- a total of just under \$2 million (0.1% of the Vote) for the Department's responses to ministerial correspondence and parliamentary questions.

Capital Expenditure:

- a total of just over \$393 million on the purchase or development of assets by and for the use of the Department of Corrections.

The Minister of Corrections is also responsible for a capital injection of over \$272 million for the Department of Corrections.

Details of these appropriations are set out in Parts 2-4.

Details of Appropriations and Capital Injections

Annual and Permanent Appropriations

Titles and Scopes of Appropriations by Appropriation Type	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Departmental Output Expenses			
Re-offending is Reduced (M18) This appropriation is limited to the provision of rehabilitation interventions and reintegration services to offenders serving custodial and community-based sentences that address the underlying causes of criminal offending and reduce re-offending.	222,415	216,565	231,078
Total Departmental Output Expenses	222,415	216,565	231,078
Departmental Capital Expenditure			
Department of Corrections - Capital Expenditure PLA (M18) This appropriation is limited to the purchase or development of assets by and for the use of the Department of Corrections, as authorised by section 24(1) of the Public Finance Act 1989.	291,410	291,410	393,004
Total Departmental Capital Expenditure	291,410	291,410	393,004
Non-Departmental Other Expenses			
Waikeria Corrections and Treatment Facility (M18) This appropriation is limited to infrastructure improvements that are either a condition of the designation or otherwise necessary to enable the development of the Waikeria Corrections and Treatment Facility.	7,500	7,500	-
Total Non-Departmental Other Expenses	7,500	7,500	-
Multi-Category Expenses and Capital Expenditure			
Policy Advice and Ministerial Services MCA (M18) The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.	4,546	4,546	4,546
<i>Departmental Output Expenses</i>			
<i>Ministerial Services</i> This category is limited to Department responses to ministerial correspondence and parliamentary questions.	1,988	1,988	1,987
<i>Policy Advice</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters.	2,558	2,558	2,559
Public Safety is Improved MCA (M18) The single overarching purpose of this appropriation is to manage offenders serving custodial and community-based sentences in a manner so as to improve the safety of offenders, staff, victims and the public.	1,277,367	1,274,017	1,337,095
<i>Departmental Output Expenses</i>			
<i>Information and Administrative Services to the Judiciary and New Zealand Parole Board</i> This category is limited to the provision of information about offenders to victims of crime, the Judiciary and the New Zealand Parole Board and the provision of administrative, financial and secretariat services to the New Zealand Parole Board.	61,713	61,713	66,223

Titles and Scopes of Appropriations by Appropriation Type	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
<i>Prison-based Custodial Services</i> This category is limited to the provision of custodial services including under long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody.	994,387	993,837	1,034,448
<i>Sentences and Orders Served in the Community</i> This category is limited to the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail.	221,267	218,467	236,424
Total Multi-Category Expenses and Capital Expenditure	1,281,913	1,278,563	1,341,641
Total Annual and Permanent Appropriations	1,803,238	1,794,038	1,965,723

Capital Injection Authorisations

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Department of Corrections - Capital Injection (M18)	81,900	81,900	272,100

Supporting Information

Part 1 - Vote as a Whole

1.1 - New Policy Initiatives

Policy Initiative	Appropriation	2017/18 Final Budgeted \$000	2018/19 Budget \$000	2019/20 Estimated \$000	2020/21 Estimated \$000	2021/22 Estimated \$000
Prisoner Volumes - Responding to Growth	Public Safety is Improved MCA - Prison-based Custodial Services	-	71,763	63,314	61,870	58,015
	Re-offending is Reduced	-	13,937	15,707	15,732	15,747
Offenders In The Community - Maintaining Public Safety	Public Safety is Improved MCA - Various	-	22,465	25,867	29,085	33,081
	Re-offending is Reduced	-	4,505	4,883	5,015	3,019
Holidays Act Compliance	Public Safety is Improved MCA - Various	16,000	-	-	-	-
Making the Prison Network More Safe, Humane and Effective	Public Safety in Improved MCA - Prison-Based Custodial Services	33,000	-	-	-	-
Burglary Prevention	Re-offending is Reduced	1,752	3,603	5,355	7,107	7,107
Total New Initiatives		50,752	116,276	115,126	118,809	116,969

1.2 - Trends in the Vote

Summary of Financial Activity

	2013/14	2014/15	2015/16	2016/17	2017/18		2018/19			2019/20	2020/21	2021/22
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Final Budgeted \$000	Estimated Actual \$000	Departmental Transactions Budget \$000	Non- Departmental Transactions Budget \$000	Total Budget \$000	Estimated \$000	Estimated \$000	Estimated \$000
Appropriations												
Output Expenses	148,862	165,436	176,308	180,869	222,415	216,565	231,078	-	231,078	232,073	237,755	235,893
Benefits or Related Expenses	-	-	-	-	-	-	N/A	-	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Other Expenses	-	-	-	-	7,500	7,500	-	-	-	-	-	-
Capital Expenditure	318,859	263,197	246,715	256,654	291,410	291,410	393,004	-	393,004	149,485	78,333	104,418
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-	-	N/A	-	-	-	-
Multi-Category Expenses and Capital Expenditure (MCA)												
<i>Output Expenses</i>	1,040,262	1,064,725	1,120,277	1,164,131	1,281,913	1,278,563	1,341,641	-	1,341,641	1,350,587	1,337,851	1,347,478
<i>Other Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Capital Expenditure</i>	-	-	-	-	-	-	N/A	-	-	-	-	-
Total Appropriations	1,507,983	1,493,358	1,543,300	1,601,654	1,803,238	1,794,038	1,965,723	-	1,965,723	1,732,145	1,653,939	1,687,789
Crown Revenue and Capital Receipts												
Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Non-Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-
Total Crown Revenue and Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-

Note - where restructuring of the vote has occurred then, to the extent practicable, prior years information has been restated as if the restructuring had occurred before the beginning of the period covered. In this instance Total Appropriations for the Budgeted and Estimated Actual year may not equal Total Appropriations in the Details of Appropriations and Capital Injections.

Adjustments to the Summary of Financial Activity Table due to Vote Restructuring

There have been no restructuring adjustments to prior year information reported in last year's Summary of Financial Activity table.

1.3 - Analysis of Significant Trends

Departmental Output Expense Trends

In Budget 2018 there is an increase in funding for interventions and rehabilitative activity, including Prisoner Volumes responding to Growth and Offenders in Community - Maintaining Public Safety.

Since early 2014, there has been a period of unprecedented and unanticipated growth in the prison population with continued growth forecast. The Department has secured new funding in both 2017/18 and 2018/19 and outyears to ensure it has the capacity to accommodate the rising prison population, maintain public safety and reduce re-offending.

Departmental Capital Expenditure

The Department has a capital programme of work that is focused on ensuring sufficient capacity to accommodate growing prison population levels, and resilience to respond to changing demand and service needs.

Additional Capital Injections have been approved to increase prison volumes.

1.4 - Relationship between Individual Appropriations and the Work Programmes

Objectives of the vote

Corrections End Outcomes	Corrections Intermediate Outcomes	Corrections Appropriations
Public Safety is Improved	Harm levels of re-offending are reduced The integrity of sentences and orders is improved	Public Safety is Improved (MCA)
	A Safe and secure Corrections system is assured	Re-offending is Reduced
Re-offending is Reduced	Offenders' capability to lead law abiding lives is improved	Policy Advice and Ministerial Services (MCA)
	Offenders' ability to integrate into the community is improved	

Part 2 - Details of Departmental Appropriations

2.1 - Departmental Output Expenses

Re-offending is Reduced (M18)

Scope of Appropriation

This appropriation is limited to the provision of rehabilitation interventions and reintegration services to offenders serving custodial and community-based sentences that address the underlying causes of criminal offending and reduce re-offending.

Expenses and Revenue

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	222,415	216,565	231,078
Revenue from the Crown	188,381	182,531	201,269
Revenue from Others	28,885	28,885	27,060

Components of the Appropriation

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Case Management	37,842	37,842	38,208
Interventions - Training and Education	5,049	5,049	5,300
Interventions - Offender Employment	57,814	57,614	59,145
Interventions - Rehabilitation	101,492	98,167	106,506
Interventions - Reintegration	20,218	17,893	21,919
Total	222,415	216,565	231,078

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve a reduction in re-offending rates. It provides for the timely assessment of the rehabilitative and reintegrative needs of offenders and those remanded in custody. This appropriation ensures identified offender needs are addressed through rehabilitation, education, employment and reintegration activities.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2017/18		2018/19
	Final Budgeted Standard	Estimated Actual	Budget Standard
The proportion of offenders entitled to receive an offender plan that received one within Standards of Practice timeframes:			
• Prisoners	90%	90%	90%
• Community-based offenders	80%	80%	80%
The proportion of offenders with an identified rehabilitation activity on their offender plan who have a matching scheduled placement for a Departmental programme			
• Prisoners	80%	80%	80%
• Community-based offenders	33%	50%	45%
The proportion of prisoners who have completed an intensive literacy and numeracy programme who demonstrate statistically significant gains	30%	45%	35%
The percentage of offenders who start and complete a rehabilitation programme:			
• Prisoners	85%	85%	85%
• Community-based offenders	65%	65%	65%
The total number of referrals made to reintegration service providers	4,500	5,000	5,000
The total number of referrals made to reintegration service providers that resulted in a programme start	3,500	4,000	4,000
All public prisons to be achieving on average 100% engagement for eligible prisoners	100% engagement	100% engagement	100% engagement

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Department of Corrections in its Annual Report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2017/18 Final Budgeted \$000	2018/19 Budget \$000	2019/20 Estimated \$000	2020/21 Estimated \$000	2021/22 Estimated \$000
Current Government						
Prisoner Volumes - Responding to Growth	2018/19	-	13,937	15,707	15,732	15,747
Offenders In The Community - Maintaining Public Safety	2018/19	-	4,505	4,883	5,015	3,019
Burglary Prevention	2017/18	1,752	3,603	5,355	7,107	7,107
Previous Government						
Sentences and Orders Served in the Community - Increased Investment	2017/18	442	536	634	738	738
Prisoner Volumes	2017/18	4,920	7,469	10,340	12,714	12,714
Prisoners at Risk of Self-harm and Suicide - Pilot	2017/18	1,458	2,373	2,380	2,415	2,415
Industry, Treatment, and Learning Interventions - Increasing Access	2017/18	2,780	4,520	5,650	5,650	5,650
Safe, Confident and Resilient Communities: Investment in Policing	2017/18	440	1,320	2,640	4,400	4,400
Enhanced Mental Health Support Services	2016/17	6,725	-	-	-	-
This Way for Work	2016/17	1,250	-	-	-	-
Addressing Barriers to the Graduated Driver Licence System	2016/17	303	-	-	-	-
Methamphetamine Targeted Screening and Rehabilitation for Prisoners	2016/17	1,070	-	-	-	-
Expansion of the Brainwave Trust Programmes in Prison	2016/17	300	-	-	-	-
Building Foundations and Community Alternatives - Reintegration Services for Women	2016/17	889	889	-	-	-
Temporary Release and Pre-release Planning (Guided Release)	2016/17	1,000	1,000	1,000	1,000	1,000
Out of Gate Navigation Services for Short-Serving Prisoners	2015/16	5,000	5,000	5,000	5,000	5,000
Alcohol and Other Drug Aftercare Package to Support Offenders	2015/16	3,750	-	-	-	-

Reasons for Change in Appropriation

The increase in funding in the 2018/19 year reflects additional investment in interventions and rehabilitative activity.

2.3 - Departmental Capital Expenditure and Capital Injections

Department of Corrections - Capital Expenditure PLA (M18)

Scope of Appropriation

This appropriation is limited to the purchase or development of assets by and for the use of the Department of Corrections, as authorised by section 24(1) of the Public Finance Act 1989.

Capital Expenditure

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Forests/Agricultural	-	-	-
Land	-	-	-
Property, Plant and Equipment	218,798	218,798	364,504
Intangibles	18,742	18,742	28,500
Other	53,870	53,870	-
Total Appropriation	291,410	291,410	393,004

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve renewal and replacement of assets in support of the provision of the Department's outputs. The Department has a capital programme of work that is focused on upgrading its assets, providing resilience to respond to changing demand and service needs and ensuring sufficient capacity to accommodate growing prison population levels.

How Performance will be Assessed and End of Year Reporting Requirements

	2017/18		2018/19
	Final Budgeted Standard	Estimated Actual	Budget Standard
Assessment of Performance			
Number of new Community Corrections Sites (CCS) and existing site refurbishments	10	6	8
Prison Capacity Programme - permanent beds added	500	521	503

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Department of Corrections in its Annual Report.

Reasons for Change in Appropriation

The Department has revised its capital plan to reflect the impact of the Prison Capacity Programme increasing the available prison capacity to accommodate the rising prison population. The increase 2018/19 capital expenditure is mainly due to the spend on the Prisoner Volumes - Responding to Growth initiative.

Department of Corrections

Details of Net Asset Schedule	2017/18 Estimated Actual \$000	2018/19 Projected \$000	Explanation of Projected Movements in 2018/19
Opening Balance	2,446,992	2,504,308	
Capital Injections	81,900	272,100	Additional funding to increase prison capacity.
Capital Withdrawals	(5,135)	(20,000)	2017/18 capital to operating swap of \$5 million and \$135,000 return of surplus Justice sector funds to Crown. The 2018/19 Capital withdrawal represents the surplus capital reserves as a result of the Department utilising private sector finance for the construction of the new facility at Auckland Prison.
Surplus to be Retained (Deficit Incurred)	(19,449)	(2,749)	The 2017/18 forecasted loss largely relates to the un-realised year to date fair value movement on the Departments interest rate swap entered into with New Zealand Debt Management Office to manage the risk relating to public private partnership transactions. The 2018/19 loss relates to the third party revenue shortfall.
Other Movements	-	-	
Closing Balance	2,504,308	2,753,659	

Part 4 - Details of Multi-Category Expenses and Capital Expenditure

Multi-Category Expenses and Capital Expenditure

Policy Advice and Ministerial Services (M18)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.

Scope of Appropriation

Departmental Output Expenses

Ministerial Services

This category is limited to Department responses to ministerial correspondence and parliamentary questions.

Policy Advice

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters.

Expenses, Revenue and Capital Expenditure

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	4,546	4,546	4,546
Departmental Output Expenses			
Ministerial Services	1,988	1,988	1,987
Policy Advice	2,558	2,558	2,559
Funding for Departmental Output Expenses			
Revenue from the Crown	4,541	4,541	4,541
Ministerial Services	1,985	1,985	1,985
Policy Advice	2,556	2,556	2,556
Revenue from Others	5	5	5
Ministerial Services	3	3	2
Policy Advice	2	2	3

What is Intended to be Achieved with this Appropriation

This appropriation is intended to enable the Department to respond to ministerial requests and parliamentary questions with a high standard of service. It also allows the Department to provide policy advice to support decision-making by Ministers on government policy matters.

This Multi Category Appropriation provides core services to co-ordinate information provided to government and the public as well as developing policy that improves outcomes for New Zealand communities.

How Performance will be Assessed for this Appropriation

Assessment of Performance	2017/18		2018/19
	Final Budgeted Standard	Estimated Actual	Budget Standard
Policy Advice and Ministerial Services			
The office of the Minister of Corrections is satisfied with the level of quality of policy advice, and of draft Ministerial correspondence, provided by the Department (determined by an Annual Survey of satisfaction)	84%	84%	85%

What is Intended to be Achieved with each Category and How Performance will be Assessed

Assessment of Performance	2017/18		2018/19
	Final Budgeted Standard	Estimated Actual	Budget Standard
Departmental Output Expenses			
Ministerial Services			
This category is intended to ensure timely, accurate and relevant responses are provided to ministerial correspondence, parliamentary questions and requests for information made under the Official Information Act.			
The percentage of ministerial draft correspondence that is signed without changes	95%	100%	Greater than 95%
The percentage of all responses to parliamentary questions that are completed within five working days	98%	100%	Greater than 98%
The percentage of responses to ministerial draft correspondence that are completed within 20 working days	98%	100%	Greater than 98%
Policy Advice			
This category is intended to provide meaningful advice and the development of robust policy that enhances service delivery and to contribute to the development of effective criminal justice legislation. This category also ensures that service activities intended to reduce re-offending are effectively evaluated and that information regarding those evaluations is available to inform decision-making.			
Technical quality of policy advice papers assessed by a survey with a methodological robustness of 90%	At least an average of 70%	70%	At least an average of 70%
The office of the Minister of Corrections is satisfied with the quality of policy advice provided, including quality of the written material and quality of the advice provided (measured through an annual survey)	78%	78%	80%
Total cost per output hours of professional staff time devoted to policy advice and other policy functions	\$105	\$105	\$105

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Department of Corrections in its Annual Report.

Public Safety is Improved (M18)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to manage offenders serving custodial and community-based sentences in a manner so as to improve the safety of offenders, staff, victims and the public.

Scope of Appropriation

Departmental Output Expenses

Information and Administrative Services to the Judiciary and New Zealand Parole Board

This category is limited to the provision of information about offenders to victims of crime, the Judiciary and the New Zealand Parole Board and the provision of administrative, financial and secretariat services to the New Zealand Parole Board.

Prison-based Custodial Services

This category is limited to the provision of custodial services including under long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody.

Sentences and Orders Served in the Community

This category is limited to the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail.

Expenses, Revenue and Capital Expenditure

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	1,277,367	1,274,017	1,337,095
Departmental Output Expenses			
Information and Administrative Services to the Judiciary and New Zealand Parole Board	61,713	61,713	66,223
Prison-based Custodial Services	994,387	993,837	1,034,448
Sentences and Orders Served in the Community	221,267	218,467	236,424
Funding for Departmental Output Expenses			
Revenue from the Crown	1,271,467	1,268,667	1,333,306
Information and Administrative Services to the Judiciary and New Zealand Parole Board	61,567	61,567	66,150
Prison-based Custodial Services	989,070	989,070	1,030,936
Sentences and Orders Served in the Community	220,830	218,030	236,220

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Revenue from Others	5,900	5,350	3,789
Information and Administrative Services to the Judiciary and New Zealand Parole Board	146	146	73
Prison-based Custodial Services	5,317	4,767	3,512
Sentences and Orders Served in the Community	437	437	204

Components of the Appropriation

	2017/18		2018/19
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Information and Administrative Services to the Judiciary and New Zealand Parole Board			
Information Services to the Judiciary	49,040	49,040	52,590
Information Services to the New Zealand Parole Board (NZPB)	6,788	6,788	7,314
Information and Administrative Services to Victims	203	203	216
Administrative Services to the New Zealand Parole Board (NZPB)	5,682	5,682	6,103
Prison-based Custodial Services			
Asset Management	349,223	348,673	356,861
Sentenced	392,406	392,406	400,003
Remand	146,810	146,810	142,077
Third Party	63,015	63,015	92,942
Health	42,933	42,933	42,565
Sentences and Orders Served in the Community			
Community Based Sentences	122,958	121,038	130,330
Post-Release Orders	56,419	55,919	60,895
Home Detention Sentences	36,223	35,903	38,314
Electronic Monitoring Bail (EM Bail)	5,667	5,607	6,885
Total	1,277,367	1,274,017	1,337,095

What is Intended to be Achieved with this Appropriation

This appropriation is intended to enable the Department to detain all prisoners in custody in a safe and humane way that protects the public and maintains the safety of all involved. It enables safe management of sentences served in the community and the ability for offenders to be held to account for successfully completing their sentence or order.

This Multi Category Appropriation provides core services to the Judiciary and New Zealand Parole Board that enable informed sentencing and parole decisions to be made in a timely manner, and notification of offenders and victims regarding the outcomes of the judicial process.

How Performance will be Assessed for this Appropriation

The performance for this appropriation will be assessed by the following measures specified in each category below.

What is Intended to be Achieved with each Category and How Performance will be Assessed

Assessment of Performance	2017/18		2018/19
	Final Budgeted Standard	Estimated Actual	Budget Standard
Departmental Output Expenses			
Information and Administrative Services to the Judiciary and New Zealand Parole Board			
This category is intended to provide core services to the Judiciary and New Zealand Parole Board that enable informed sentencing and parole decisions to be made in a timely manner, and notification of offenders and victims regarding the outcomes of the judicial process.			
The percentage of pre-sentence reports provided to court within agreed timeframes before sentencing:			
• Probation reports	95%	95%	95%
• Psychological reports	95%	95%	95%
The percentage of parole reports provided to agreed timeframes pursuant to NZPB requirements:			
• Parole Assessment reports	90%	90%	90%
• Parole Progress reports	95%	95%	95%
• Psychological reports	95%	95%	95%
The percentage of offenders who are notified as per New Zealand Parole Board requirements	98%	100%	98%
The percentage of victims who are notified as per New Zealand Parole Board requirements	98%	98%	98%
The percentage of all cases to be heard by the New Zealand Parole Board that are scheduled within the timeframes specified by the Parole Act 2002	98%	100%	98%
The number of justified complaints about notification services received from registered victims	Nil	1	Nil
Prison-based Custodial Services			
This category is intended to enable the Department to detain all prisoners in custody in a safe and humane way that protects the public and maintains the safety of all involved.			
The number of escapes	Lower than average across the past 5 years (3)	3	Not a measure for 2018/19
The number of breakout escapes as a proportion of all escapes	0%	0%	Not a measure for 2018/19
The number of breakout escapes	New measure for 2018/19	0	0
The number of non-breakout escapes	New measure for 2018/19	3	Less than or equal to 5

Assessment of Performance	2017/18		2018/19
	Final Budgeted Standard	Estimated Actual	Budget Standard
The proportion of general random drug tests undertaken by prisoners that have a positive result	Less than 5%	4%	Less than 5%
The number of unnatural deaths	Nil	7	Nil
The percentage of Death in Custody reports for unnatural deaths, that are completed by the Office of the Inspectorate within six months of the death occurring	New measure 2018/19	80%	Greater than 80%
The number of prisoner on prisoner assaults that are serious	Lower than average across the past 5 years (46)	46	Not a measure for 2018/19
The rate of prisoner on prisoner assaults that are serious, per 100 prisoners	New measure for 2018/19	0.41	Less than or equal to 0.50
The number of prisoner on staff assaults that are serious	Lower than average across the past 5 years (13)	18	Not a measure for 2018/19
The rate of prisoner on staff assaults that are serious, per 100 prisoners	New measure for 2018/19	0.08	Less than or equal to 0.20
The number of justified complaints by prisoners to the Corrections Inspectorate upheld for not meeting process requirements	Less than 11	15	Not a measure for 2018/19
The number of justified complaints by prisoners to the Corrections Inspectorate upheld for reasons of materiality	Less than 12	5	Not a measure for 2018/19
The proportion of all complaints received to the Office of the Inspectorate that are acknowledged within 10 working days of receipt	New measure 2018/19	100%	100%
The proportion of all complaint investigations completed by the Office of the Inspectorate within three months of being received	New measure 2018/19	80%	Greater than 80%
The percentage of Health Centres in prisons that retained their Cornerstone accreditation status following completion of an annual review	100%	100%	100%
Sentences and Orders Served in the Community			
This category is intended to achieve safe management of sentences served in the community and the ability for offenders to be held to account for successfully completing their sentence or order.			
The proportion of community-based offenders who successfully complete their sentence or order	65% - 80%	80%	Greater than 75%
The proportion of community-based offenders being held to account by Probation staff for non-compliance	90%	90%	90%
The proportion of offenders on Electronic Monitoring (EM) who are reconvicted of a new offence whilst under EM Conditions	Less than 4%	4%	Not a measure for 2018/19

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Department of Corrections in its Annual Report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2017/18 Final Budgeted \$000	2018/19 Budget \$000	2019/20 Estimated \$000	2020/21 Estimated \$000	2021/22 Estimated \$000
Current Government						
Prisoner Volumes - Responding to Growth	2018/19	-	71,763	63,314	61,870	58,015
Offenders In The Community - Maintaining Public Safety	2018/19	-	22,465	25,867	29,085	33,081
Holidays Act Compliance	2017/18	16,000	-	-	-	-
Making the Prison Network More Safe, Humane and Effective	2017/18	33,000	-	-	-	-
Previous Government						
Sentences and Orders Served in the Community - Increased Investment	2017/18	10,133	11,539	13,016	14,562	14,562
Prisoner Volumes	2016/17	75,811	74,194	71,300	53,443	53,443
Prisoners at Risk of Self-harm and Suicide - Pilot	2017/18	425	850	850	850	850
Targeted Short-term Investments to Reduce Immediate Prison Capacity Pressures	2016/17	4,000	4,000	-	-	-
Safe, Confident and Resilient Communities: Investment in Policing	2017/18	2,860	8,450	16,580	27,300	27,300
Reform of Family Violence Law	2018/19	-	10,700	21,146	27,603	33,111
Corrections Financial Stability Post 2015/16	2016/17	52,825	52,825	52,825	52,825	52,825
Prison Capacity Build Programme - Phase One: Immediate Capacity Responses	2016/17	23,600	18,100	18,100	18,100	18,100
Mt Eden Corrections Facility Management Options	2016/17	5,000	5,000	5,000	5,000	5,000
Management of Offenders Returning to New Zealand	2015/16	3,200	2,700	2,200	2,200	2,200
Electronically Monitored Bail	2013/14	5,400	5,400	5,400	5,400	5,400

Reasons for Change in Appropriation

The increase in funding in the 2018/19 year is mainly to ensure that the Department is able to meet the costs associated with managing the continued growth forecast in the prison population, and the growing number of offenders being managed in the community.