

Vote Tertiary Education

APPROPRIATION MINISTER(S): Minister for Tertiary Education, Skills and Employment (M14)

APPROPRIATION ADMINISTRATOR: Ministry of Education

RESPONSIBLE MINISTER FOR MINISTRY OF EDUCATION: Minister of Education

Summary of the Vote

Overview

The Minister for Tertiary Education, Skills and Employment is responsible for appropriations in Vote Tertiary Education for the 2014/15 financial year covering the following:

- a total of just over \$15 million for services from the Ministry of Education
- a total of just over \$2,893 million for student achievement component and research funding provided to tertiary education institutions, educational services from the Tertiary Education Commission and services from the adult and community education sector and other non-departmental providers including Education New Zealand
- a total of just over \$25 million for tertiary scholarships, international education and other grants
- a total of just over \$1 million for miscellaneous grants to the sector, and
- a total of nearly \$101 million for capital grants to the sector.

Details of these appropriations are set out in Parts 2-4.

Estimates of Appropriations

Details of Appropriations and Capital Injections

Annual and Permanent Appropriations

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
Departmental Output Expenses			
Policy Advice (M14) This appropriation is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on Tertiary Education related matters.	5,347	5,347	5,347
Stewardship of the Tertiary System (M14) This appropriation is limited to services (other than policy advice) provided by the Ministry of Education in its role as steward of the tertiary education system. It includes services to support Ministers in discharging their portfolio responsibilities (other than policy decision-making).	9,690	9,690	10,110
Total Departmental Output Expenses	15,037	15,037	15,457
Non-Departmental Output Expenses			
Centres of Research Excellence (M14) This appropriation is limited to the purchase of cooperative and collaborative tertiary research in areas of research strength in the tertiary education sector through the contestable Centres of Research Excellence Fund.	32,754	32,754	40,586
Community Education (M14) This appropriation is limited to funding for adult and community education and literacy, numeracy and English language provision.	62,703	62,703	71,159
International Education Programmes (M14) This appropriation is limited to delivery of services in respect of international education, including promotion, information, research and professional development, both in New Zealand and overseas, for the purpose of managing and increasing the flow of international students and promoting international education linkages.	31,382	31,382	31,122
Managing the Government's Investment in the Tertiary Education Sector (M14) This appropriation is limited to developing, implementing and managing an investment system that aligns planning, funding, monitoring and quality assurance of tertiary education in accordance with the provisions of the Education Act 1989 and other relevant legislation.	41,891	41,891	40,845
Ownership Monitoring of Tertiary Education Institutions (M14) This appropriation is limited to monitoring and advisory services - including interventions - on the Government's ownership interest in tertiary education institutions.	2,567	2,567	2,567
Performance-Based Research Fund (M14) This appropriation is limited to funding research and research-based teaching on the basis of measured research quality in tertiary education organisations.	268,750	268,750	281,250
Tertiary Education and Training Advice (M14) This appropriation is limited to providing advice and support to Ministers on the tertiary sector and tertiary education and training issues.	3,837	3,837	3,837
Tertiary Education Grants and Other Funding (M14) This appropriation is limited to providing contestable funding and miscellaneous funding to tertiary education organisations.	24,296	24,296	24,217

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
Non-Departmental Output Expenses - cont'd			
Tertiary Education: Student Achievement Component (M14) This appropriation is limited to funding for teaching and learning services for enrolled students in approved courses at tertiary education organisations to achieve recognised tertiary qualifications.	2,051,418	2,028,418	2,063,391
Training for Designated Groups (M14) This appropriation is limited to the purchasing and arranging of training linked to the New Zealand Qualifications Framework and the purchase of both on-job and off-job training places, including delivery of fully or partially funded training places and other industry-training related projects.	314,858	312,858	334,411
Total Non-Departmental Output Expenses	2,834,456	2,809,456	2,893,385
Benefits or Related Expenses			
Apprenticeships Re-boot (M14) This appropriation is limited to payments to eligible apprentices towards their tools and other training related costs.	26,611	26,611	10,150
International Student Scholarship Scheme (M14) This appropriation is limited to scholarships available to international students wishing to study in New Zealand.	1,040	1,040	800
Study Abroad Awards (M14) This appropriation is limited to awards granted to provide assistance to top scholars, researchers and teachers to undertake placements abroad and to participate in reciprocal education exchange arrangements with partner countries in selected areas of priority study, teaching and research.	1,290	1,290	1,290
Tertiary Scholarships and Awards (M14) This appropriation is limited to providing scholarships for tertiary students and other awards in the tertiary sector, and the provision of scholarships and bursaries to Māori and Pasifika students. It includes training assistance under Queen Elizabeth II Study Awards and recognition of outstanding tertiary education teachers.	12,754	12,754	12,919
Total Benefits or Related Expenses	41,695	41,695	25,159
Non-Departmental Other Expenses			
Auckland University Starpath Project (M14) This appropriation is limited to funding to support the Starpath initiatives at the University of Auckland.	1,192	1,192	1,182
Reimbursement of Fees to ComputerPower Students (M14) This appropriation is limited to the reimbursement of fees for former ComputerPower students affected by the ComputerPower liquidation.	400	400	-
Tertiary Education Institutions Merger Support (M14) This appropriation is limited to providing support toward the additional costs related to the merger of particular tertiary education institutions.	1,500	1,500	-
Total Non-Departmental Other Expenses	3,092	3,092	1,182
Non-Departmental Capital Expenditure			
Literacy and Numeracy Assessment Tool (M14) This appropriation is limited to development of the online assessment tool for adult literacy and numeracy.	250	250	920
Support for the University of Canterbury (M14) This appropriation is limited to the rebuild of the Science and Engineering Facilities at the University of Canterbury.	110,000	110,000	100,000
Support for Trades Training (M14) This appropriation is limited to expansion and redevelopment of trades training at Christchurch Polytechnic Institute of Technology.	18,900	18,900	-
Total Non-Departmental Capital Expenditure	129,150	129,150	100,920
Total Annual and Permanent Appropriations	3,023,430	2,998,430	3,036,103

Supporting Information

Part 1 - Vote as a Whole

1.1 - New Policy Initiatives

Policy Initiative	Appropriation	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Additional Engineering Places	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	2,430	4,674	4,674	4,674	2,244
Additional Medical Places	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	-	615	1,831	3,136	4,535
Capital Investment to Support the University of Canterbury's Science and Engineering Rebuild	Support for the University of Canterbury Non-Departmental Capital Expenditure	110,000	100,000	-	-	-
Creative Industries - Vocational Pathways	Stewardship of the Tertiary System Departmental Output Expense	500	-	-	-	-
Drawdown to Progress the Tertiary Information Future State Programme	Stewardship of the Tertiary System Departmental Output Expense	1,280	1,253	367	593	-
Establish Additional Centres of Research Excellence	Managing the Government's Investment in the Tertiary Education Sector Non-Departmental Output Expense	-	500	-	-	-
	Centres of Research Excellence Non-Departmental Output Expense	-	6,791	15,846	14,941	14,941
Extension of New Zealand Apprenticeships Reboot	Training for Designated Groups Non-Departmental Output Expense	(18,000)	8,000	-	-	-
	Apprenticeships Re-boot Benefits or Related Expenses	2,000	8,000	-	-	-
Fee Reimbursement for Former ComputerPower Students Affected by ComputerPower Liquidation	Reimbursement of Fees to ComputerPower Students Non-Departmental Other Expense	400	-	-	-	-
Funding Rate Increases to Science, Agriculture and Selected Health Science	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	-	11,900	23,800	23,800	23,800
Improving the Performance of the Centres of Research Excellence Fund	Centres of Research Excellence Non-Departmental Output Expense	-	3,169	3,169	3,169	3,169

Policy Initiative	Appropriation	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Quality Teaching Agenda Contingency Drawdown	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	240	1,890	2,520	2,520	2,520
Reduction of Baseline of Student Achievement Component Level 3 and Above	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	-	(2,500)	(5,000)	(5,000)	(5,300)
Support the Expansion of Trades Training Delivery by Canterbury Polytechnic Institute of Technology	Support for Trades Training Non-Departmental Capital Expenditure	18,900	-	-	-	-
Supporting Better Public Services and the Business Growth Agenda within Vote Tertiary Education (see also Vote Education in the Education and Science Sector, Vote Social Development in the Social Development and Housing Sector and Vote Revenue in the Finance and Government Administration Sector)	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	5,479	8,862	2,509	4,039	5,031
	Training for Designated Groups Non-Departmental Output Expense	(2,000)	-	-	-	-
	Managing the Government's Investment in the Tertiary Education Sector Non-Departmental Output Expense	400	1,067	316	411	411
	Literacy and Numeracy Assessment Tool Non-Departmental Capital Expenditure	-	920	325	-	-
	Apprenticeships Re-boot Benefits or Related Expenses	9,000	-	-	-	-
Tertiary-Welfare Interface: Reprioritising Foundation Focussed Training Opportunities Funding	Tertiary Education: Student Achievement Component Non-Departmental Output Expense	2,900	5,800	5,800	5,800	5,800
	Community Education Non-Departmental Output Expense	4,351	8,700	8,700	8,700	8,700
Grand Total		137,880	169,641	64,857	66,783	65,851

1.2 - Trends in the Vote

Summary of Financial Activity

	2009/10	2010/11	2011/12	2012/13	2013/14		2014/15			2015/16	2016/17	2017/18
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Budgeted \$000	Estimated Actual \$000	Departmental Transactions Budget \$000	Non- Departmental Transactions Budget \$000	Total Budget \$000	Estimated \$000	Estimated \$000	Estimated \$000
Appropriations												
Output Expenses	2,005,412	2,187,078	2,689,158	2,718,559	2,867,493	2,867,493	15,457	2,893,385	2,908,842	2,924,088	2,934,733	2,934,892
Benefits or Related Expenses	22,797	16,825	14,948	14,362	39,695	39,695	N/A	25,159	25,159	18,185	17,858	18,113
Borrowing Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Other Expenses	801,861	547,060	127	3,450	3,092	3,092	-	1,182	1,182	-	-	-
Capital Expenditure	34,493	17,864	-	250	129,150	129,150	-	100,920	100,920	325	-	-
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-	-	N/A	-	-	-	-
Multi-Category Expenses and Capital Expenditure (MCA)												
<i>Output Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Other Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Capital Expenditure</i>	-	-	-	-	-	-	N/A	-	-	-	-	-
Total Appropriations	2,864,563	2,768,827	2,704,233	2,736,621	3,039,430	3,039,430	15,457	3,020,646	3,036,103	2,942,598	2,952,591	2,953,005
Crown Revenue and Capital Receipts												
Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Non-Tax Revenue	48,263	14,441	11,055	12,672	6,068	6,068	N/A	-	-	-	-	-
Capital Receipts	1,000	1,554	-	-	-	-	N/A	-	-	-	-	-
Total Crown Revenue and Capital Receipts	49,263	15,995	11,055	12,672	6,068	6,068	N/A	-	-	-	-	-

Note - where restructuring of the vote has occurred then, to the extent practicable, prior years information has been restated as if the restructuring had occurred before the beginning of the period covered.

Adjustments to the Summary of Financial Activity Table due to Vote Restructuring

	2009/10 Adjustments \$000	2010/11 Adjustments \$000	2011/12 Adjustments \$000	2012/13 Adjustments \$000	2013/14 Budgeted Adjustments \$000	2013/14 Estimated Actual Adjustments \$000
Appropriations						
Output Expenses	2,005,412	2,187,078	-	-	-	-
Benefits or Related Expenses	22,797	16,825	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-
Other Expenses	801,861	547,060	-	-	-	-
Capital Expenditure	34,493	17,864	-	-	-	-
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-
Multi-Category Expenses and Capital Expenditure (MCA)						
<i>Output Expenses</i>	-	-	-	-	-	-
<i>Other Expenses</i>	-	-	-	-	-	-
<i>Capital Expenditure</i>	-	-	-	-	-	-
Total Appropriations	2,864,563	2,768,827	-	-	-	-
Crown Revenue and Capital Receipts						
Tax Revenue	-	-	-	-	-	-
Non-Tax Revenue	48,263	14,441	-	-	-	-
Capital Receipts	1,000	1,554	-	-	-	-
Total Crown Revenue and Capital Receipts	49,263	15,995	-	-	-	-

The prior year information in the Summary of Financial Activity table has been restated to reflect the current Vote structure. Vote Tertiary Education was established out of Vote Education from 2011/12. The table includes for the years 2009/10 and 2010/11 all amounts relating to appropriations, revenue and receipts that were in those years in Vote Education, but from 2011/12 are in Vote Tertiary Education.

1.3 - Analysis of Significant Trends

Vote Tertiary Education was established from 2011/12 with appropriations transferred from Vote Education.

Significant changes in departmental and non-departmental appropriations related to tertiary and international education between 2009/10 and 2017/18 are discussed briefly below.

Departmental Expenditure

Movements in departmental expenditure largely relate to:

- international education initiatives, including the establishment of a new Crown entity - Education New Zealand - from 2011/12, and
- transfers of some activities between the Ministry of Education and the Tertiary Education Commission.

Non-Departmental Outputs

Most tertiary education funding is found in non-departmental output expenses. The major changes to these appropriations over the forecast period are principally owing to:

- transfers of the balance of enrolment-related student achievement funding to outputs from non-departmental other expenses from January 2011
- Adult and Community Education, Performance-Based Research Fund and Tertiary Education Grants and Other Funding appropriations also being transferred from other expenses from 2011/12
- attracting new learners into tertiary education and funding additional places to increase the proportion of young people with higher-level qualifications to meet the Better Public Services 2017 target
- implementation and expansion of the Youth Guarantee programme, including provision of fees-free places at tertiary institutions
- phasing out some work-based education and training programmes from 2009/10, removal of regulatory compliance training from 2012 and further reducing industry training funding to align with estimated demand in 2013
- recent initiatives to revitalise the industry training system, introduce New Zealand Apprenticeships and expand Māori and Pasifika trades training
- ensuring the country's skills base supports the needs of industry and encourages innovation - strengthening provision in areas of specific priority, such as engineering and science, with targeted increases in related tuition subsidies and closing the gap in funding between private training and public providers
- additional funding for research, increasing the Performance-Based Research Fund to \$300 million annually by 2016 and increasing the Centres of Research Excellence fund, and
- increased funding for international education, including promotions.

Benefits or Related Expenses

Changes in benefit expenses are largely related to:

- apprenticeships 're-boot' between 2012/13 and 2014/15, and
- phasing out of tertiary doctoral and enterprise scholarships, doctoral research and undergraduate international student scholarships and capping tertiary study abroad awards from 2009/10.

Non-Departmental Other Expenses

Significant changes in these appropriations mainly reflect:

- the transfer of other enrolment-related funding to outputs from 1 January 2011, following the transfer of student achievement component funding from 1 January 2008, and
- Adult and Community Education, Performance-Based Research Fund and Tertiary Education Grants and Other Funding appropriations also being transferred to non-departmental output expenses from 2011/12.

Non-Departmental Capital Expenditure

The major changes in non-departmental capital expenditure relate mainly to:

- investment in tertiary education institutions at the start of the period, including support for public/private ventures under the Partnerships for Excellence facility
- consideration for the capitalisation of Wānanga and provision for their future needs, and
- assisting Christchurch institutions during 2013/14 and 2014/15 to recover from the impact of the 2010 and 2011 earthquakes.

Revenue

Significant changes between years include recoveries from the Tertiary Education Commission and the sector and repayment of principal on loans to tertiary education institutions.

Part 2 - Details of Departmental Appropriations

2.1 - Departmental Output Expenses

Policy Advice (M14)

Scope of Appropriation

This appropriation is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on Tertiary Education related matters.

Expenses and Revenue

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	5,347	5,347	5,347
Revenue from the Crown	5,347	5,347	5,347
Revenue from Others	-	-	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the provision of quality advice to the Minister to ensure that tertiary education policy and programmes support the performance of the tertiary education system.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Independent assessment of the quality of the Ministry's policy advice. (See Note 1)	70%	70%	70%
The satisfaction rating given by Ministers for the quality and timeliness of policy advice (as per the Common Satisfaction Survey). (See Note 2)	7	7	7
The total cost per hour per person of producing policy outputs. (See Note 3)	\$116.00	\$116.00	\$112.00

Note 1 - The independent assessment of the Ministry's policy advice will have a technical robustness score, using the measurement of robustness provided by the Treasury.

Note 2 - The Common Satisfaction Survey rating measures Ministers' satisfaction with the quality, timeliness and value for money of policy advice on a scale from 1-10, where 1 means unsatisfied and 10 means extremely satisfied.

Note 3 - This measure provides the total cost of an hour of professional staff time devoted to both policy advice and other policy outputs. Total cost includes the cost of labour, overheads, support staff, direct costs and outsourced work to support production.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Ministry of Education in its annual report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Efficiency Savings Ministry of Education	2012/13	(225)	(225)	(225)	(225)	(225)
Savings - Reduce Policy Advice Expenditure (See Note 1)	2010/11	(239)	(239)	(239)	(239)	(239)

Note 1 - Initiatives prior to 2011/12 were originally appropriated under Strategic Leadership in the Tertiary System.

Stewardship of the Tertiary System (M14)*Scope of Appropriation*

This appropriation is limited to services (other than policy advice) provided by the Ministry of Education in its role as steward of the tertiary education system. It includes services to support Ministers in discharging their portfolio responsibilities (other than policy decision-making).

Expenses and Revenue

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	9,690	9,690	10,110
Revenue from the Crown	9,690	9,690	10,110
Revenue from Others	-	-	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve a shared strategic direction and alignment across the education system.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Tertiary and International Research and Analysis			
All major research, evaluation and analysis projects are peer reviewed for quality and to ensure the objectives have been met.	100%	100%	100%
Research programmes are provided within the agreed timeframes.	100%	100%	100%
Monitoring the Tertiary System			
Forecasts of student loans and allowances are accurate.	Forecasts are accurate to within an average of 3% and a maximum of 5% of actual values.	Forecasts are accurate to within an average of 3% and a maximum of 5% of actual values.	Forecasts are accurate to within an average of 3% of actual values.
The Government's ownership interest in Crown agencies is explicitly measured and monitored through performance monitoring quarterly reports that are submitted to the Minister for Tertiary Education, Skills and Employment.	100%	100%	100%
Provision of Tertiary and Other Information			
The quality and range of the Ministry's analytical outputs is assessed through an independent expert review. (See Note 1)	The quality of analytical outputs is assessed as 'Good' or better.	The quality of analytical outputs is assessed as 'Good' or better.	The quality of analytical outputs is assessed as 'Good' or better.
Support for International Education			
International obligations and commitments are delivered on time, as specified in bilateral and multilateral agreements with other countries.	100%	100%	100%
Support for the Tertiary Minister			
The percentage of Ministerial Correspondence provided that is factually accurate, meets any legislative requirements and contains no avoidable errors, measured by rejection rates by the Office of the Minister.	New measure	95%	95%
The percentage of Parliamentary Question responses that are factually accurate, meet any legislative requirements and contain no avoidable errors, measured by rejection rates by the Office of the Minister.	New measure	95%	95%
The percentage of Ministerial Official Information Act Request responses that are factually accurate, meet any legislative requirements and contain no avoidable errors, measured by rejection rates by the Office of the Minister.	New measure	95%	95%
The percentage of Select Committee examination responses that are factually accurate, meet any legislative requirements and contain no avoidable errors, measured by rejection rates by the Office of the Minister.	New measure	95%	95%
Ministerial Correspondence replies completed within 20 working days of receipt by the Ministry, unless otherwise agreed.	New measure	95%	95%
Parliamentary question responses provided to the Minister's Office so that answers can meet the timeframe set in Parliamentary Standing Orders.	New measure	98%	95%
Ministerial Official Information Act request replies completed five days prior to the statutory time limit, unless otherwise agreed.	New measure	95%	95%
Responses to Select Committee examinations provided to the Minister's Office so that answers can meet the timeframe set by the Committee(s).	New measure	95%	95%

Note 1 - Based on a five-point rating scale: 1 = Very poor; 2 = Poor; 3 = Acceptable; 4 = Good; 5 = Very good.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Ministry of Education in its annual report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Creative Industries - Vocational Pathways	2013/14	500	-	-	-	-
Contingency Drawdown to Progress the Tertiary Information Future State Programme	2013/14	1,280	1,253	367	593	-
Programme for International Assessment of Adult Competencies	2012/13	1,560	2,520	330	330	330
Efficiency Savings Ministry of Education	2012/13	(196)	(196)	(196)	(196)	(196)
Establishment of the New Crown Agency for International Education - Education New Zealand	2011/12	(3,540)	(3,540)	(3,540)	(3,540)	(3,540)

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education.

Reasons for Change in Appropriation

The increase in appropriation is mainly owing to ongoing participation in a programme for international assessment of adult competencies, as agreed in Budget 2012 (\$960,000 increase) partly offset by provision for development of a creative industries vocational pathway and associated materials in 2013/14 only (\$500,000 decrease).

This appropriation was previously called Strategic Leadership in the Tertiary System.

Part 3 - Details of Non-Departmental Appropriations

3.1 - Non-Departmental Output Expenses

Centres of Research Excellence (M14)

Scope of Appropriation

This appropriation is limited to the purchase of cooperative and collaborative tertiary research in areas of research strength in the tertiary education sector through the contestable Centres of Research Excellence Fund.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	32,754	32,754	40,586

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve delivery of high quality research by purchasing cooperative and collaborative research in areas of research strength in the tertiary education sector.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Centres of Research Excellence Annual Plans are assessed against assessment criteria and have been revised, where appropriate, by end of March.	Achieved	Achieved	Achieved
Centres of Research Excellence Annual Reports are reviewed against research plans and meet assessment criteria.	Achieved	Achieved	Achieved

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Consortiums of Universities (7)	32,754	32,754	40,586	See above	Six contracts in effect from July 2008 until July 2014, one contract runs until January 2015
Total	32,754	32,754	40,586		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Improving the Performance of the Centres of Research Excellence Fund	2014/15	-	3,169	3,169	3,169	3,169
Establish additional Centres of Research Excellence	2014/15	-	6,791	15,846	14,941	14,941

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education.

Reasons for Change in Appropriation

The increase in the appropriation is owing to the establishment of additional centres of research excellence (\$6.791 million increase) and a programmed increase in the Fund to reflect increasing costs and research activity (\$1.041 million increase).

Community Education (M14)*Scope of Appropriation*

This appropriation is limited to funding for adult and community education and literacy, numeracy and English language provision.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	62,703	62,703	71,159

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Adult and Community Education	22,597	22,597	22,890
Literacy and Numeracy Provision	30,840	30,840	34,048
English for Speakers of Other Languages	9,266	9,266	13,106
Emergency Management Pool	-	-	1,115

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve improvement in literacy and numeracy skills for learners who have low skills in these areas by funding foundational learning programmes.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Adult and community education			
Total number of school-based adult and community education hours funded.	395,000	388,678	395,000
Number of Domestic Equivalent Full-time Students (EFTS) in Tertiary Education Institutions-based programmes (including Search and Rescue short courses). (See Note 1)	1,731	1,808	1,808
Percentage of learners from the priority groups identified in the Tertiary Education Strategy (Māori, Pasifika, learners with English language needs, learners with no formal qualifications).	50%	50%	50%
Literacy and numeracy			
Intensive Literacy and Numeracy - total number of learners. (See Note 2)	4,750	4,883	5,088
English for Speakers of Other Languages (ESOL) - total number of learners, including:	New measure	2,463	3,507
• ESOL number of learners, and	New measure	1,929	2,952
• refugee English number of learners.	New measure	534	555
Workplace Literacy Fund - total number of learners, including:	8,900	5,874	6,513
• Tertiary Education Organisations lead	2,800	3,183	3,594
• employee targeted, and	2,200	1,897	2,069
• employer lead.	700 - 1,000	794	700 - 1,000

Note 1 - The increase in EFTS for Tertiary Education Institutions-based programmes is owing to the inclusion of Search and Rescue short courses.

Note 2 - The estimated actual standards for both Intensive Literacy and Numeracy and ESOL for 2013/14 have increased because additional funding was transferred through the cessation of Foundation Focused Training Opportunities.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Universities (8)	1,223	1,223	3,530	See above	Investment plans up to three years
Wānanga (3)	2,207	2,207	2,486	See above	Investment plans up to three years
Institutes of Technology and Polytechnics (18)	10,968	10,968	10,429	See above	Investment plans up to three years
Schools	3,501	3,501	4,327	See above	Investment plans up to three years
Non-Government Organisations					
Private Training Establishments	35,950	35,950	32,877	See above	Investment plans up to three years
Rural Education Activities Programmes (13)	2,487	2,487	12,591	See above	Investment plans up to three years
Employers	2,632	2,632	1,043	See above	Investment plans up to three years
Community Education Providers	3,735	3,735	3,876	See above	Investment plans up to three years
Total	62,703	62,703	71,159		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Tertiary-Welfare Interface: Reprioritising Foundation Focused Training Opportunities Funding	2013/14	4,351	8,700	8,700	8,700	8,700
Adult and Community Education in Community Organisations	2012/13	575	700	700	700	700
Unallocated Funding from Literacy, Language and Numeracy Top-ups	2012/13	(6,399)	(6,399)	(6,399)	(6,399)	(6,399)
Remove Funding for Adult and Community Education in Universities	2012/13	(1,543)	(1,543)	(1,543)	(1,543)	(1,543)
Funding for Refugees' English for Speakers of Other Languages Programmes	2011/12	5,000	5,000	5,000	5,000	5,000

Note - Initiatives between 2011/12 and 2012/13 were originally appropriated under non-departmental output expense Adult and Community Education. Initiatives prior to 2011/12 were originally appropriated in Vote Education under non-departmental other expense Adult and Community Education.

Reasons for Change in Appropriation

The increase in appropriation for 2014/15 is mainly owing to:

- the full year effect of providing for specialist literacy and numeracy training in the workplace (\$2.699 million increase) and funding for beneficiaries to be referred to specialist English for Speakers of Other Languages providers or to address intensive literacy and numeracy needs (\$4.349 million increase) which were transferred to this appropriation in 2013/14, and
- the establishment of the emergency management volunteer training pool (\$1.115 million increase).

Conditions on Use of Appropriation

Reference	Conditions
Section 159L Education Act 1989	Funding is allocated by the Tertiary Education Commission in accordance with funding determinations issued by the Minister for Tertiary Education, Skills and Employment.

International Education Programmes (M14)

Scope of Appropriation

This appropriation is limited to delivery of services in respect of international education, including promotion, information, research and professional development, both in New Zealand and overseas, for the purpose of managing and increasing the flow of international students and promoting international education linkages.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	31,382	31,382	31,122

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve an increase in New Zealand's economic value from international students studying in New Zealand and delivering education products and services offshore.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Percentage of participating institutions that are satisfied or above with the quality of Education New Zealand's promotional events. (See Note 1)	85%	85%	90%
Number of referrals to institutional websites from Education New Zealand's student portal in targeted markets.	27,000	40,000	60,000
Industry professional development programme: Percentage of participants that are satisfied or above with the quality of Education New Zealand's capability development programmes/seminars for their international education sector or sub-sector. (See Notes 1 and 2)	80%	80%	85%
Industry professional development programme: Number of professional development events/seminars held.	10	12	12
Number of country or sector-specific roadshows/conferences held to assist the industry in networking and to identify business opportunities. (See Note 2)	3	5	5
Number of commercial interactions worked on with the New Zealand international education industry and/or foreign governments/education providers. (See Note 2)	200	200	250

Note 1 - Satisfaction survey ratings = Excellent, Mostly Happy, Satisfied, Some Concerns, Dissatisfied.

Note 2 - The New Zealand international education industry encompasses six sectors: universities, institutes of technology, private training establishments, English language providers, schools, and offshore education service providers.

End of Year Performance Reporting

Performance information for this appropriation will be reported by Education New Zealand in its annual report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
International Education Initiatives	2013/14	10,000	10,000	10,000	10,000	10,000
Establishment of the New Crown Agency for International Education - Education New Zealand	2011/12	4,040	4,040	4,040	4,040	4,040
International Education Promotions	2011/12	11,500	11,000	10,000	10,000	10,000
International Education Promotions	2010/11	2,000	2,000	2,000	2,000	2,000

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education.

Reasons for Change in Appropriation

The decrease in appropriation is owing to reduced expenditure on initial establishment programmes (\$500,000 decrease) partly offset by a transfer to benefits or related expenses International Student Scholarship Scheme in 2013/14 only (\$240,000 increase).

Conditions on Use of Appropriation

Reference	Conditions
Cabinet decision	<p>This appropriation follows Cabinet decisions in 2004 to fund a work programme for the development of the international education sector and 2011 decisions to create a Crown agent and increase the promotion of the sector.</p> <p>The work programme includes support for promotions, market research, professional development and operational funding for the Crown entity - Education New Zealand.</p> <p>The work programme is managed by Education New Zealand, which has responsibility for international education promotions and marketing, education representation, sector development and scholarships and business innovation programmes.</p>

Managing the Government's Investment in the Tertiary Education Sector (M14)

Scope of Appropriation

This appropriation is limited to developing, implementing and managing an investment system that aligns planning, funding, monitoring and quality assurance of tertiary education in accordance with the provisions of the Education Act 1989 and other relevant legislation.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	41,891	41,891	40,845

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Tertiary Education Commission	41,782	41,782	40,736
Māori Education Trust	109	109	109

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve effective investment in the tertiary education sector by ensuring that education, training and research activities align with Government priorities and outcomes for tertiary education.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
Assessment of Performance	Budgeted Standard	Estimated Actual Standard	Budget Standard
Plans for tertiary education organisations demonstrate evidence of alignment with employer, community and learner needs.	100%	100%	100%
Plans for tertiary education organisations include targets for improving achievement rates for priority groups in the Tertiary Education Strategy.	100%	100%	100%
Payments are made to tertiary education organisations as per the agreed contractual terms and conditions.	100%	100%	100%
Number of tertiary education organisations audited by the Tertiary Education Commission (TEC).	25 - 30 audits	25 - 30 audits	25 - 30 audits
Number of evaluations and quantitative studies of TEC initiatives undertaken.	3 evaluations and studies completed	3 evaluations and studies completed	3 evaluations and studies completed
Evaluations and quantitative studies of TEC initiatives are undertaken in accordance with agreed standards.	100%	100%	100%
Evaluations and quantitative studies of TEC initiatives are completed within agreed timeframes.	100%	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Tertiary Education Commission	41,782	41,782	40,736	See above	Ongoing
Non-Government Organisations					
Māori Education Trust	109	109	109	See above	Ongoing
Total	41,891	41,891	40,845		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Establish Additional Centres of Research Excellence	2014/15	-	500	-	-	-
Supporting Better Public Services and the Business Growth Agenda within Vote Tertiary Education	2013/14	400	1,067	316	411	411
Developing a Youth Option in the Literacy and Numeracy for Adults Assessment Tool	2013/14	93	165	165	165	165
Centres of Research Excellence	2013/14	500	-	-	-	-
New Zealand Benchmarking Tool	2012/13	1,260	-	-	-	-
Contribution to the Establishment and Operating Costs of the Productivity Commission	2010/11	(150)	(150)	(150)	(150)	(150)

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education.

Reasons for Change in Appropriation

The decrease in appropriation is mainly owing to completion of work on the New Zealand Benchmarking Tool (\$1.260 million decrease), provision for the Skills Highway programme to provide for more industry engagement with the Tertiary Education Commission on literacy and numeracy programmes (\$300,000 decrease), partially offset by support for Better Public Services and the Business Growth Agenda (\$667,000 increase).

Ownership Monitoring of Tertiary Education Institutions (M14)

Scope of Appropriation

This appropriation is limited to monitoring and advisory services - including interventions - on the Government's ownership interest in tertiary education institutions.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	2,567	2,567	2,567

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve protection of the Crown's ownership interest in Tertiary Education Institutions through monitoring risks and financial viability and providing advice on tertiary education institution council appointments.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Ownership risks are assessed and appropriate mitigation strategies are put in place.	100%	100%	100%
Ownership risks are reported and advice is provided to the Minister.	100%	100%	100%
Recommendations on ministerial appointments to tertiary education institution councils are made and the appointment process is managed effectively and in a timely way.	100%	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Performance-Based Research Fund (M14)*Scope of Appropriation*

This appropriation is limited to funding research and research-based teaching on the basis of measured research quality in tertiary education organisations.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	268,750	268,750	281,250

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Quality Evaluation element	161,250	161,250	168,750
Research Degree Completions element	67,187	67,187	70,312
External Research Income element	40,313	40,313	42,188

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve an increase in, or maintain the quality of, research and research-based teaching and learning and to improve investment in research within the tertiary sector.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
Assessment of Performance	Budgeted Standard	Estimated Actual Standard	Budget Standard
Research degree completions (measured by Performance-Based Research Fund (PBRF) - eligible research degree completions). (See Note 1)	3,350	3,350	3,350
Percentage increase in amount of external income for PBRF - eligible providers. (See Note 2)	4%	4%	4%

Note 1 - This information can only be provided by calendar year - the most recent confirmed calendar year of data for research degree completions and external research income is 2010.

Note 2 - This information can only be provided by calendar year - the most recent unconfirmed data (used for 2014 indicative funding) is from 2012.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Universities (8)	261,338	261,338	273,386	See above	Investment plans up to three years
Institutes of Technology and Polytechnics (18)	6,472	6,472	6,913	See above	Investment plans up to three years
Wānanga (3)	349	349	453	See above	Investment plans up to three years
Non-Government Organisations					
Private Training Establishments	591	591	498	See above	Investment plans up to three years
Total	268,750	268,750	281,250		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Increasing the Size of the Performance-based Research Fund	2012/13	18,750	31,250	43,750	50,000	50,000

Reasons for Change in Appropriation

The increase in the appropriation for 2014/15 is owing to the Budget 2012 commitment to expand the Performance-Based Research Fund to \$300 million per annum by 2016 (\$12.500 million increase).

Conditions on Use of Appropriation

Reference	Conditions
Cabinet decision	Funding in this appropriation is provided as part of the bulk grant to tertiary education organisations on the basis of research performance. All degree-awarding tertiary education organisations are eligible for funding.
	<p>The Performance-Based Research Fund is allocated on the basis of three measures:</p> <ul style="list-style-type: none"> • 60% of the allocation on the basis of performance in the PBRF Quality Evaluation to encourage the quality of research • 25% of the allocation on the basis of postgraduate research degree completions, and • 15% of the allocation on the basis of external research income earned by the organisation.

Tertiary Education and Training Advice (M14)

Scope of Appropriation

This appropriation is limited to providing advice and support to Ministers on the tertiary sector and tertiary education and training issues.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	3,837	3,837	3,837

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the delivery of timely and high quality advice and support to Ministers on the tertiary sector and tertiary education and training issues.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Percentage of ministerial items provided to Ministers requiring redraft.	Less than 5%	Less than 5%	Less than 5%
Percentage of ministerial items provided to Ministers within agreed and/or statutory timeframes.	95%	91%	95%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Reprioritised Tertiary Education Commission Policy Advice Expenditure	2010/11	(500)	(500)	(500)	(500)	(500)

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education under non-departmental output expense Tertiary Education and Training Policy Advice.

Tertiary Education Grants and Other Funding (M14)*Scope of Appropriation*

This appropriation is limited to providing contestable funding and miscellaneous funding to tertiary education organisations.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	24,296	24,296	24,217

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
National Centre for Tertiary Teaching Excellence	3,556	3,556	3,556
Equity Loading	15,559	15,559	15,897
Other Funding	5,181	5,181	4,764

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve improvement in educational outcomes for priority learner groups and enhance the educational capability of tertiary education organisations by investing in activities focused on these groups and organisations.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Plans and funding agreements are consistent with funding rules and objective of fund.	100%	100%	100%

This appropriation includes a broad range of funds with specific targeted impacts primarily aimed at improving educational outcomes for priority learner groups and enhancing the educational capability of tertiary education organisations.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Universities (8)	12,773	12,773	12,909	See above	Investment plans up to three years
Institutes of Technology and Polytechnics (18)	3,992	3,992	3,894	See above	Investment plans up to three years
Wānanga (3)	3,358	3,358	3,360	See above	Investment plans up to three years
Non-Government Organisations					
Industry Training Organisations	1,000	1,000	1,000	See above	Investment plans up to three years
Private Training Establishments	3,173	3,173	3,054	See above	Investment plans up to three years
Total	24,296	24,296	24,217		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Tertiary Equity Funding	2013/14	640	1,478	1,881	2,297	2,730
Approval for New Secondary-Tertiary Interface Appropriation	2012/13	(10,763)	(11,076)	(11,076)	(11,076)	(11,076)
Active Management of Tertiary Education Investment Between Now and Budget 2013 to Achieve Government Policy Priorities	2012/13	500	-	-	-	-
Drawdown of Funding from Skills for Canterbury Contingency to Support 'He Toki ki te Rika 2.0'	2012/13	218	-	-	-	-
Youth Guarantee - Trades Academies, Service Academies and Sector Pathways	2010/11	10,763	11,076	11,076	11,076	11,076
Tertiary Assets Technical Adjustment	2010/11	(51,037)	(51,037)	(51,037)	(51,037)	(51,037)

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education under non-departmental other expense Tertiary Education Grants and Other Funding.

Reasons for Change in Appropriation

The decrease in this appropriation is owing to support for 'He Toki ki te Rika 2.0' (\$218,000 decrease), full-year effect of adjustments for community education that began from 1 January 2014 (\$199,000 decrease), partly offset by increased provision for equity funding as agreed in Budget 2013 (\$338,000 increase).

Conditions on Use of Appropriation

Reference	Conditions
Section 159L Education Act 1989	Funding is allocated by the Tertiary Education Commission in accordance with funding determinations issued by the Minister for Tertiary Education, Skills and Employment.

Tertiary Education: Student Achievement Component (M14)

Scope of Appropriation

This appropriation is limited to funding for teaching and learning services for enrolled students in approved courses at tertiary education organisations to achieve recognised tertiary qualifications.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	2,051,418	2,028,418	2,063,391

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Provision at levels 1 and 2 (See Note 1)	107,704	107,704	103,106
Provision at levels 3 and above (See Note 1)	1,929,194	1,906,194	1,950,850
• Universities	1,135,611	1,131,616	1,151,772
• Institutes of Technology and Polytechnics	487,192	477,056	487,355
• Wānanga	125,623	125,623	128,990
• Private Training Establishments	180,768	171,899	182,733
Skills for Canterbury	14,280	14,280	7,312
• Institutes of Technology and Polytechnics	11,407	11,407	5,704
• Private Training Establishments	2,873	2,873	1,608
Quality Teaching Agenda	240	240	2,123

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve learners' attainment of recognised tertiary qualifications by funding education and training opportunities.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
Assessment of Performance	Budgeted Standard	Estimated Actual Standard	Budget Standard
Number of Domestic Equivalent Full-time Students (EFTS) at levels 1 and 2. (See Note 1)	14,656 ±3%	14,623	13,390 ±3%
Number of Domestic Equivalent Full-time Students (EFTS) at level 3 and above by sub-sector: (See Note 1)			
• Universities	112,041 ±5%	117,898	110,900 ±5%
• Institutes of Technology and Polytechnics (ITP)	54,438 ±5%	57,472	53,563 ±5%
• Wānanga	16,969 ±5%	18,093	17,140 ±5%
• Private Training Establishments.	22,996 ±5%	23,845	22,292 ±5%
Total EFTS	231,965	231,931	228,016

Note 1 - From the 2013 calendar year, the Tertiary Education: Student Achievement Component appropriation is structured primarily by level on the New Zealand Qualifications Framework rather than sub-sector. There will be a defined funding pool for education at levels 1 and 2, and a further funding pool for levels 3 and above. For levels 3 and above the standards estimate the number of EFTS to be delivered by each sub-sector.

Total EFTS is the expected number of EFTS that will be achieved in the Student Achievement Component. The sub-sector standards do not add to total EFTS because of the ±3% and ±5% tolerances.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Universities (8)	1,138,560	1,134,565	1,160,793	See above	Investment plans up to three years
Institutes of Technology and Polytechnics (18)	549,914	539,778	542,455	See above	Investment plans up to three years
Wānanga (3)	164,548	164,548	162,899	See above	Investment plans up to three years
Non-Government Organisations					
Private Training Establishments	198,396	189,527	197,244	See above	Investment plans up to three years
Total	2,051,418	2,028,418	2,063,391		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Additional Medical Places	2014/15	-	615	1,831	3,136	4,535
Funding Rate Increases to Science, Agriculture and Selected Health Science	2014/15	-	11,900	23,800	23,800	23,800
Reduction of Baseline of Student Achievement Component Level 3 and Above	2014/15	-	(2,500)	(5,000)	(5,000)	(5,300)
Supporting Better Public Services and the Business Growth Agenda within Vote Tertiary Education	2013/14	5,479	8,862	2,509	4,039	5,031
Quality Teaching Agenda Contingency Drawdown	2013/14	240	1,890	2,520	2,520	2,520
Additional Engineering Places	2013/14	2,430	4,674	4,674	4,674	2,244
Tertiary-Welfare Interface: Reprioritising Foundation Focused Training Opportunities Funding	2013/14	2,900	5,800	5,800	5,800	5,800
Additional Medical Student Places	2013/14	362	1,077	1,845	2,668	3,483
Targeted Tuition Subsidy Increase for Engineering	2013/14	1,270	2,590	2,690	2,790	2,840
Targeted Tuition Subsidy Increase for Science	2013/14	2,525	5,075	5,125	5,175	5,200
Equalising Private Training Establishment Funding Rates	2013/14	4,100	8,200	8,200	8,200	8,200
Expansion of Māori and Pasifika Trades Training	2013/14	2,500	4,700	6,000	6,000	6,000
Appropriation and Drawdown of Skills for Canterbury Funding for 2013	2012/13	7,872	-	-	-	-
Active Management of Tertiary Education Investment Between Now and Budget 2013 to Achieve Government Policy Priorities	2012/13	5,780	2,600	-	-	-
Reducing the Funding Rate Difference Between Public and Private Providers	2012/13	8,431	8,427	8,427	8,427	8,427
Extend the Youth Guarantee	2012/13	(14,955)	(21,934)	(23,928)	(23,928)	(23,928)
Increase Funding Rates for Engineering	2012/13	11,990	11,990	11,990	11,990	11,990
Increase Funding Rates for Science	2012/13	4,853	4,853	4,853	4,853	4,853
Increasing the Subsidy for Pilot Training	2012/13	408	408	408	408	408
Tutor Support for Special Education	2012/13	635	704	671	671	671
Managing Demand at Universities in 2013	2012/13	4,456	-	-	-	-
Targeted Tuition Subsidy Increases - Degrees and Postgraduate Study	2011/12	26,820	26,820	26,820	26,820	26,820
Additional Equivalent Full-Time Students - Private Training Establishments	2011/12	5,250	5,250	5,250	5,250	5,250
Equalising the Funding Rate for Postgraduate Courses Across Sub-sectors	2011/12	3,260	3,260	3,260	3,260	3,260
Youth Guarantee - Increasing the Number of Fees-Free Places	2011/12	(33,177)	(33,177)	(33,177)	(33,177)	(33,177)
Additional Medical Student Places	2011/12	3,650	5,230	6,762	7,517	7,517
Youth Guarantee - Additional 500 Places	2010/11	6,676	6,676	6,676	6,676	6,676
Price Increase to Tuition Subsidies	2010/11	41,989	42,011	42,011	42,011	42,011

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government - cont'd						
Maintain 2010 EFTS Baseline in the Universities and Polytechnics	2010/11	31,700	31,700	31,700	31,700	31,700
Savings - Student Support Changes	2010/11	(11,656)	(11,656)	(11,656)	(11,656)	(11,656)
Additional Equivalent Full-Time Students - Tuition Subsidies	2010/11	10,069	10,069	10,069	10,069	10,069
Savings - Reduce Funding Ratio for Other Tertiary Education Providers	2010/11	(949)	(949)	(949)	(949)	(949)
20 Additional Medical Places	2010/11	2,564	3,315	3,685	3,685	3,685
Youth Guarantee - Maintain Baseline	2011/12	12,374	12,374	12,374	12,374	12,374
Simplifying the Tertiary Education Funding System	2010/11	271,030	271,216	271,216	271,216	271,216
Withdraw Student Achievement Component Funding for Regulatory Compliance Qualifications from 2011	2010/11	(6,188)	(6,188)	(6,188)	(6,188)	(6,188)

Note - Initiatives prior to 2011/12 were originally appropriated in Vote Education.

Reasons for Change in Appropriation

The increase in appropriation for 2014/15 is mainly owing to a combination of:

- full year effect of increased tuition rates for engineering, science, agriculture and selected health science courses, and improved funding rates for private training establishments (\$19.870 million increase)
- additional medical training places agreed in Budgets 2011, 2013 and 2014 (\$5.272 million increase)
- a transfer in 2013/14 to benefits or related expenses appropriation Apprenticeships Re-boot to extend the programme (\$2.979 million increase)
- additional fees-free provision for 20- to 24- year-olds at Levels 1 and 2 of the New Zealand Qualifications Framework (\$2.900 million increase)
- provision for additional engineering places from 2014-2017 (\$2.244 million increase)
- delivery of exemplary postgraduate initial teacher education programmes (\$1.650 million increase)
- the full impact of increasing the number of fees-free places from 2012 under the Youth Guarantee initiative (\$6.979 million decrease)
- additional Pasifika Trades Training Initiative places and Priority Trades equivalent full-time students at Institutes of Technology and Polytechnics and private training establishments for 2013 (\$5.672 million decrease)
- managing demand at universities in 2013 (\$4.456 million decrease), and
- additional funding for Lincoln University for 2012-2014 and additional medical training places in 2013 (\$3.180 million decrease).

Conditions on Use of Appropriation

Reference	Conditions
Section 159L Education Act 1989	Funding is allocated by the Tertiary Education Commission in accordance with funding determinations issued by the Minister for Tertiary Education, Skills and Employment. The Tertiary Education: Student Achievement Component provides for the Government's share of the cost of delivering courses to students. The amount that a provider receives through this component is calculated on the basis of the nature of the programme and the approved volume of teaching and learning.

Training for Designated Groups (M14)

Scope of Appropriation

This appropriation is limited to the purchasing and arranging of training linked to the New Zealand Qualifications Framework and the purchase of both on-job and off-job training places, including delivery of fully or partially funded training places and other industry-training related projects.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	314,858	312,858	334,411

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Industry Training Fund	133,016	131,016	170,345
Industry Training Fund - Industry Training Organisation Literacy Pilots	3,754	3,754	-
Industry Training Fund - Direct Access Scheme	2,000	2,000	10,000
Youth Training/Youth Guarantee	103,948	103,948	123,730
Modern Apprenticeships	24,650	24,650	-
Gateway	19,013	19,013	19,013
Apprenticeships Re-boot (Employer)	25,961	25,961	8,000
Industry Training Fund Ring-fenced amounts	1,600	1,600	1,600
Māori and Pacific Trades Training (Brokerage)	916	916	1,723

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve an increase in the number of young people and employees with qualifications valued employers through investing in training.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
Assessment of Performance	Budgeted Standard	Estimated Actual Standard	Budget Standard
Total training:			
• Industry Training - standard training measures (See Notes 1, 2 and 3)	16,317	17,023	N/A
• Industry Training - standard training measures (See Notes 1, 2 and 4)	14,297	16,035	33,087
• Modern Apprenticeships - total trainees (See Note 5)	7,241	5,968	N/A
• Apprentices - standard training measures (See Notes 1, 2 and 6)	6,570	6,858	14,122
• Youth Guarantee - total places	9,180	9,180	9,180
• Gateway - total participants.	12,500 - 14,000 in at least 375 schools	12,500 - 14,000 in at least 375 schools	12,500 - 14,000 in at least 375 schools

Note 1 - Standard training measure is the amount of training required for a trainee to achieve 120 National Qualifications Framework credits. Funding is based on the number of standard training measures arranged by an industry training organisation in a calendar year.

Note 2 - From 1 January 2014, significant policy changes took effect and changed the way industry training is funded. A new definition of an apprentice affects the number of trainees and the number of apprentices.

Note 3 - This measure reflects 'trainees', ie, industry trainees who do not qualify as apprentices. This measure reflects July to December 2013 only. It was discontinued from 2014 reflecting changes to the definition of apprentice.

Note 4 - This measure reflects 'trainees', ie, industry trainees who do not qualify as apprentices. This standard for 2013/14 reflects January to June 2014 only.

Note 5 - This measure reflects July to December 2013 only. It was discontinued from 2014. It is the number of Modern Apprentices.

Note 6 - The definition of an Apprentice was changed from 2014 to reflect the removal of the age restriction and the new requirement for all apprenticeships to have a minimum qualification of 120 credits at level 4. This measure includes standard training measures relating to the new definition of an apprentice, and 'Modern Apprentices', which are being grand parented out. The standard for 2013/14 reflects January to June 2014 only.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Service Providers

Provider	2013/14 Budgeted \$000	2013/14 Estimated Actual \$000	2014/15 Budget \$000	Reporting to the House	Expiry of Resourcing Commitment
Crown Entities					
Institutes of Technology or Polytechnics (18)	32,015	31,879	31,607	See above	Plans for up to one or two years
State Schools	19,635	19,635	20,320	See above	
Wānanga	6,272	6,254	4,450	See above	Plans for up to one or two years
Non-Government Organisations					
Community Education Providers	2,534	2,534	820	See above	
Industry Training Organisations	144,913	143,958	175,999	See above	Plans for up to one or two years
Employers of Re-boot Apprentices	25,961	25,961	8,000	See above	Re-Boot Grants - 30 June
Private Training Establishments	83,528	82,637	93,215	See above	Plans for up to one or two years
Total	314,858	312,858	334,411		

The table above shows the main service providers for this appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Extension of New Zealand Apprenticeships Reboot	2013/14	(18,000)	8,000	-	-	-
Supporting Better Public Services and the Business Growth Agenda within Vote Tertiary Education	2013/14	(2,000)	-	-	-	-
Expansion of Māori and Pasifika Trades Training	2013/14	(3,150)	(6,850)	(9,000)	(9,000)	(9,000)
Increasing the Number of Apprenticeships in New Zealand and Improving the Quality of Industry Training	2012/13	13,361	-	-	-	-
Extend the Youth Guarantee	2012/13	23,531	34,513	37,650	37,650	37,650
Industry Training Fund - Removal of Regulatory Compliance Training from 2012	2011/12	(9,500)	(9,500)	(9,500)	(9,500)	(9,500)
Reduced Industry Training Fund from 2013	2012/13	(23,100)	(23,100)	(23,100)	(23,100)	(23,100)
Youth Guarantee - Increasing the Number of Fees-Free Places	2011/12	33,177	33,177	33,177	33,177	33,177

Reasons for Change in Appropriation

The increase in the appropriation is mainly owing to:

- lower than expected take up from the industry training fund (\$20 million increase)
- programmed growth in provision for youth guarantee (\$9.782 million increase)
- funding the re-boot programme as part of increasing the number of apprenticeships (\$3.761 million decrease)
- the full-year effect of Māori and Pasifika trades training transfers (\$3.700 million decrease), and
- the full-year effect of adjustments for community education that began from 1 January 2014 (\$2.500 million decrease).

3.2 - Non-Departmental Benefits or Related Expenses

Apprenticeships Re-boot (M14)

Scope of Appropriation

This appropriation is limited to payments to eligible apprentices towards their tools and other training related costs.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	26,611	26,611	10,150

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Apprenticeships Re-boot	25,961	25,961	8,000
Māori and Pasifika Trades Training (Tools)	650	650	2,150

What is Intended to be Achieved with this Appropriation

This appropriation is intended to assist people establishing a career in industry by providing financial assistance.

How Performance will be Assessed and End of Year Reporting Requirements

An exemption was granted under s.15D(2)(b)(ii) of the Public Finance Act 1989, as additional performance information is unlikely to be informative because this appropriation is solely for payments of Apprenticeships Re-boot subsidy under the Education Act 1989. Performance information relating to the administration of the payment is provided under the Managing the Government's Investment in the Tertiary Education Sector appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Extension of New Zealand Apprenticeships Reboot	2013/14	2,000	8,000	-	-	-
Supporting Better Public Services and the Business Growth Agenda within Vote Tertiary Education	2013/14	9,000	-	-	-	-
Increasing the Number of Apprenticeships in New Zealand and Improving the Quality of Industry Training	2012/13	13,361	-	-	-	-
Expansion of Māori and Pasifika Trades Training	2013/14	650	2,150	3,000	3,000	3,000

Reasons for Change in Appropriation

The decrease in appropriation is owing to tool allowances for new apprentices ending on 30 June (\$17.961 million decrease) partly offset by increased provision for Māori and Pasifika trades training (\$1.500 million increase).

Conditions on Use of Appropriation

Reference	Conditions
Cabinet decision	<p>To be eligible for the Re-Boot subsidy apprentices/trainees will:</p> <ul style="list-style-type: none"> • have signed a new industry training agreement commencing on or after 6 March 2013, and • have been actively training for at least 90 days, and <p>either:</p> <ul style="list-style-type: none"> • be an apprentice/trainee enrolled in a programme of study of at least 120 credits at Level 4 on the New Zealand Qualifications Framework (NZQF) <p>or:</p> <ul style="list-style-type: none"> • be a Modern Apprentice enrolled in a programme of study at Levels 3 or 4 on the NZQF (for 2013 only), and • not be in employment subsidised by a Ministry of Social Development wage subsidy or other government funding, and • have not already received, or have applied for, other government funding for tools and equipment.

International Student Scholarship Scheme (M14)

Scope of Appropriation

This appropriation is limited to scholarships available to international students wishing to study in New Zealand.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	1,040	1,040	800

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve an increase in New Zealand's economic value from international students studying in New Zealand.

How Performance will be Assessed and End of Year Reporting Requirements

An exemption was granted under s.15D(2)(b)(ii) of the Public Finance Act 1989, as additional performance information is unlikely to be informative because this appropriation is solely for payments of International Student Postgraduate Research Scholarship under the Education Act 1989. Performance information relating to the administration of the payment is provided under the International Education Programmes appropriation.

Reasons for Change in Appropriation

The decrease in appropriation is owing to one-off additional costs in 2013/14 related mainly to extending some entitlements and higher than expected average fees and stipend expenses (\$240,000 decrease).

Study Abroad Awards (M14)

Scope of Appropriation

This appropriation is limited to awards granted to provide assistance to top scholars, researchers and teachers to undertake placements abroad and to participate in reciprocal education exchange arrangements with partner countries in selected areas of priority study, teaching and research.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	1,290	1,290	1,290

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve access to wider international educational opportunities for skilled New Zealanders to enhance their existing skills.

How Performance will be Assessed and End of Year Reporting Requirements

An exemption was granted under s.15D(2)(b)(ii) of the Public Finance Act 1989, as additional performance information is unlikely to be informative because this appropriation is solely for payments of Study Abroad Awards under the Education Act 1989. Performance information relating to the administration of the payment is provided under the Stewardship of the Tertiary System appropriation.

Tertiary Scholarships and Awards (M14)

Scope of Appropriation

This appropriation is limited to providing scholarships for tertiary students and other awards in the tertiary sector, and the provision of scholarships and bursaries to Māori and Pasifika students. It includes training assistance under Queen Elizabeth II Study Awards and recognition of outstanding tertiary education teachers.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	12,754	12,754	12,919

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Trainee Medical Intern Grant	10,891	10,891	11,639
Queen Elizabeth II Study Awards	100	100	100
Tertiary Teaching Awards	200	200	200
Māori Education Scholarships	664	664	664
Ngārimu VC and 28th (Māori) Battalion Memorial Scholarship Fund	467	467	217
Polynesian and Pacific Island Education Foundation	75	75	75
Māori and Polynesian Scholarships	24	24	24
Canterbury Earthquake Scholarships	333	333	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to provide a stipend for domestic sixth-year medical trainee interns and other scholarships.

How Performance will be Assessed and End of Year Reporting Requirements

An exemption was granted under s.15D(2)(b)(ii) of the Public Finance Act 1989, as additional performance information is unlikely to be informative because this appropriation is solely for payments of Tertiary Scholarships and Awards under the Education Act 1989. Performance information relating to the administration of the payment is provided under the Stewardship of the Tertiary System appropriation.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Additional Medical Student Places	2017/18	-	-	-	-	255
Ngārimu VC and 28th (Māori) Battalion Memorial Scholarship Fund	2013/14	250	-	-	-	-
Science and Mathematics Scholarships and School Achiever Awards	2012/13	(750)	(750)	(750)	(750)	(750)
Active Management of Tertiary Education Investment Between Now and Budget 2013 to Achieve Government Policy Priorities	2012/13	222	-	-	-	-
Additional Medical Student Places	2015/16	-	-	474	947	947
20 Additional Medical Places	2014/15	-	237	574	574	574

Reasons for Change in Appropriation

The increase in appropriation is mainly owing to additional trainee medical intern grants (\$848,000 increase) partly offset by the end of funding for Canterbury Earthquake Tertiary Student Scholarships (\$333,000 decrease) and a one-off provision for Ngārimu VC and 28th (Māori) Battalion Memorial Scholarship Fund in 2013/14 (\$250,000 decrease).

3.4 - Non-Departmental Other Expenses**Auckland University Starpath Project (M14)***Scope of Appropriation*

This appropriation is limited to funding to support the Starpath initiatives at the University of Auckland.

Expenses

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	1,192	1,192	1,182

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve enhancements in academic achievement among secondary and tertiary students from under-represented groups through multi-faceted educational research and development.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Project milestones are monitored and met according to funding agreement.	100%	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the University of Auckland in its annual report.

Reasons for Change in Appropriation

The decrease in appropriation is owing to the funding agreement with the institution having different amounts for each year (\$10,000 decrease).

3.5 - Non-Departmental Capital Expenditure**Literacy and Numeracy Assessment Tool (M14)***Scope of Appropriation*

This appropriation is limited to development of the online assessment tool for adult literacy and numeracy.

Capital Expenditure

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	250	250	920

What is Intended to be Achieved with this Appropriation

This appropriation is to achieve the delivery of online assessment tools for adult literacy and numeracy to improve literacy and numeracy skills.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Assessment of Performance			
Online assessment tools for adult literacy and numeracy are developed on time and are fit for purpose.	New Measure	Achieved	Achieved

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Tertiary Education Commission in its annual report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Supporting Better Public Services and the Business Growth Agenda within Vote Tertiary Education	2014/15	-	920	325	-	-
Developing a Youth Option in the Literacy and Numeracy for Adults Assessment Tool	2012/13	250	-	-	-	-

Reasons for Change in Appropriation

The increase in appropriation is mainly owing to the timing of work on the various stages of development of the assessment tool (\$670,000 increase).

Support for the University of Canterbury (M14)*Scope of Appropriation*

This appropriation is limited to the rebuild of the Science and Engineering Facilities at the University of Canterbury.

Capital Expenditure

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	110,000	110,000	100,000

Components of the Appropriation

	2013/14		2014/15
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Professional Fees and Costs	10,000	10,000	-
Construction	100,000	100,000	100,000

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve effective investment in rebuilding the University of Canterbury's science and engineering facilities.

How Performance will be Assessed and End of Year Reporting Requirements

	2013/14		2014/15
Assessment of Performance	Budgeted Standard	Estimated Actual Standard	Budget Standard
Funding is allocated in accordance with the funding agreements and conditions.	New measure	≥95%	≥95%
Achieving business case milestones in building science and engineering facilities.	New measure	99.5%	99.5%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the University of Canterbury in its annual report.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2013/14 Budgeted \$000	2014/15 Budget \$000	2015/16 Estimated \$000	2016/17 Estimated \$000	2017/18 Estimated \$000
Current Government						
Capital Investment to Support the University of Canterbury's Science and Engineering Rebuild	2013/14	110,000	100,000	-	-	-

Reasons for Change in Appropriation

The decrease in appropriation is owing to different levels of funding being agreed with the institution for each year (\$10 million decrease).