

Performance Information for Appropriations

Vote Emergency Management

MINISTER(S) RESPONSIBLE FOR APPROPRIATIONS: Minister of Civil Defence (M11)

ADMINISTERING DEPARTMENT: Department of Internal Affairs

MINISTER RESPONSIBLE FOR DEPARTMENT OF INTERNAL AFFAIRS: Minister of Internal Affairs

Part 1 - Summary of the Vote

Part 1.1 - Overview of the Vote

The Minister of Civil Defence is responsible for appropriations in the Vote for the 2010/11 financial year covering the following:

Departmental Appropriations

- A total of \$4.243 million (38% of the total departmental appropriations in this Vote) for the management of the national emergency readiness, response and recovery capability, including maintenance of the National Crisis Management Centre in a state of readiness.
- A total of \$970,000 (9% of the total departmental appropriations in this Vote) for the provision of strategic policy development and policy advice on risk management, and civil defence and emergency management.
- A total of \$5.830 million (53% of the total departmental appropriations in this Vote) for the development and implementation of structures and policies and assisting with the delivery of best practice approaches to civil defence and emergency management.

Non-Departmental Appropriations

- A total of \$2 million for emergency expenses.
- A total of \$889,000 for local authorities for emergency management preparation.

Details of these appropriations are set out in Parts 2-6 below.

Part 1.2 - High-Level Objectives of the Vote

Contribution of Appropriations to Government Priorities and Outcomes

Appropriations	Government Priorities	Government Outcomes
Emergency Expenses Emergency Management Services MCOA Subsidies to Local Government	Reduce New Zealand's vulnerability to adverse events Better public services Investment in infrastructure	Safer Communities Improved State sector performance and better service experience

Part 1.3 - Trends in the Vote

Summary of Financial Activity

	2005/06	2006/07	2007/08	2008/09	2009/10		2010/11			2011/12	2012/13	2013/14
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Budgeted \$000	Estimated Actual \$000	Departmental Transactions Budget \$000	Non- Departmental Transactions Budget \$000	Total Budget \$000	Estimated \$000	Estimated \$000	Estimated \$000
Appropriations												
Output Expenses	10,853	10,740	10,976	10,533	11,494	11,489	11,043	-	11,043	11,039	11,039	11,040
Benefits and Other Unrequited Expenses	-	-	-	-	-	-	N/A	-	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Other Expenses	9,007	8,589	2,738	2,252	8,451	3,313	-	2,889	2,889	2,889	2,889	2,889
Capital Expenditure	-	-	-	-	-	-	-	-	-	-	-	-
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-	-	N/A	-	-	-	-
Total Appropriations	19,860	19,329	13,714	12,785	19,945	14,802	11,043	2,889	13,932	13,928	13,928	13,929
Crown Revenue and Capital Receipts												
Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Non-Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-
Total Crown Revenue and Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-

New Policy Initiatives

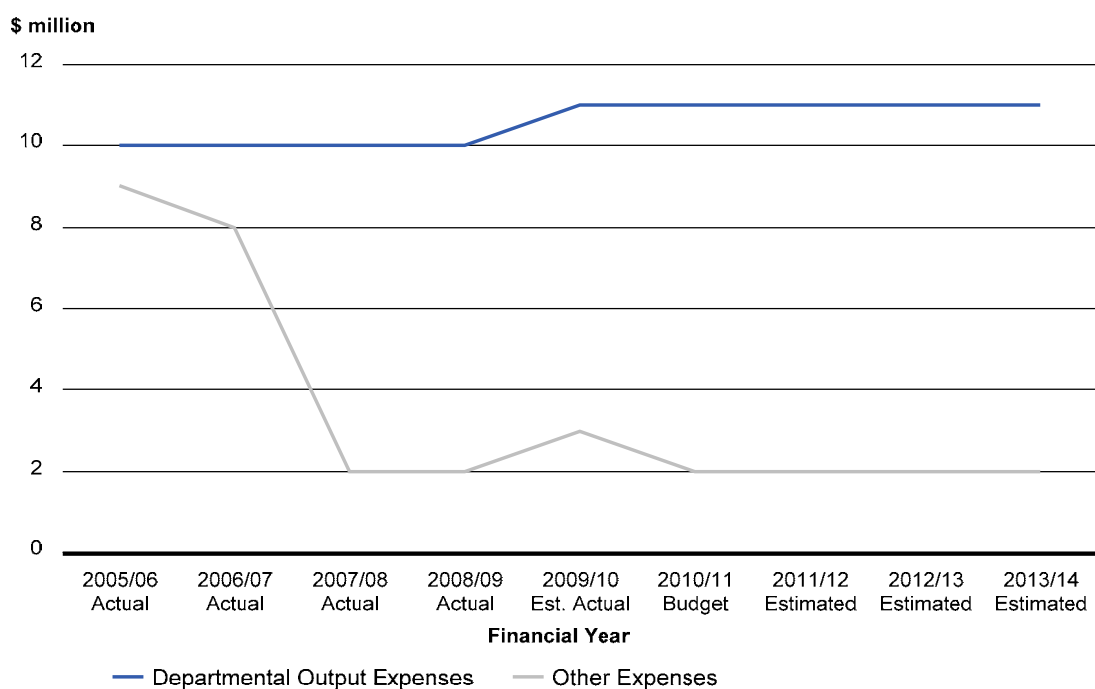
Policy Initiative	Appropriation	2009/10 Budgeted \$000	2010/11 Budget \$000	2011/12 Estimated \$000	2012/13 Estimated \$000	2013/14 Estimated \$000
Funding for the Productivity Commission	Emergency Management Services MCOA	-	(5)	(10)	(10)	(10)
One-off savings for the Ministry of Civil Defence and Emergency Management Information Management Programme	Emergency Management Services MCOA	(500)	-	-	-	-

Analysis of Significant Trends

Total Vote: All Appropriation

Significant changes in departmental and non-departmental appropriations are discussed briefly below.

Figure 1 - Vote trends in actual expenses and capital expenditure by appropriation type



Source: Department of Internal Affairs

The movements in departmental and non-departmental appropriations in Vote Emergency Management are detailed in the Summary of Financial Activity table.

Departmental Output Expenses

The baseline increased in 2009/10 due to an expense transfer from 2008/09 to 2009/10 for the Ministry of Civil Defence and Emergency Management Information Management Programme. The baseline is forecast to be relatively stable from 2010/11.

Non-Departmental Other Expenses

In 2005/06, payments were made for the Integration Regeneration Package for Matata, and grants to Whakatane, Opotiki, Tauranga and Western Bay of Plenty District Councils.

In 2006/07, payments were made in relation to the May 2005 Bay of Plenty flooding and landslips, and reimbursements were made to local authorities in relation to the February 2004 and October 2005 storms.

In 2007/08 payments were made relating to the Upper North Island storm event, risk aversion on the Rangitikei River and in relation to the July 2006 Lower North Island floods.

In 2008/09 payments were made as a result of the 2005 Bay of Plenty Storm, the 2007 Upper North Island severe weather event, the 2007 Gisborne Earthquake, the February 2008 Patea Freezing Works fire and the July 2007 Hawkes Bay floods.

In 2009/10, payments were made as a result of the July 2008 National Storm Event, for hazard mitigation works following civil defence emergency events in 2004 and 2005 and to homeowners affected by flooding in Kaeo. Additional funding was also provided to improve the process for reimbursing Local Authorities' costs arising from Civil Defence emergencies.

Part 2 - Details and Expected Performance for Output Expenses

Part 2.1 - Departmental Output Expenses

Intended Impacts, Outcomes and Objectives

Intended Impacts, Outcomes or Objectives of Appropriations	Appropriations
Communities are protected Communities are resilient Efficient, effective and coordinated government	Emergency Management Services MCOA - <ul style="list-style-type: none"> • Management of National Emergency Readiness, Response and Recovery • Policy Advice - Emergency Management • Support Services, Information and Education

For further information on the intended impacts, outcomes and objectives of the departmental output expense appropriations please see the Statement of Intent for the Department of Internal Affairs.

Emergency Management Services MCOA (M11)

Scope of Appropriation

Management of National Emergency Readiness, Response and Recovery

Management of the national emergency readiness, response and recovery, including: support to local civil defence emergency management organisations, maintaining the national crisis management centre in a state of readiness, national training and education, coordination and management of central government's response and recovery activities and administration of related expenses.

Policy Advice - Emergency Management

Policy advice on matters relating to civil defence and emergency management and drafting ministerial correspondence and questions.

Support Services, Information and Education

Development and implementation of operational policies and projects, advice, assistance and information to the civil defence and emergency management sector; development and delivery of long-term national programmes to raise individual and community awareness and preparedness.

Explanation for Use of Multi-Class Output Expense Appropriation

All three outputs are concerned with ensuring the effective delivery and responsiveness of New Zealand's civil defence and emergency management services.

Expenses and Revenue

	2009/10		2010/11
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	11,494	11,489	11,043
Management of National Emergency Readiness, Response and Recovery	4,394	4,392	4,243
Policy Advice - Emergency Management	880	879	970
Support Services, Information and Education	6,220	6,218	5,830
Revenue from Crown	11,346	11,346	10,886
Management of National Emergency Readiness, Response and Recovery	4,348	4,348	4,210
Policy Advice - Emergency Management	860	860	950
Support Services, Information and Education	6,138	6,138	5,726
Revenue from Other	145	145	154
Management of National Emergency Readiness, Response and Recovery	56	56	43
Policy Advice - Emergency Management	20	20	20
Support Services, Information and Education	69	69	91

Output Performance Measures and Standards

Performance Measures	2009/10		2010/11
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Management of National Emergency Readiness, Response and Recovery			
Percentage of local authorities/Civil Defence Emergency Management (CDEM) Groups, government agencies, lifeline utilities that rate their satisfaction with the quality of information provided for monitoring events and incidents at 3 or above on a scale of 1 to 5, as measured by the annual stakeholder survey, is no less than:	80%	80%	85%
Percentage of national warnings issued within 30 minutes after the identification of a pending event is:	100%	100%	100%
Percentage of stakeholders that successfully receive national warning messages by email during events or tests is no less than:	Revised measure	95%	95%
Number of National Warning System tests conducted is no fewer than:	4	4	4
Percentage of National Crisis Management Centre (NCMC) activations of mode 2 or higher during an emergency event that are reviewed to determine any lessons identified is no less than:	100%	100%	100%
Percentage of lessons identified from reviews and debriefs of NCMC activations for emergency events are noted and followed up within six months is no less than:	100%	100%	100%

Performance Measures	2009/10		2010/11
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Management of National Emergency Readiness, Response and Recovery - cont'd			
Percentage of activations where the NCMC is appropriately staffed according to the mode of activation within 2 hours from a decision to activate is:	100%	100%	100%
Coordinate and manage the staffing of the NCMC during extended activations in accordance with the requirements of the Response Concept of Operations.	Achieved	Achieved	Achieved
Number of NCMC staff development sessions held is no fewer than:	10	10	10
Percentage of back-up equipment that is fully functional at all times during activations is no less than.	New measure	New Measure	99.8%
Percentage of essential NCMC systems that are fully functional at all times during activations is no less than:	New measure	New measure	100%
Number of equipment checks confirming functionality of all NCMC systems.	12	12	40 (see Note 3)
Policy Advice - Emergency Management			
Emergency Management Policy Advice			
Policy advice is delivered in accordance with agreed policy quality criteria (refer Conditions on Use of Appropriation).	100%	100%	100%
The Minister's rating of his/her level of satisfaction with the quality of policy advice.	Satisfied or better (see Note 1)	Satisfied or better (see Note 1)	Satisfied or better (see Note 1)
Ministerial Correspondence and Questions			
Percentage of first versions of replies to ministerial correspondence, Official Information Act 1982 requests, Ombudsman's inquiries and parliamentary questions accepted by the Minister is no less than:	95%	95%	95%
Percentage of draft responses to ministerial correspondence returned to the Minister's office for signature within 15 working days of receipt from the Minister's office or such other deadlines as may be specifically agreed is no less than:	95%	95%	95%
Percentage of draft responses to Official Information Act 1982 requests and Ombudsman's inquiries returned to the Minister's office for signature five days prior to the statutory deadline for reply is no less than:	100%	100%	100%
Percentage of draft replies to parliamentary questions completed within the time frames specified by the Minister is no less than:	100%	100%	100%
Activity Information (see Note 2)			
Number of replies to ministerial correspondence, Official Information Act 1982 requests and Ombudsman's inquiries, and answers to parliamentary questions.	20-40	20-40	20-40
Support Services, Information and Education			
Emergency Sector Support and Development			
Percentage of stakeholders from CDEM Groups that rank their satisfaction with the level of service provided by regional emergency management advisors at 4 or above on a scale of 1 to 5, as measured by stakeholder surveys is no less than: (see Note 4)	Revised measure	Revised measure	85%

Performance Measures	2009/10		2010/11
	Budgeted Standard	Estimated Actual Standard	Budget Standard
Percentage of stakeholders from CDEM Groups that rank their satisfaction with the level of support provided in the professional development area at 4 or above on a scale of 1 to 5, as measured by stakeholder surveys is no less than: (see Note 4)	Revised measure	Revised measure	70%
Percentage of attendees who are satisfied with the education or training interventions attended, as measured by course satisfaction surveys, is no less than:	85%	85%	90%
Percentage of stakeholders who receive Ministry of Civil Defence Emergency Management's (MCDEM) newsletters/ updates that rate their satisfaction with the quality of the publications at 4 or above on a scale of 1 to 5, as measured by the stakeholder survey, is no less than: (see Note 4)	Revised measure	Revised measure	80%
Percentage of stakeholders that rank their satisfaction with the quality of guidelines, codes, technical standards developed by MCDEM at 4 or above on a scale of 1 to 5, as measured by stakeholder surveys, is no less than: (see Note 4)	Revised measure	Revised measure	80%
Number of CDEM readiness and response exercises participated in and/or evaluated:	8	8	8
Number of newsletters/updates provided to the CDEM sector:	16	16	16
Number of guidelines, codes, technical standards or other CDEM sector information publications delivered or sponsored.	1-3	1-3	1-3
Number of education or training interventions in consultation with the emergency management sector and other relevant organisations delivered or sponsored.	6	6	6
Community Information			
For at least three of the four quarters in the financial year, television advertising reaches at least 70 per cent of the targeted audience demographic and radio advertising reaches at least 45 per cent of the targeted audience demographic.	Achieved	Achieved	Achieved
Percentage of schools surveyed that employ the 'What's the Plan, Stan' school programme:	New measure	New measure	80%
Percentage of schools surveyed that rank their satisfaction with the quality of the 'What's the Plan, Stan' school programme resources at 4 or above on a scale of 1 to 5 is no less than.	New measure	New measure	80%

Note 1 - Ratings used in all Ministerial Satisfaction Surveys are: Very Good, Good, Satisfied, Poor, Very Poor.

Note 2 - Activity information relates to output volume measures that are demand driven and therefore outside the Department's control.

Note 3 - A shift from monthly to almost weekly test of all National Crisis Management Centre systems

Note 4 - This measure has been revised to better reflect the intended and desired level of performance. In particular, the Department of Internal Affairs has revised the level of satisfaction sought from a "3 or above" to a "4 or above" on a scale of 1 to 5.

Conditions on Use of Appropriation

Reference	Conditions
Purpose	Policy papers should be short (where practicable), succinct and clearly focused on decisions required from Ministers.
Consistency	The advice takes account of other Government policies and decisions, and is consistent with the principles of the Treaty of Waitangi.
Logic	The assumptions behind the advice are explicit and argument is logical and supported by the facts.
Accuracy	The facts in the papers are legally and statistically accurate and all material facts and sources are included. Where appropriate, the advice includes sound legal opinion.
Options	An appropriate range of options is presented; each option is assessed in terms of the benefits and costs for the Government, the economy, the community sector and all other relevant parties. The information provided has sufficient range and depth.
Consultation	There is evidence of adequate consultation with other government agencies and other affected parties and possible objections to proposals are identified.
Practicality	The problems of implementation, technical feasibility, timing and consistency with other policies have been considered. Where appropriate, the advice considers the impact on the lives of New Zealanders.
Presentation	The length and format of Cabinet papers meet Ministerial and Cabinet Office requirements. All papers and briefings are in accordance with the Department's Policy Quality Assurance guidelines. All presentations to Ministers are in accordance with Ministerial preference for style and format. All key facts and recommendations are on the first page. All material is effectively, concisely and clearly presented, has short sentences in plain English, and is free of grammatical or numerical errors.
Impartiality	The Department of Internal Affairs strives to provide professional, impartial and comprehensive advice to Ministers and to alert Ministers to the possible consequences of following particular policies, whether or not such advice accords with the Ministers' views.

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2009/10 Budgeted \$000	2010/11 Budget \$000	2011/12 Estimated \$000	2012/13 Estimated \$000	2013/14 Estimated \$000
Current Government						
Funding for the Productivity Commission	2010/11	-	(5)	(10)	(10)	(10)
One-off savings for the Ministry of Civil Defence and Emergency Management Information Management Programme	2009/10	(500)	-	-	-	-
Efficiencies accruing from Information Technology	2009/10	(100)	(100)	(100)	(100)	(100)
External support for sector development - savings	2009/10	(100)	(100)	(100)	(100)	(100)
Previous Government						
Tsunami Warning System	2007/08	110	110	110	110	110
Backup Emergency Operations Facilities	2006/07	1,010	1,010	1,010	1,010	1,010
Departmental Personnel Capability	2005/06	430	430	430	430	430
National Civil Defence Emergency Management Public Education Programme	2005/06	1,100	1,100	1,100	1,100	1,100

Part 5 - Details and Expected Results for Other Expenses

Part 5.2 - Non-Departmental Other Expenses

Intended Impacts, Outcomes and Objectives

Intended Impacts, Outcomes or Objectives of Appropriations	Appropriations
Communities are protected Communities are resilient	Emergency Expenses Subsidies to Local Government

Emergency Expenses (M11)

Scope of Appropriation

Payments from the Crown to meet emergency expenses in line with criteria as detailed in the National CDEM Plan.

Expenses

	2009/10		2010/11
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	7,562	2,424	2,000

Reasons for Change in Appropriation

This appropriation has decreased by \$5.562 million to \$2 million. This is the result of one-off funding received in 2009/10 for the July 2008 National Storm Event, hazard mitigation work following civil defence emergency events in 2004 and 2005 in the Bay of Plenty and assistance to homeowners affected by flooding in Kaeo.

Conditions on Use of Appropriation

Reference	Conditions
Civil Defence Emergency Management Act	Section 8 - Powers and functions of the Director Civil Defence Emergency Management

Current and Past Policy Initiatives

Policy Initiative	Year of First Impact	2009/10 Budgeted \$000	2010/11 Budget \$000	2011/12 Estimated \$000	2012/13 Estimated \$000	2013/14 Estimated \$000
Current Government						
Improved process for reimbursing local authorities' costs arising from civil defence emergencies	2009/10	1,900	1,900	1,900	1,900	1,900

Subsidies to Local Government (M11)*Scope of Appropriation*

Payments from the Crown to local authorities for emergency management preparation.
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Expenses

	2009/10		2010/11
	Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	889	889	889