

# **VOTE** *Corrections*

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# Corrections

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## Overview

Departmental output appropriations sought for Vote Corrections in 2001/02 total \$502.684 million (GST inclusive). This is intended to be spent as follows:

- \$26.971 million (5% of the Vote) on the provision of information as requested by the courts, the Parole Board and District Prisons Boards to inform their respective decision-making processes.
- \$57.015 million (11% of the Vote) on management and delivery of community-based sentences and orders through sentence management and sentence compliance services.
- \$45.035 million (9% of the Vote) on custodial remand services and provision of facilities to hold people charged with offences, and offenders convicted but not yet sentenced and to enable their appearance before the courts as required.
- \$6.278 million (1% of the Vote) on the transportation of inmates to and from court and their custody while at court.
- \$279.546 million (56% of the Vote) on custodial services and the administration of custodial sentences, the provision of specialist facilities, drug testing, and drug control and detection initiatives.
- \$34.241 million (7% of the Vote) on inmate employment, including the provision and administration of constructive activity and training opportunities designed to help address the underlying causes of criminal re-offending.
- \$42.749 million (9% of the Vote) on rehabilitative programmes to address the causes of criminal offending, which incorporate psychological services, and reintegrative services to prepare for an offender's release into the community.
- \$4.098 million (1% of the Vote) on advice, development of policies, and Ministerial servicing relating to the reduction of re-offending, the effective management of corrections services, and the review, evaluation and development of service standards.
- \$6.751 million (1% of the Vote) on the development, management and monitoring of service agreements, with external and internal providers, and the provision of custodial assurance, inspectorate services, and national systems services.

The Department will also receive a capital contribution of \$58.300 million to construct corrections facilities at Northland Regional, Auckland Regional Women's and South Auckland Regional Corrections Facility.

The Department does not expect to collect any Crown revenue in 2001/02.

Details of how the appropriations are to be applied appear in Parts B1, C and E of this Vote.

# Corrections

VOTE MINISTER: Minister of Corrections

ADMINISTERING DEPARTMENT: Department of Corrections

The Minister of Corrections is the Responsible Minister for the Department of Corrections

## Part A - Statement of Objectives and Trends

### A1 - Objectives for Vote Corrections

#### *Related Government Outcomes*

Vote Corrections contributes to the Government's broad justice sector outcomes and assists the building of safe communities through:

- ensuring appropriate compliance with, and administration of, sentences and orders
- the safe, secure and humane management of offenders
- reducing re-offending.

#### *Output Classes*

The output appropriations fund a range of activities to pursue these outcomes, such as:

- applying the Integrated Offender Management (IOM) processes of induction, assessment and management of offenders, and reintegrating them effectively back into the community. The application of IOM supports the development of individual sentence management plans for offenders and focuses on targeting resources on the basis of responsivity, criminogenic need and risk of re-offending.
- delivering rehabilitative programmes such as:
  - Straight Thinking
  - Tikanga Māori
  - sex offender treatment
  - violence prevention
  - alcohol and drug treatment
  - EQUIP
  - Making Our Drivers Safe.

The programmes are to be cost effectively targeted to offenders with moderate to high risk of re-offending and to address barriers to rehabilitation such as motivation to change their behaviour. Reintegrative services aim to provide offenders with the appropriate skills to participate effectively in society.

- reducing re-offending by Māori by extending the delivery of Tikanga Māori programmes in prison and community settings. The Department will also continue to utilise the Bi-cultural Therapy Model and extend therapeutic Māori programmes to more Māori Focus Units. These initiatives will be supported by the development of a more responsive workforce.
- improving the management of young offenders by the progressive implementation of regional youth units for vulnerable at-risk young offenders.
- responding to a forecast increased demand for correctional facilities and services by ensuring they are progressively put in place as required.
- enhancing organisational capability by maintaining existing physical infrastructure and strengthening human capacity to meet increased and new demand on the department’s services.

*Links between Output Classes and Key Government Goals*

The following table shows the linkages between the classes of outputs being purchased from the Department of Corrections under Vote Corrections and the Key Government Goals to guide public sector policy and performance.

Output Classes	Key Government Goals and Priorities
D1 Information Services D2 Community-based Sentences and Orders D3 Custody of Remand Inmates D4 Escort and Custodial Supervision Services to Courts D5 Custodial Sentences D6 Inmate Employment D7 Rehabilitative Programmes and Reintegrative Services D8 Policy Advice and Development D9 Contract Management Services (Service, Purchase and Monitoring)	<ul style="list-style-type: none"> <li>• “restore trust in Government and provide strong social services by working in partnerships with communities, providing strong social services for all, building safe communities and promoting community development...”</li> </ul>
D6 Inmate Employment D7 Rehabilitative Programmes and Reintegrative Services D9 Contract Management Services (Service, Purchase and Monitoring)	<ul style="list-style-type: none"> <li>• “grow an inclusive, innovative economy for the benefit of all”</li> <li>• “reduce inequalities in health, education, employment and housing”</li> <li>• “improve New Zealanders’ skills.”</li> </ul>

These key Government goals are contained in the *Key Government Goals to Guide Public Sector Policy and Performance* (Department of Prime Minister and Cabinet April 2001).

## A2 - Trends in Vote Corrections

### *Analysis by Appropriation Type:*

The Department's output class appropriation has increased by \$22.090 million (GST inclusive) for 2001/02. The Department's 2001/02 appropriation is \$502.684 million (GST inclusive) compared with the 2000/01 appropriation of \$480.594 million (GST inclusive).

Key areas where the Department has received additional funding for 2001/02 are:

- \$2.791 million (GST inclusive) increase in funding for the management of Serious Young Offenders.
- \$0.601 million (GST inclusive) increase in funding for deferred prison maintenance.
- \$0.700 million (GST inclusive) for alcohol and substance abuse programmes.
- \$1.485 million (GST inclusive) for MODS programmes.
- \$1.130 million (GST inclusive) increase in funding for site acquisition, design and designation for South Auckland Regional Corrections Facility.
- \$5.500 million (GST inclusive) for implementation of the Sentence Reform and Parole Bill.
- \$7.269 million (GST inclusive) increase in funding for the accommodation requirements of the Bail Act.
- \$1.069 million (GST inclusive) increase in funding for the operating costs associated with 80 additional women's cells.

However, the Department's funding level has also been reduced by \$12.068 million, as a result of the removal of additional funding received from previous Budget packages. The major details of which are as follows:

- \$0.225 million (GST inclusive) reduction for monitoring inmates' phone calls (the conclusion of a 1999 Budget initiative).
- \$2.330 million (GST inclusive) reduction for Auckland Central Remand Prison funding (phasing of 1999 Budget initiatives).
- \$0.046 million (GST inclusive) reduction for the New Zealand Conservation Corps Pilot (the conclusion of a 2000 Budget initiative).
- \$3.037 million (GST inclusive) reduction for inmate employment external revenue.
- \$5.409 (GST exclusive) reduction for the change in capital charge rate to 9%

The Department has also received \$58.300 million of capital investment for the construction of the Northland Region, Auckland Regional Women's and South Auckland Regional Corrections Facilities.

## Trends in Vote Corrections - Summary of Appropriations and Crown Revenue

Types of Appropriation	1996/97	1997/98	1998/99	1999/2000	2000/01		2001/02 Appropriations to be Used				Total \$000	
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Budget \$000	Estimated Actual \$000	By the Department Administering the Vote		For Non-Departmental Transactions			
							Annual \$000	Other \$000	Annual \$000	Other \$000		
<b>Operating Flows</b>												
Classes of Outputs to be Supplied	361,330	390,972	449,303	427,578	480,594	480,594	502,684	-	-	-	-	502,684
Benefits and Other Unrequited Expenses	-	-	-	-	-	-	N/A	N/A	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-	N/A	N/A	-	-	-	-
Other Expenses	-	-	12,587	-	-	-	-	-	-	-	-	-
<b>Capital Flows</b>												
Capital Contributions	-	35,000	40,600	31,334	54,700	54,700	58,300	-	-	-	-	58,300
Purchase or Development of Capital Assets	-	-	-	-	-	-	N/A	N/A	-	-	-	-
Repayment of Debt	-	-	-	-	-	-	N/A	N/A	-	-	-	-
<b>Total Appropriations</b>	361,330	425,972	502,490	458,912	535,294	535,294	560,984	-	-	-	-	560,984
<b>Total Crown Revenue and Receipts</b>	-	-	-	-	-	-	N/A	N/A	N/A	N/A	N/A	-

## Part B - Statement of Appropriations

### B1 - Details of 2001/02 Appropriations

Appropriations	2000/01				2001/02	
	Vote		Estimated Actual		Vote	
	Annual \$000	Other \$000	Annual \$000	Other \$000	Annual \$000	Other \$000
<b>Departmental Output Classes (Mode B Gross)</b>						
D1 Information Services	27,336	-	27,336	-	26,971	-
D2 Community-Based Sentences and Orders	56,430	-	56,430	-	57,015	-
D3 Custody of Remand Inmates	48,033	-	48,033	-	45,035	-
D4 Escort and Custodial Supervision Services to Courts	6,738	-	6,738	-	6,278	-
D5 Custodial Services	256,054	-	256,054	-	279,545	-
D6 Inmate Employment	37,778	-	37,778	-	34,242	-
						Description of 2001/02 Appropriations
						Provision of information as requested by the courts, the Parole Board and District Prisons Boards to inform their respective decision-making processes.
						Provides for the management and delivery of community-based sentences and orders through sentence management and sentence compliance services.
						Provides for safe, secure and humane services and facilities to hold people charged with offences, and offenders convicted but not yet sentenced and to enable their appearance before the courts as required.
						Provides for safe, secure and humane services and facilities for transportation of inmates to and from court and their safe and humane custody while at court.
						Provision of custodial services and the administration of custodial sentences in safe, secure and humane conditions. Also included are specialist facilities, drug testing, and drug control and detection initiatives.
						Provision of Inmate Employment and vocational training, which contributes to reducing re-offending by providing inmates with the opportunity to gain work experience and improve their work habits and work skills, thereby improving their chances of obtaining sustainable post-release employment.

**B1 - Details of 2001/02 Appropriations (continued)**

	2000/01			2001/02		
	Vote		Estimated Actual	Vote		Description of 2001/02 Appropriations
	Annual \$000	Other \$000		Annual \$000	Other \$000	
Appropriations						
<b>Departmental Output Classes (Mode B Gross) (cont'd)</b>						
D7 Rehabilitative and Reintegrative Programmes	39,983	-	39,983	<b>42,749</b>	-	Provision of rehabilitative programmes to address the causes of criminal offending, and reintegrative services to prepare for release into the community, including support for families/whanau. Included in this output class is the provision of specialist psychological services to monitor and support programme delivery.
D8 Policy Advice and Development	4,159	-	4,159	<b>4,098</b>	-	Provision of advice, development of policies, and Ministerial servicing relating to reducing re-offending, the effective management of corrections services, and the review, evaluation and development of service standards.
D9 Contract Management Services	4,083	-	4,083	<b>6,751</b>	-	Development, management and monitoring of agreements for the purchase of services entered into with both internal and external providers. Also included in this output class are the provision of custodial assurance, inspectorate services and national system services.
<b>Total Appropriations for Departmental Output Classes (Mode B Gross)</b>	480,594	-	480,594	502,684	-	
<b>Capital Contributions to the Department</b>						
Capital Investment	54,700	-	54,700	<b>58,300</b>	-	Capital contributions from the Crown are for the construction of Northland Regional, Auckland Regional Women's and South Auckland Regional Corrections Facilities.
<b>Total Appropriations for Capital Contributions to the Department</b>	54,700	-	54,700	58,300	-	
<b>Total Appropriations</b>	535,294	-	535,294	560,984	-	



## Part C - Explanation of Appropriations for Output Classes

### **C1 - Departmental Output Classes**

For more detailed analysis of Departmental Output Classes, including financial and non-financial measures, please refer to the Department's 2001/02 Statement of Intent (SOI).

#### *Output Class D1 - Information Services*

##### **Description**

This output class covers the provision of information as requested by the courts, the Parole Board and District Prisons Boards to inform their respective decision-making processes. It includes the provision of psychological reports, remand pre-sentence reports, reparation reports, community programme agreements, same-day reports, home detention reports and assessments, oral information reports and home leave reports. Special purpose reports and advice are prepared for other relevant entities including Community Magistrates. Also included is the time Probation Officers spend attending court and Status Court hearings.

#### *Output Class D2 - Community-Based Sentences and Orders*

##### **Description**

This output class provides for the management and delivery of community-based sentences and orders through sentence management and sentence compliance services. Included as part of these services are supervision, community programmes, community service, periodic detention, home detention, habilitation centres and parole.

The service provided meets the terms of judicial decisions on sentences in each case, and also provides opportunities for offenders to acknowledge their responsibilities and address offending behaviour.

#### *Output Class D3 – Custody of Remand Inmates*

##### **Description**

This output class provides for safe, secure and humane services and facilities to hold people charged with offences, and offenders convicted but not yet sentenced and to enable their appearance before the courts as required.

#### *Output Class D4 – Escorts and Custodial Supervision Services to Courts*

##### **Description**

This output class provides for safe, secure and humane services and facilities for transportation of inmates to and from court and their safe and humane custody while at court.

### *Output Class D5 – Custodial Services*

#### **Description**

This output class covers the provision of custodial services and the administration of custodial sentences in safe, secure and humane conditions. Inmates are classified as maximum, medium and minimum security, women and corrective trainees. Also included are specialist facilities, drug testing, and drug control and detection initiatives.

### *Output Class D6 – Inmate Employment*

#### **Description**

Inmate Employment and vocational training contributes to reducing re-offending by providing inmates with the opportunity to gain work experience and improve their work habits and work skills, thereby improving their chances of obtaining sustainable post-release employment.

### *Output Class D7 – Rehabilitative Programmes and Reintegrative Services*

#### **Description**

This output class provides rehabilitative programmes to address the causes of criminal offending, and reintegrative services to prepare for release into the community, including support for families/whanau. Included in this output class is the provision of specialist psychological services, including professional training and supervision, to monitor and support programme delivery.

Integrated Offender Management has introduced a new suite of nationally defined rehabilitation programmes. Assessment tools determine programme eligibility according to an offender's criminogenic needs, responsivity and risk of re-offending. Some programmes are designed to increase an offender's motivation to undertake a specific programme that seeks to address an identified criminogenic need.

Emphasis is placed on the targeted provision of reintegrative services to reinforce and sustain behavioural changes by building up basic skills (for example budgeting and relationship management) which support reintegration into the community.

### *Output Class D8 – Policy Advice and Development*

#### **Description**

This output class involves the provision of advice, development of policies, and Ministerial servicing relating to reducing re-offending, the effective management of corrections services, and the review, evaluation and development of service standards.

### *Output Class D9 – Contract Management Services (Service Purchase and Monitoring)*

#### **Description**

This output class involves the development, management and monitoring of agreements for the purchase of services entered into with both internal and external providers. Also included in this output class are the provision of custodial assurance, inspectorate services and national system services.

**C2 - Non-departmental Output Classes**

There are no appropriations for Part C2.

## Part E - Explanation of Appropriations for Capital Flows

### E1 - Capital Contributions

Information regarding these appropriations is provided in Part B1.

#### *Net Worth of Entities Owned*

##### Statement of Estimated and Forecast Net Worth

	Balance Date	Estimated Net Worth 2000/01 \$ million	Forecast Net Worth 2001/02 \$ million
The Department of Corrections	30 June	539.927	598.227

The increase in net worth of the Department of Corrections results from a capital injection to the Department of \$58.300 million.